













BEST

19.0

The Definitive Guide for Underground Safety & Damage Prevention



Working Together to Prevent Damage and Promote Safety

At Common Ground Alliance, building consensus support for all decisions isn't just a goal. It's in the bylaws. And there's no better example of the consensus model at work than in the Best Practices Guide, CGA's original – and still most popular – industry resource for ensuring the safety of those who work or live near underground facilities.

The CGA Best Practices manual includes more than 160 practices that cover all phases of the 811 process, agreed to by 16 stakeholder groups. This year's edition features practices from CGA's previous editions, as well as any new practices that were approved through a seven-step process that included review by a task team, the full Best Practices Committee, and finally the CGA Board of Directors.

While the Best Practices is most commonly used as a guide for implementing a safety and damage prevention program, many use it as a resource to build more effective state, safety and damage prevention laws. This approach to advocacy has been successful in many statehouses, because for a practice to become a Best Practice, a stakeholder must prove that it works.

CGA's Damage Prevention Institute (DPI) participants commit to implementing Best Practices that are applicable to their stakeholder group. DPI-accredited organizations understand the value of these foundational guidelines, and how they prevent damages and save lives. For more information, visit **dpi.commongroundalliance.com.**

Damage prevention is a shared responsibility. Thanks for doing your part by making CGA Best Practices part of your organization's safety program. For additional information about CGA Best Practices, please visit **commongroundalliance.com/programs/best-practices.**

For additional information on the Common Ground Alliance or to become a member, visit **commongroundalliance.com**.









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TERMS AND CONDITIONS OF USE

The CGA must make the Best Practices subject to the following limitations:

- 1: The CGA does not endorse any company, technology, technique or product. No inference of endorsement shall be taken from any CGA Best Practice or from the CGA generally.
- 2: The CGA reserves the right to alter, modify or repeal the Best Practices at any time. Further, the CGA reserves the right to fix technical inaccuracies, typographical errors or make other modifications without prior notice.
- 3: Best Practice Guide users must respect CGA's copyrights and trademarks.
- 4: The CGA Best Practices are provided "as is" and without any warranty, either expressed or implied, including any warranty of merchantability fitness for a particular purpose, or non-infringement.
- 5: The CGA Best Practices are presented as a general guide. The CGA encourages all users to consult and consider not only the CGA Best Practices, but also (i) employer practices, (ii) industry practices, (iii) federal and state statutes and regulations, (iv) building and fire codes, and (v) local laws, regulations and ordinances.
- 6: References in each Best Practice are those that were in effect at the time the Best Practice was approved unless otherwise noted. Best Practices are derived from existing multi-industry, governmental and public practices that are determined to be "best" in enhancing safety and damage prevention through rigorous review and evaluation processes developed by the CGA.

CHAPTER 1

Introduction

Best Practices Guide

The Best Practices Guide is the preeminent and trusted resource for underground damage prevention with more than 162 practices that cover all phases of the safe digging process. The practices included within this guide are agreed to by consensus of 16 industry stakeholder groups and are designed to improve worker safety, protect vital underground infrastructure, and ensure public safety during excavation activities conducted in the vicinity of existing underground facilities.

CGA releases a new edition of Best Practices every spring with all approved updates that reflect changes in damage prevention.

Best Practices 19.0 — New Practices and Modifications

During the past year, the CGA added and amended practices that appear in Version 19.0. The following new practices and modifications were approved by the Best Practices Committee and CGA Board:

- Modification of Practice 2-3, Identifying Existing Facilities in Planning and Design
- Modification of Practice 2-14, Subsurface Utility Engineering (SUE)
- Modification of Practice 3-1, Proactive Public Awareness, Education and Damage Prevention Activities
- Modification of Practice 3-2, Specifically Defined Geopolitical Service Area with No Overlap
- Modification of Practice 3-3, Formal Agreements with Members
- Modification of Practice 3-4, 811 Center Governance
- Modification of Practice 3-5, Single Toll-free Statewide Telephone Number
- Modification of Practice 3-6, Hours of Operation
- Removal of Practice 4-4, Single Locator
- Modification of Practice 4-17, Forecasting/Planning for Predictable Workload Fluctuations
- · Modification of Practice 5-2, White Lining
- Modification of Practice 5-15, Facility Avoidance
- Modification of Practice 5-20, Excavation within Tolerance Zone
- New definition, 811 Center additionally all references to "one call center" changed to "811 center" throughout the Guide
- New definition, Electronic White Lining (EWL)
- · New definition, Pothole

A review of all changes to the Best Practices can also be viewed at https://bestpractices.commongroundalliance.com.

History of the Common Ground Alliance

Common Ground Study

In 1998, the U.S. Congress passed the Transportation Equity Act for the 21st Century (TEA 21). In this legislation, the U.S. Department of Transportation (USDOT) was instructed to conduct a study of best practices in place nationwide for enhancing worker safety, protecting vital underground infrastructure, and ensuring public safety during excavation activities conducted in the vicinity of existing underground facilities.

The USDOT's Pipeline and Hazardous Materials Safety Administration (PHMSA) convened a meeting of stakeholders from underground utility safety and damage prevention industries. Each major stakeholder group designated representatives to participate in the study.

In all, 162 individuals participated in the study, representing stakeholders from across the nation, including oil and gas transmission and distribution, telecommunications, railroads, utilities, electric, water, sewer, cable TV, 811 centers, excavators, locators, design engineers, regulators, and government entities at federal, state and local levels.

One of the most controversial elements of the process for determining a "best practice" was the use of the consensus process. For a practice to become a "best practice," all stakeholder groups had to agree that they could live with the practice; if one group disagreed, the practice would not become a "best practice." To this day, consensus is used by CGA committees and in identifying "best practices."

The Common Ground Study identified and validated over 130 best practices to enhance safety and prevent damages to underground facilities. In July 1999, 11 months after the kick-off meeting, the study was presented to the Secretary of Transportation.

Establishment of the Common Ground Alliance

After the Common Ground Study was presented to the Secretary of Transportation, PHMSA was asked to facilitate and sponsor what became known as the Damage Prevention Path Forward. On June 15, 2000, the work of the team was completed when the Common Ground Alliance received its Certificate of Incorporation from the District of Columbia.

When established, the Common Ground Alliance identified the following purposes:

- Prevent damage to underground infrastructure and increase safety by fostering a sense of shared responsibility for the protection of underground facilities
- · Support research and development
- · Conduct public awareness and education programs
- · Identify and disseminate stakeholder best practices
- Serve as a clearinghouse for damage data collection analysis and dissemination

The organization's motto was and continues to be "Damage Prevention Is a Shared Responsibility."

CGA Today

In line with CGA's founding philosophy, the current CGA mission is to "prevent damage to underground utility infrastructure and protect those who live and work near these important assets through the shared responsibility of our stakeholders."

There are currently 16 stakeholder groups participating in the CGA: electric, engineering/design, equipment manufacturing, excavator, gas transmission, gas distribution, insurance, locator, 811 center, oil, public works, railroad, road builder, state regulator, emergency services, and telecommunications.

The CGA consists of working committees populated by the general membership. The committees include Best Practices, Technology, Educational Programs, Data Reporting and Evaluation, Regional Partner, Stakeholder Outreach Committee, and One Call Systems International.

While any CGA member can participate in committee discussions, a "Primary" is designated for each stakeholder group by its respective member on the Board of Directors. The Primary's responsibility is to act as a spokesperson for their stakeholder group and to participate in consensus decisions when necessary. This ensures that each stakeholder group has an equal say in the outcome of committee work, decisions and products.

The Best Practices Guide document continues to be the "go to" resource by all stakeholders, governments, and associated industries when addressing safety and damage prevention issues internally, as well as on the local, state and national levels.

Best Practices Guide

The Best Practices Committee developed the following guide based on the Common Ground Study, which includes the primary section with Practice Statements and Descriptions, as well as Appendices A through D. The verbatim restatement of all ancillary material contained in the original Study is available on the CGA Web site and is intended as a historical reference point for those persons interested in a more detailed background of the Best Practices.

The stakeholders involved with the original study never intended that the Best Practices would constitute a static model. Rather, they intended it to be a working document that would evolve over time as more was learned and as technology advanced. In addition, the CGA anticipated that there likely would be additional best practices developed by the interested participants. As best practices are added or amended, the changes are reflected in subsequent versions, numbered sequentially.

Use of Icons

The CGA uses icons to assist readers in identifying the practices that pertain to their specific industry/stakeholder group. Throughout the document, the icons appear next to each practice and correspond to the following groups: Project Owners, Facility Owners, Excavators, 811 Centers, Designers, and Locators. The icon legend is provided below and also is available at the start of each chapter.















Guide to Editorial Task Team Procedures

- 1: The Editorial Task Team is a task force of the Best Practices (BP) Committee. As such it acts in accordance with the BP Committee's instructions.
- 2: Although the team may edit punctuation, grammar, organization and display, the team does not make substantive changes to best practices or best practice descriptions. However, any editorial changes are reported back to the BP Committee for review and comment.
- 3: The team receives input from the BP Committee in one of three ways:
 - a) When it receives a best practice that has been adopted
 - b) When it is instructed by the BP Committee to make non-substantive changes to the BP practice description
 - c) When it makes the changes indicated in paragraph 2 above, presents them to the BP Committee, and receives feedback thereafter
- 4: Editorial changes noted in paragraph 3b are only those that the BP Committee first determines are not substantive alterations to the best practice. They are handled in the same manner as a best practice, in that BP Committee members must agree by consensus, but they are not referred to the CGA board for adoption, as would be the case for a new or amended best practice.
- 5: Allen Gray and William Boswell serve as the Editorial Task Team leaders.

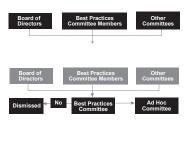
Feedback and Proposed Modifications

The CGA welcomes comments and suggestions on improving the format and updating the content of the best practices. Our intent is to make the statement of best practices as easy to use as possible. To submit a comment or to propose a new practice or practice modification, contact the CGA office (703-836-1709) to request a proposal form or visit the CGA website at http://www.commongroundalliance.com.

Best Practices Process



Publish Here



The proposed Best Practice is brought before the next scheduled meeting of the Committee. This enables Committee members and their stakeholder group to review the proposal for discussion. The Committee reviews and discusses the proposal and decides whether it will be

Anyone can submit a proposed best practice for review. either through their stakeholder group primary or directly to CGA staff. The Board of Directors as well as other CGA Committees may also submit proposed Best Practices.

dismissed or considered for Best Practices designation. If the Committee agrees to consider the practice, a task team is formed, and a transaction record is created.



The Task Team forwards the proposed Best Practice to the full Committee for consideration. In order to give each stakeholder group an opportunity to review the proposal, the Task Team must submit the proposed Best Practice at least 30 days before the next scheduled meeting However, the Task Team is encouraged to submit it as soon as possible for review by the full Committee. Each stakeholder primary is responsible for taking the proposal to their respective constituent group for review and position development. Each stakeholder group is asked to submit any questions, comments or concerns they may have with the proposed Best Practice before the next scheduled meeting convenes.



During the next scheduled meeting, the Task Team presents the proposed practice, which is comprehensively reviewed and discussed. The Committee decides whether the proposal is presented to the Board as a proposed Best Practice or whether it should go back to the Task Team for further consideration. It is not unusual for the practice to be sent back to the Task Team.



A task team is created using volunteers from the full Best Practices Committee, and a team chair is appointed. A cross-section of stakeholders is recommended for each task team to ensure input from as many stakeholder groups as possible.

A Transaction Record (TR) is created to track progress of the proposal from submission to the Task Team to the final decision on the proposed practice. The TR is numbered according to the year it is submitted to the Task Team (e.g., TR 2011), and a chronological acceptance for consideration during the year (i.e., if it was the first to be accepted, it would be numbered TR 2011-01, second TR 2011-02, and so on).

If the Committee reaches consensus approval of the wording, the Best Practice is forwarded to the editorial task team. The editorial task team decides on the appropriate placement of the practice within the CGA Best Practices document and ensures that the language is consistent with Best Practice Committee protocols.



The final proposed Best Practice is then submitted to the Board of Directors for their consideration. If approved, the practice becomes a CGA Best Practice and is published in the manual. If not, the proposal is sent back to the Committee with comment.

If returned to the Committee, the proposal is reviewed (taking into account the Board's comments) and resubmitted as appropriate.



Once a Best Practice has been published, all stakeholders can rest assured it has been through the complete process.

The process ensures the integrity of Best Practices and their place in enhancing safety and keeping damages to an ultimate minimum.

The Best Practice proposals can be submitted through the CGA Web site. Visit the online version of Best Practices at https://bestpractices.commongroundalliance.com/ to view the new practice proposal form.

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CHAPTER 2

Planning and Design













2-1: Plat Designation of Existing Underground Facility Easements



Practice Statement: Plats prepared for the development of real property identify and show the alignment of any existing buried facilities and the presence and extent of any existing easements and/or rights of wav.^{18/}

Practice Description: Various items are required on the plats filed prior to the development of lands. Where plats are required to be filed, the items required include the identification of the easements of underground facilities traversing the land described on the plat. Identifying easements of underground facilities on the plat increases notice to developers and the public about the existence of the underground facilities. Notifying owners of underground facilities that a plat has been filed alerts underground facility owners/operators of the need to establish communication between the developers and operators that will facilitate a plan and design for the use of the land that complements the underground facility.

Benefits:

Often underground facility owners/operators do not receive notice of developments impacting their facilities until excavation activity has commenced. This compromises the optimal use of the land and potentially compromises the integrity of the underground facility.

References:

· St. Louis County, Minnesota, zoning ordinances

2-2: Gathering Information for Design Purposes



Practice Statement: The designer uses all reasonable means of obtaining information about underground facilities in the area of the planned excavation.

Practice Description: During the planning phase of the project, all available information is gathered from facility owners/operators. This includes maps of existing, abandoned and out-of-service facilities; cathodic protection and grounding systems; as-builts of facilities in the area if the maps are not current; proposed project designs; and schedules of other work in the area. This information is gathered for the purpose of route selection and preliminary neighborhood impacts and as part of the process of impact analysis when evaluating different design possibilities. Methods of gathering information may include contacting entities such as an 811 center, facility owners/operators, coordinating committees/councils, other designers, engineering societies, and governmental agencies to help identify underground facility owners/operators in an excavation area. Gathering information also may include a review of the site for aboveground indications of underground facilities (e.g., permanent signs or markers, manhole covers, vent pipes, pad-mounted devices, riser poles, power and communication pedestals, and valve covers). The 811 center provides a listing of operators directly to the designer or to the designer's subsurface utility engineer. This information is made available in formats that are accessible to all users, such as voice, fax, email or website. Once the operators are identified, the designer contacts the operators directly or uses the one call system. The facility owner/operator may locate the underground facilities or provide locations of the underground facilities to the designer by other means, such as by marking up design drawings or providing facility records to the designer.

Benefits:

- Gathering underground facility information and including this information in the planning phase minimizes the hazards, cost and work to produce the final project.
- · Safety is enhanced.
- · Unexpected conflicts with facilities are eliminated.
- · Facility relocations are minimized.

References:

- Wisconsin Sec. 186.0175 Stats
- Minnesota Statute 216D
- · Pennsylvania Act 287 of 1974, as amended by Act 187 of 1996
- See related Finding Number 3, "Identifying Existing Facilities in Planning and Design"
- "Construction Management Interference Control Manual," Consolidated Edison, New York, New York, June 9, 1997
- Subsurface Utility Engineering, Federal Highway Administration (FHWA), February 1999, Office of Program Administration
- Florida Department of Transportation Utility Accommodation Manual, Document No. 710-020-001-d, Section 11.4, January 1999

2-3: Identifying Existing Facilities in Planning and Design^{87/}



Practice Statement: Designers indicate existing underground facilities on drawings during planning and design.

Practice Description: During the planning phase of the project, existing facilities are shown on preliminary design plans. The planning documents include possible routes for the project together with known underground facility information. The various facility owners/operators are then given the opportunity to provide appropriate feedback. During the design phase of the project, underground facility information from the planning phase is shown on the plans. If information was gathered from field-located facilities, potholing, underground facility surveys or subsurface utility engineering, this is noted on the plans. The designer and the contractor both know the quality of the information included on the plans. If an elevation was determined during information gathering, it is shown on the plan. The facilities shown include active, abandoned, out-of-service and proposed facilities. The design plans include a summary drawing showing the proposed facility route or excavation, including streets and a locally accepted coordinate system. The plans are then distributed to the various facility owners/operators to provide the opportunity to furnish additional information, clarify information and identify conflicts.

Benefits:

Providing complete underground facility information and including this information on design drawings reduces hazards, simplifies coordination and minimizes the cost to produce the final project.

2-4: Utility Coordination



Practice Statement: Project owners and facility owners/operators regularly communicate and coordinate with each other concerning future and current projects.

Practice Description: Utility coordination fosters an open exchange of information among private and public facilities, governmental agencies and construction-related organizations. Utility coordination also promotes cooperation among said groups in the planning, design and construction of projects affecting the overall good of participating parties, their organizations and customers or constituents, and the general public. Utility coordinating committees (or councils) include private utilities, public agency utilities, engineering firms, contractor associations, and others with facilities or business interests in public rights-of-way. Coordinating committees function in multiple communities, counties and states/provinces to promote excavation project coordination. Typical items of discussion include facility excavations in existing and recently paved roadways, disruption of essential facility services, location of utility facilities, environmental impact of damages to utilities, permit procedures, right-of-way access controls, and underground facility damage prevention. Plans of future roadway improvement and of future facility installations are reviewed regularly.

References:

- Wisconsin Administrative Rule Chapter Trans 220 "Utility Facilities Relocations"
- Arizona Utility Coordinating Committee (AUCC) Public Improvement/ Project Guide, December 1996
- Highway/Utility Guide. Publication No. FHWA-SA-93-049. Office of Technology Applications, FHWA, U.S. Department of Transportation, June 1993.

2-5: Markers for Underground Facilities 63/



Practice Statement: The presence and type of underground facilities are indicated by permanent aboveground and belowground markers and material.

Practice Description: A combination of aboveground and belowground markers is used to identify and locate underground facilities. The facility is color-coded in accordance with the American Public Works Association (APWA) guidelines to assist in identifying an aboveground or belowground facility.

The purpose of aboveground markers is to identify underground facilities, not to locate for excavation or circumvent the one call process. However, designing underground facilities for future location reduces the risk of an incorrectly marked underground facility during an excavation project. Aboveground markers are developed during the design process and include the company name, type of facility, emergency contact and the one call number. The locations and types of markers are specified in the construction plans. The design provides a marker system that includes, but is not limited to, stream crossings, public road crossings, other facilities' rights-of-way, railroad crossings, heavy construction areas, and any other location where it is necessary to identify the underground facility location. If nondetectable facilities are being installed, the design includes a means to accurately locate the underground facility from the surface. Road decals, stencils, tracer tapes, electronic markers or other appropriate systems may mark areas where traditional markers are considered impractical.

The purpose of belowground markers is to identify underground facilities. Belowground markers are used in conjunction with aboveground markers. Belowground markers may include tracer wire, warning tape and/or electronic marking devices. More than one type of belowground marker may be used.

Installation of belowground markers should comply with AGA, ANSI, or other industry standards or governing regulations including, but not limited to, using a coated corrosion-resistant wire, installing cathodic protection, proper grounding, marking the end placement or approximate location of belowground markers with aboveground markers, protecting belowground markers from damage during backfill operations, and placement/method of installation.

Benefits:

Provisions to aid in future locating requests are included in the design. In addition, an effective marker system is beneficial to the underground facility owner/operator and first responders to an area involving more than one underground facility or an incident near underground facilities.

References:

- 49 CFR Parts 192 and 195
- · Industry standards:
 - · AGA Plastic Pipe Manual for Gas Service, 2006 Edition
 - APWA, "Guidelines for Uniform Temporary Marking of Underground Facilities"
 - ANSI ASC GPTC Z380 Gas Piping Technology Guide (https://www.aga.org/gptc)
 - · NFPA-70 (2014), "National Electrical Code"

2-6: Follow All Applicable Codes, Statutes and Facility Owner/Operator Standards



Practice Statement: When planning and designing the installation of new or replacement of existing underground facilities, the designer follows all federal, state/provincial, and local guidelines, codes, statutes, and other facility owner/operator standards.

Practice Description: The designer of a facility project typically considers only national industry codes, regulations and practices applicable to that particular facility and not of adjacent facilities. Regulations, codes, standards and other design documents generally specify depth of cover and horizontal and vertical clearances between adjacent facilities. However, they are not always prescriptive and can be subject to interpretation by the designer. In addition, certain codes allow exceptions to the prescribed minimum clearances, contingent upon approval between the affected facility owners/operators. The designer also must consider the protection and temporary support of adjacent facilities and any interference to existing cathodic protection and grounding systems. Consequently, the designer must provide specifications of safety measures to be taken and procedures for emergency notification and repairs in case an adjacent facility is damaged. Designers are aware of proposed and revised standards and codes that may affect the project.

Benefits:

The designer who reviews codes pertaining to adjacent facilities minimizes any potential conflict of code clearance requirements and facilitates future locating efforts.

2-7: Use of Qualified Contractors



Practice Statement: Qualified contractors are used to excavate on and near underground facilities.

Practice Description: Contractors that excavate on and near underground facilities possess the qualifications necessary to conduct such activities in a manner that is skillful, safe and reliable. The requisite qualification of the contractor serves to protect the public and the integrity of underground facilities in the vicinity of the excavation. Using qualified contractors ensures that all contractors who bid and work on a project employ safe work habits and are capable of performing the requested work. When working with contractors, the project owner is familiar with the contractors' work experience and financial abilities and does not ask the contractors to bid beyond their capabilities. Allowing a competitive bidding process from qualified and competent contractors ensures the best quality and pricing available while reducing damages to underground facilities.

Benefits:

- · Enhances safety
- · Increases the quality of work
- · Reduces damage to facilities

References:

- Florida Law (Chapter 337.14 FS) and Rules of the State of Florida, Department of Transportation, Chapters 14–22
- · Duke Energy of Houston, Texas, procedures

2-8: Mandatory Prebid Conferences



Practice Statement: A mandatory prebid conference is held and bids are accepted only from attending contractors.

Practice Description: Depending on the level of impact of proposed construction upon facilities in the excavation area, the project owner or project designer requires potential contractors and facility owners/operators to attend a mandatory prebid conference. This prebid conference is used to discuss, among other things, the particular facilities in the area and the requirements to properly protect, support and safely maintain the facilities during excavation. Official minutes are taken and disseminated as written to all attendees.

Benefits:

Prebid conferences provide a forum for the contractor, owner and other interested parties to discuss a project and record binding changes or clarifications to the scope of the project. The prebid conference also provides an opportunity for all parties to review contract documents, regulatory requirements, schedules and submittal formats. Most large projects involve multiple levels of subcontracting activity, as well as multilayered regulatory oversight. The prebid conferences traditionally address these issues in an open forum so that all bidders are equally aware of the ground rules. The ground rules can be both commercial and technical in nature, covering the spectrum from performance bonds to safety practices.

- Industry and governmental practices
- Florida Department of Transportation
- Duke Energy of Houston, Texas, procedures

2-9: Continuous Interface Between the Designer and Potential Contractors During the Prebid/Bid Phase



Practice Statement: Once a project design is completed, the designer participates in the prebid/bid process.

Practice Description: The designer's continuing involvement during the prebid/bid phase with the potential contractor(s) allows for more effective communications between all parties. The designer can assess whether the interested bidders have the expertise needed and the correct understanding of the intended design.

Benefits:

- By providing quality assurance, this practice minimizes potential safety concerns and delays to project completion.
- The designer would have the opportunity to relay information not readily shown on the plans, such as accommodations of facility adjustments required to construct the project.

References:

- · Industry practice
- · Expert opinion

2-10: Continuous Interface between the Designer and the Contractor During the Construction Phase



Practice Statement: The designer continues to interface with the selected contractor throughout the construction phase.

Practice Description: This practice allows the designer to be available for preconstruction conferences, unforeseen conditions and design changes, and for postconstruction conferences.

Benefits:

- Potential safety concerns are resolved more quickly, thereby minimizing subsequent modifications to the project design, costs and completion.
- The designer's inspections of the project during different stages are facilitated.

References:

· Industry and government practice

2-11: As-built Drawings



Practice Statement: As-built drawings are prepared and the information is recorded to aid future excavations and locates.

Practice Description: Installation is made in accordance with the approved construction plans. Any deviation to the plans is documented and such changes are indicated on the as-built drawings. As-built information is recorded, retained and made available for subsequent excavation.

Benefits:

As-built drawings serve as an information source for future projects to minimize damage to existing facilities.

- · Union Pacific Railroad procedures
- Expert opinion
- · Industry and governmental practices

2-12: Supply-line Separation



Practice Statement: When installing new direct-buried supply facilities in a common trench, a minimum of 12 in. radial separation is maintained between supply facilities, such as steam lines, plastic gas lines, other fuel lines and direct-buried electrical supply lines. If 12 in. of separation cannot be feasibly attained at the time of installation, then mitigating measures are taken to protect lines against damage that might result from proximity to other structures. Examples may include the use of insulators, casing, shields or spacers. If there is a conflict among any of the applicable regulations or standards regarding minimum separation, the most stringent are applied. ^{6/}

References:

- National Electric Safety Code IEEE C2-2007 (2007 Edition)
- Industry practices

2-13: Trenchless Excavation



Practice Statement: All stakeholders adhere to all best practices and the following general guidelines prior to, during and after any trenchless excavation (as applicable).

Practice Description:

- The project owner and design engineer take prudent measures to make the determination to use trenchless excavation installation.
- The project owner and design engineer coordinate with facility owners to design projects that maintain minimum radial clearances between the new facility and existing facilities. Minimum clearances are equal to or greater than applicable standards.
- The project owner and design engineer establish line and grade of the proposed excavation to maintain the established minimum clearances. (See also Best Practices 4–19 and 5–29).^{13/}

References:

See Appendix D

2-14: Subsurface Utility Engineering (SUE)88/



Practice Statement: When applied properly during the design phase, Subsurface Utility Engineering (SUE) provides significant cost and damage-avoidance benefits, and the opportunity to correct inaccuracies in existing facility records. ^{19/}

Practice Description: In certain cases and environments, it may be difficult or impossible to determine the locations of all utilities and/or impediments with sufficient accuracy to avoid damage or delay during construction. In these cases, SUE is applied during the design phase to locate, identify and characterize all existing utility infrastructure (and other relevant nonutility features) found within a given project/area. SUE is applied in a structured manner in accordance with practices and quality levels found in ASCE 38-02 "Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data." The project owner dictates the required quality levels (QL), as well as the amount of effort expended by the SUE provider on each. Although the standard is more detailed and comprehensive, the following is a brief summary of the quality levels defined therein:

- QL-D involves utility records research and interviews with knowledgeable utility personnel.
- QL-C involves surface survey and identifying and recording aboveground features of subsurface utilities, such as manholes, valves and hydrants.
- QL-B involves application of "surface geophysical methods," such as EMbased locating instruments, GPR, radar tomography, metal detectors and optical instruments, to gather and record approximate horizontal (and, in some cases, vertical) positional data.
- QL-A involves exposure of a facility by safe excavation practices to ascertain the precise horizontal and vertical position of underground lines or facilities. Accepted safe excavation practices may vary by state/ local jurisdiction, but the preferred techniques include hand digging with extreme caution and/or vacuum excavation. (See Best Practice 5-32).

SUE results are integrated into the design process, in which design engineers use the information to create construction plans that accommodate existing infrastructure, thereby reducing the overall risk of conflicts and/or damage.^{11/}

- U.S. Department of Transportation—FHWA (12/1999). Cost Savings on Highway Projects Utilizing Subsurface Utility Engineering. Pub. No. FHWA-IF-00-014
- U.S. Department of Transportation—FHWA (3/2001). Subsurface Utility Engineering: Enhancing Construction Activities. Pub. No. FHWA-IF-01-011
- ASCE 38-02 Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data
- · Pennsylvania state law

2-15: Use of Qualified Designers



Practice Statement: Project owners employ qualified design and SUE providers.

Practice Description: When new utility infrastructure is installed, project owners employ qualified designers and SUE providers. Such providers have knowledge and understanding of applicable CGA Best Practices and of the ASCE 38-02 SUE standard. Providers are qualified in application of the associated design practices and SUE processes. The providers also are knowledgeable of the operation of any involved equipment and interpretation of results where applicable. Use of qualified SUE providers provides higher quality information to designers, who in turn can minimize utility conflicts by better depicting actual subsurface conditions on the construction plans.^{21/}

See also

- Practice Statement 2–3: Identifying Existing Facilities in Planning and Design
- Practice Statement 2-7: Use of Qualified Contractors
- Practice Statement 2–14: Subsurface Utility Engineering (SUE)

References:

New Jersey Public Service Electric and Gas

2-16: Project Coordination^{25/}



Practice Statement: Large and/or complex projects may require the use of specific processes established to enhance safety and to coordinate buried-facility damage-prevention efforts among all potentially affected stakeholders throughout the life of the project. Such processes are intended to complement, and be used in addition to, standard and customary one call notification and locating practices.

Practice Description: A "large/complex" project is a single project or a series of repetitive, small, related-scope, short-term projects that impact facilities over a long period of time or over a large area. Such projects pose a unique set of safety and damage prevention challenges when using standard one call practices, specifically as they apply to ongoing locating and re-marking requirements. These unique challenges can be addressed by the establishment of special processes, including (but not limited to) the following:

- · A method for identifying such projects
- · Preplanning and design coordination
- Increased 811 center involvement
- A formalized communication process among all affected stakeholders
- Project-specific marking agreements that address variance scenarios
- Regularly scheduled meetings of, and ongoing communication among, all involved stakeholders
- · Positive response

The purposes for establishing such processes are to enhance safety and to optimize the utilization of locating resources on large/complex projects.

- Georgia Utility Protection Center (GAUPC) and Georgia Utility Facility Protection Act (GUFPA)
- Pennsylvania One Call and Pennsylvania Underground Utility Line Protection Act

2-17: Electronically Locatable Lines^{68/}



Practice Statement: When designing and installing new facilities, a means is provided to allow the facilities to be electronically locatable.

Practice Description: Many facilities are damaged due to the fact that they cannot be located electronically. Non-conductive materials, such as PVC, cannot be located using traditional locate methods. When designing and installing non-conductive facilities, the use of a tracer wire or other methods (refer to practice 2-5, Markers for Underground Facilities) is part of that design and installation. This will allow these facilities to be identified, located and marked prior to future excavation activities.

References:

NC State Statute, Article 8A 87-121 (g)

2-18: Identifying Newly Installed or Under-Construction Facilities^{70/}



Practice Statement: Facility owner or designee identifies with the 811 center an underground facility that has been installed or is under construction but is not in service.

Practice Description: A facility owner provides the 811 center with shape files or other suitable mapping data for the new or under-construction facility that follows an existing or new corridor. A new facility includes facilities installed but not placed into service.

2-19: Underground Electronic Utility Markers^{72/}



Practice Statement: Underground electronic utility markers are an effective way to enable accurate locating and verification of underground facilities. (See Appendix B: Guidelines for Underground Utility Marker Technology)

Practice Description: Facility owners/operators can consider several characteristics in the selection and installation of underground electronic utility markers for locating to ensure consistency among stakeholders' future identification. Various characteristics are included in Appendix B, Guideline for Underground Electronic Utility Marker Technology. Underground utility markers such as electronic markers (EMs), RFID markers, ball markers and magnetic markers are devices that emit a signal to assist in the location of an underground facility. Underground electronic utility markers can be used to locate and identify an underground facility in two ways: (1) the underground utility markers can emit a signal that is a match to a predefined utility type, and (2) the underground utility marker signal can carry identifying data associated with the underground utility/asset type. Underground utility marker selection and examples of frequency ranges by utility and marker type are included in Appendix B, Guideline for Underground Electronic Utility Marker Technology.

- VDOT paper: Electronic RFID Marking and GPS Based Utility As-Built Mapping System
- See Practice Statement 2-5, "Markers for Underground Facilities"
- See Practice Statement 2-17, "Electronically Locatable Lines"
- Publication No. FHWA-HRT-16-019 "Feasibility of Mapping and Marking Underground Utilities by State Transportation Departments"
- Washington Gas, Engineering and Operating Standards, "Underground Plastic Pipe Location Identification"
- Consolidated Edison guideline, "Installation of electronic markers on gas mains and services"
- UGI

CHAPTER 3

811 Center













3-1: Proactive Public Awareness, Education and Damage Prevention Activities^{76/}



Practice Statement: The 811 center has a documented and proactive public awareness, education and damage prevention program.

Practice Description: The 811 center seeks opportunities to promote the need to "Call or Click Before You Dig." All promotion is intended to enhance awareness of responsibilities to safeguard workers and the public; protect the integrity of the buried infrastructure; foster a cooperative approach between the owners of buried facilities and the excavating community toward the prevention of damage to buried facilities; and promoting its service. Typical 811 center activities include the following:

- · Promotional items
- Media advertising
- · Maintain a social media presence
- · Participation at safety meetings
- Presentations, conferences, seminars, trade shows and other community events
- Excavator awareness and education programs
- Distribution of education material describing how the 811 system works
- Maintaining a database of active stakeholders of the local digging community
- · Facilitating meetings between stakeholders
- Participation in local damage prevention or facility location and coordination committees
- Advocacy and inclusion in legislative processes

References:

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers or existing practices from stakeholders
- · 811 center information
- 49 CFR Part 192
- 49 CFR Part 198
- National Transportation Safety Board (NTSB) Safety Study (NTSB/SS-97/01; PB97-917003)

3-2: Specifically Defined Geopolitical Service Area with No Overlap^{77/}



Practice Statement: The 811 centers serving a specifically defined geopolitical area are structured so that an excavator need only make one notification, and a facility owner/operator need only belong to a single 811 center per defined geopolitical area.

Practice Description: 811 programs are designed to promote ease of use for members (facility owners/operators) and excavators. Although this ease of use is enhanced when an 811 center serves a specifically defined geopolitical area that does not overlap with the service area of another 811 center's service area, non-overlapping service areas are not essential. There are three requirements that an 811 program must meet to be considered as having implemented this best practice:

- The program permits an excavator to use a single point of contact to submit and follow up on a notice of intent to excavate and to notify affected facility owners/operators.
- The program permits a facility owner/operator to join a single 811 center and receive all appropriate notices.
- The program is designed so that all pertinent information is shared among 811 centers in the event more than one exists.

References:

- · One Call Systems International Voluntary Recognition Program
- · Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-3: Formal Agreements with Members^{78/}



Practice Statement: Each member of the 811 center abides by state/provincial statute where applicable or written agreement that states the rights and the responsibilities of the excavators, member facility owners/operators and the 811 center.

Practice Description: Operating procedures and bylaws are established. Procedures for the operation of an 811 center are adopted to ensure the timely and accurate communication of locate requests and positive response between the excavator and the member facility owner/operator. The concept is to promote service, not obstructions. Topics for procedures can be classified as general, communications, center operations, reports, expenses and public awareness. These topics can be expanded to include guidelines and whatever else is needed for a particular system. Bylaws vary, depending on the type of organization. In some instances, they may prove unnecessary. If bylaws are adopted, effectiveness is paramount. Items that can be incorporated include sections on membership (including rights), financial matters, meetings, elections and duties of officers. Any other required agreements are documented as clearly as possible to facilitate understanding by all participants. Consideration is given to include "hold harmless" clauses, amounts of liability insurance, errors and omissions insurance, retention of records, cost allocations. reimbursements, area served (with options to expand as planned), and any special arrangements necessary. If an agreement to contract the service to an outside concern is made, it contains controls, checks and balances.

References:

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- 811 Center Information
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-4: 811 Center Governance81/



Practice Statement: The 811 center is governed by a board of directors representing the diverse makeup of stakeholders with a process in place for recruiting, onboarding, training and and retaining board members. Board members have appropriate industry qualifications and experience, along with policy and financial authority within their own company to best benefit the 811 center and its constituents.

Practice Description: To ensure that an 811 center functions to the best benefit of the entire community, it is governed by a board of directors made up of a diverse representation of stakeholders, such as facility owners/operators, contractors, designers, project owners and government representatives. Each board member has an appropriate executive level of authority within their own company/agency and is knowledgeable in their own industry,

as well as how it interacts with the 811 center and all of the represented stakeholders. The board has a process in place for recruiting, onboarding, training and retaining board members with appropriate qualifications.

References:

- One Call Systems International Voluntary Recognition Program
- · Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-5: Adoption of 811 Three-Digit Dialing and Single Toll-Free Statewide Telephone Number With Nationwide Access^{85/}



Practice Statement: The 811 center adopts 811 three-digit dialing and a single toll-free statewide telephone number with nationwide access.

Practice Description: 811 is used by excavators within every state in the United States to submit locate requests. In addition to 811, the center provides a toll-free number that has nationwide access, meaning that a caller can reach the center from anywhere in the country.

References:

- One Call Systems International Voluntary Recognition Program
- · Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-6: Hours of Operation 55/82/



Practice Statement: The 811 center can process locate requests 24 hours per day, 7 days per week.

Practice Description: The 811 center has a process in place where an excavator who has a locate request can, at any time of the day or night, every day of the year, contact the 811 center and have that request processed.

References:

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- 811 Center information
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-7: Voice Record of All Incoming Calls



Practice Statement: A voice recording is maintained of all voice transactions concerning requests to locate facilities.

Practice Description: A voice recording of telephone communications for locate requests is made to ensure that a precise record of the activity is retained. This recording can be legally supported in court, as well as used for damage investigations.

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-8: Retention of Voice Records According to Applicable Statutes



Practice Statement: Voice records of all calls concerning requests to locate facilities are retained according to applicable statutes.

Practice Description: Voice recordings are a factual record of the events that occurred between the caller and the 811 center. These factual records must be maintained and made accessible until the applicable statute of limitations in the state/province has expired. Because these laws vary from state to state, no specific time period is set forth as best practice. In the absence of notice by some party to the contrary, the records may be destroyed after the expiration of the statute of limitations. The 811 center has a procedure for processing requests for voice information.

References:

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-9: Caller Feedback



Practice Statement: The 811 center provides the caller with a ticket number and the names of facility owners/operators who will be notified for each locate request.

Practice Description: Providing the locate request ticket number and the names of the facility owners/operators who will be notified enhances the efficiency of the one call process. When provided the names of the facility owners/operators, the excavator knows which owners/operators will be notified in the area of the planned excavation. This helps the excavator determine if the facility owners/operators have responded to the locate request.

References:

- One Call Systems International Voluntary Recognition Program
- "Model 811 for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- NTSB Safety Study (NTSB/SS-97/01: PB97-917003)

3-10: Printed Ticket Recall



Practice Statement: The 811 center can provide a printed copy of any ticket for a period of time determined by applicable statutes.

Practice Description: In the event a damage investigation, litigation or other event occurs, it often is necessary to have a hard-copy printout of a locate request ticket. Local governments have statutory requirements for record retention in such cases. The 811 center has the ability to produce, as necessary, a copy of a location request ticket for the appropriate statutory period.

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-11: Documented Operating Procedures, Human Resource Policies and Training Manuals



Practice Statement: The 811 center has documented operating procedures, human resource policies and training manuals.

Practice Description: The 811 center has documented operating procedures, human resource policies and training manuals. Training manuals, practices, procedures and policies are on the premises in a designated area or place, are dated and are available for reference.

References:

- One Call Systems International Voluntary Recognition Program
- Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-12: Documented Owner Verification of Data Submitted by Facility Owners/Operators



Practice Statement: The 811 center returns the geographic description database documentation to the facility owner/operator annually, and after each change for verification and approval.

Practice Description: The 811 center can work only with the information related to the existence of buried facilities that its members provide. It is important that the 811 center be able to produce evidence that a member's data is accurate, according to that member. Regular verification of data is a part of the documented agreement or operating procedures between the owner/operator of buried facilities and the 811 center. Any deletions or additions made by the member are entered into the database, and documentation of the change is returned to the member for verification prior to activation.

References:

- One Call Systems International Voluntary Recognition Program
- "Model 811 for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01: PB97-917003)

3-13: Flexibility for Growth and Change



Practice Statement: The 811 center's operating plan is sufficiently flexible to accommodate growth and change.

Practice Description: A successful 811 center maintains flexibility to respond to changes by forming and maintaining a responsive organization whose board of directors' composition allows adequate representation of the needs of all stakeholders. A board's ability to respond to change is enhanced by drafting bylaws and operating procedures that reflect the current environment in which the 811 center serves. The most successful boards review these documents on an ongoing basis to ensure they continue to reflect or respond to current conditions. These boards conduct regular strategic planning sessions, during which they review the current state of the center's major systems, programs and outreach activities. Such assessments help the boards identify stakeholder needs for future growth and development. Many members of boards and center management teams stay informed about and involved in the one call industry by joining associations and attending conferences or other educational events that help them to better identify new opportunities for growth and change.

References:

- One Call Systems International Voluntary Recognition Program
- "Model 811 for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-14: Meeting Between the Excavator and Facility Operator(s) Initiated by One Call Notification



Practice Statement: The 811 center has a process for receiving and transmitting requests for meetings between the excavator and the facility operator(s) for the purpose of discussing locating facilities on large or complex jobs.

Practice Description: The 811 center relays requests for job site facility meetings with facility owners/operators to the affected facilities' owners/operators. If a meeting is required to show the limits and schedule of the work, the 811 center indicates that a meeting is requested. The 811 center requires that the excavator provide sufficient information to fully identify the boundaries of the proposed work site. A meeting request does not necessarily eliminate the need for a locate request.

References:

- Existing operating practices from various states' 811 centers
- OCSI Resource Guide 2009 (http://goo.gl/kpIDT)
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-15: 811 Center Accepts Notifications from Designers



Practice Statement: The 811 center accepts design requests and has the ability to process them as designated by the facility owners/operators.

Practice Description: To facilitate damage prevention, project designers have a need for access to facility location information from facility owners/operators. If a design request is received, the 811 center provides a listing of facility owners/operators directly to the designer. Once the list is identified, the 811 center processes the request as designated by each facility owner/operator.

References:

- · Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-16: Locate Request



Practice Statement: The 811 center captures the following information, at a minimum, on a locate request:

- · Caller's name and phone number
- Excavator's/company's name, address and phone numbers
- Specific location of the excavation
- · Start date and time of the excavation
- · Description of the excavation activity.

Practice Description: A locate request is a communication between an excavator and 811 center personnel in which a request for locating underground facilities is processed. In addition to the minimum required information identified in the preceding paragraph, the locate request

includes any available information that will help establish the specific location of the excavation site. This additional information could include the following, for example:

- A: More detailed information to help determine the specific location of the excavation, such as the following:
 - 1: City
 - 2: County/parish/township
 - 3: State/province
 - 4: Street address
 - 5: Street name
 - Length and direction of the excavation and the nearest adjacent cross streets (needed to bound area of excavation or extended excavation)
 - 7: Subdivision and lot number (for new development)
 - 8: X/Y coordinates—a means of defining horizontal position—the dig site can be a point, an area or box, or a polygon. For a spatial rectangle (maximum/minimum latitude/longitude), the dig site must be wholly within the specified area.
 - a: Latitude/longitude coordinate(s) or specific address of the dig site. These may be done automatically by the GIS subsystem or determined by a computer-assisted customer service representative.
 - b: GPS coordinates. When the excavator provides GPS coordinates to the 811 center and when the call center has the technical capabilities to capture this information, the GPS format is also specified (decimal degrees; degrees/decimal minutes; or degrees/minutes/seconds) and included on the ticket.^{61/}
 - 9: Highway mile markers
 - 10: Railroad mileposts
 - 11: General directions/instructions
 - 12: Map grids
 - 13: Distance to nearest cross street
 - 14: Any other pertinent references to help establish the location of the dig site
- B: The intended start date and time of the excavation (i.e., the date excavation is actually expected to begin, which may be later than when excavation can legally begin based on the ticket date)
- C: Type of excavation activity (e.g., boring, blasting, trenching, trenchless, etc.)
- D: For whom the excavation work is being done
- E: The purpose of the work (i.e., what will be installed or built)
- F: Additional remarks

References:61/

- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- 49 CFR Part 198
- Kansas One-Call: Excavator's Manual. "Rural Area," pg 9. http://www.kansasonecall.com/excavators/reference-materials/excavators-manual/

- Tennessee 811:
 - Geocall V3 CGAE.28 Rev 012813 "Latitude and Longitude Searches," pg 1.
 - Geocall V3 CGAE.29 Rev 062713 "Latitude and Longitude Searches," pg 1.
 - Geocall V3 CGAG.40 Rev 020413 "Latitude and Longitude," pg 1.
 - Geocall V3 CGAG.41 Rev 020413 "Latitude and Longitude." pg 2.
- New Mexico 811: Training Manual. 8.14 "How to Use GPS for Mapping a Ticket," pg 1–16.
- Mississippi 811: Training Manual. "Global Positioning System/Latitude-Longitude," pg 1.
- Hawaii One call: ITIC User Manual. "Submit a Locate Request," pg 16. http://callbeforeyoudig.org/hawaii/index.asp
- Montana One Call: ITIC User Manual. "Submit a Locate Request," pg 16, 23. http://callbeforeyoudig.org/montana/index.asp
- Illinois 811: Excavators. "Information Needed," http://www.illinois1call.com/excavators/infoneeded.html

3-17: Practices to Reduce Overnotifications



Practice Statement: The 811 center employs practices designed specifically to reduce the number of notices transmitted to facility owners/ operators in which the reported excavation site is outside the owner's/ operator's desired area of notification.

Practice Description: The 811 center employs technology that enables the facility owner/operator to determine its desired area of notification by either polygons or grids. To reduce overnotifications, technology includes, but is not limited to, the following:

- Enables the 811 center to define the proposed excavation site buffer to within approximately 800 ft.
- Enables the facility owner/operator to identify its desired area of notification to within approximately 100 ft.

References:

- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-18: Disaster Recovery



Practice Statement: An 811 center develops, implements and maintains an effective disaster recovery plan that enables the one call function to continue in the event of a disaster.

Practice Description: The 811 center develops and implements an effective disaster recovery plan that enables it to continue operations in the aftermath of a disaster affecting the facility. Excavators and underground facility owners/operators outside of the area affected by the disaster can continue to conduct business with minimum to no delays in the services provided by the 811 center. The disaster recovery plan makes provisions for the 811 center to process emergency locate requests for the areas affected by the disaster. The 811 center (the primary center) has a backup arrangement with another facility at a remote location (the secondary center). This arrangement includes the following:

 Telecommunications—alternate routing schedules are in place and ready to be activated within minutes of the primary center's failure.

- Software and hardware—the secondary center has compatible hardware with the primary center. The secondary center always has a copy of the primary's current software.
- Database—the secondary center receives the primary center's database, including locate requests, on a regular basis and preferably in real time.
- Staffing—a portion of the secondary center's staff is cross-trained for the primary center's operation at all times.
- Simulated emergency testing—at least once a year, on a random basis, the disaster recovery plan is implemented to verify that it is operational.

References:

- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers

3-19: Direct Electronic Locate



Practice Statement: The 811 center provides users a means of direct, electronic entry of locate requests that maintain comparable ticket quality to an operator-assisted entry.

Practice Description: The 811 center has interactive data communications sufficient to permit remote data entry for members and excavators. The remote interface validates the input information and allows the user to make corrections if necessary. This correction is accomplished by referencing the same geographic database used at the 811 center when taking a called-in request. This process ensures that the ticket quality is maintained for all tickets.

References:

- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers
- NTSB Safety Study (NTSB/SS-97/01; PB97-917003)

3-20: Accept Multiple Reference Points for Locate Requests



Practice Statement: The 811 center can accept multiple types of points of reference to define the exact location of an excavation site (e.g., latitude/longitude, highway/railroad/pipeline mile markers, address, street/cross street, etc.).

Practice Description: The 811 center's locate request-taking processes and computer system are designed to accept and process multiple types of reference points used by callers to (1) describe the location of their work and (2) define the excavation site. Examples of different types of reference points include highway mile markers, railroad mileposts, valid address or street/cross street, latitude and longitude, township/range/section, city, county, political and mailing address (ZIP code) boundaries, etc. All stakeholders involved in the one call process receive a corresponding benefit when the 811 center can define the excavation site as specifically as possible. The facility operator's job of determining the existence of a potential conflict is expedited, field personnel can find and mark the affected area much easier, and the excavator receives timely markings covering the area of excavation. Standardizing a limited set of criteria reduces the flexibility of the system to serve the excavator and facility owner/operator. The 811 center invests in systems and processes that permit inclusion of a variety of types of reference points in defining the excavation site. The 811 center takes steps to link these reference points to the database used to register the facility operator's desired area of notification, thereby helping to reduce overnotification.

References:

- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers

3-21: 811 Center Security



Practice Statement: The 811 center provides appropriate physical and systems security, fire protection and electrical protection to protect the 811 center and its critical components.

Practice Description: The 811 center needs protection from natural disasters and other threats. Because the 811 center is a critical link in the communication chain between the excavating community and facilities, it is important that the 811 center does whatever it can to provide adequate security, taking into account that it may well need to be operational in times of natural disasters or in the face of other threats. Security components could include the following:

- Physical security for the building and its employees through locked operations areas, lighting, employee key cards and guard patrols
- Physical security for critical systems components that may include locating the facilities in locked enclosures and restricting access to necessary personnel
- General fire protection for the 811 center personnel and property
- · Specialized fire protection for critical systems components
- Specialized theft protection for critical systems components
- Telephone demarcation points in a protected area within the 811 center
- Passwords and protections to limit access to computers and other systems
- Off-site storage of a duplicate database and necessary system software

Reference:

· Existing operating practices from various states' 811 centers

3-22: Hardware Designed to Tolerate a Single Point of Failure



Practice Statement: The 811 center uses fault-tolerant hardware for its critical path operations, such as ticket taking, database access and ticket delivery.

Practice Description: A fault-tolerant system can withstand any single hardware malfunction without any interruption or degradation of service. These systems have the ability to identify the malfunctioning hardware component and permit its replacement while remaining online and processing normal applications. These fault-tolerant systems maximize the probability that the 811 center will be able to properly process an excavation request in the event of a failure or malfunction.

- "Model 811 for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers

3-23: 811 Center Quality Standards^{24/}



Practice Statement: The 811 center establishes and monitors performance standards for the operation of the center.

Practice Description:

A: Customer Quality of Service Performance Measurements

811 centers monitor the quality of service provided to a customer who phones in a locate request. Key performance indicators include, but need not be limited to, average speed of answer, call abandonment rate, busy signal rate and customer satisfaction. The recommended benchmarks to fulfill a high quality of customer service while promoting accuracy, cost effectiveness and efficiency are identified below. Meeting or exceeding a benchmark qualifies as a "best" practice.

1: Average Speed of Answer

Average speed of answer (ASA) usually comprises the number of seconds between the time a caller is transferred from the Interactive Voice Response (IVR) system and the time a voice welcomes the caller and begins the processing of a locate request averaged over a specified time interval and accumulated daily.

Service level objectives in the 811 center industry are generally monitored daily, monthly and year to date. An ASA objective of 30 seconds or less is recommended.

2: Abandoned Call Rate

The incidence of abandoned incoming calls is a function of the number of 811 center customer service representatives actively processing locate requests and the volume of incoming calls. Callers have an expectation that all calls will be answered within a reasonable time. A caller that has waited more than 60 seconds before hanging up is considered an abandoned call.

A monthly average abandonment rate that is less than 5% is recommended.

3: Busy Signal Rate

The incidence of callers experiencing busy signals is a function of the number of incoming telephone lines to the 811 center and the incoming call volume. Callers have an expectation that there will be very few busy signals.

Typically, 811 centers can extract information on busy signals from their telephone systems or obtain the information from their communications service providers. The information usually comprises the number of callers experiencing a busy signal as a percentage of the total number of attempts to contact the 811 center during normal business hours.

Service level objectives are reported daily, monthly and year to date. A monthly average busy signal rate that does not exceed 1% is recommended.

4: Customer Satisfaction

A fundamental principle in measuring quality is that "the customer defines quality." Periodic customer satisfaction surveys are conducted.

The 811 center makes all information/data collected on the quality of its performance available for review by the appropriate oversight authority and the public upon request.

B: Locate Request Quality

The 811 center has in place quality control and quality assurance programs to measure and monitor the accuracy and completeness of the information received by the 811 center compared to the information transmitted by the 811 center.

C: Notification Delivery

The 811 center establishes and monitors criteria for the transmission of notifications and notification audit reports.

Typically, the 811 center can transmit notifications in an electronic format that allows receiving stations to parse/extract data.

Notification audit reports are sent to receiving stations at a mutually acceptable frequency. It is a best practice to send an audit report at least once every business day.

Typically, notification transmission is immediate.

References:

- One Call Systems International Voluntary Recognition Program
- "Model One Call for the 20th and 21st Century," AT&T (was available when the practice was created but is no longer available)
- Existing operating practices from various states' 811 centers

3-24: Web Services Solution 17/



Practice Statement: The 811 center provides a method by which a member operator can receive excavation notifications through a secure web service that uses an accepted standard for its ticket format, such as Extensible Markup Language (XML) 1.0.

Practice Description: In addition to all other methods and formats used by 811 centers to communicate excavation notifications to underground facility owners/operators that do not have automated ticket management systems, 811 centers also should provide a method that is consistently secure and reliable. Establishing this method within the 811 centers along with an accepted standard format such as Extensible Markup Language (XML) 1.0 satisfies this practice. Providing email and/or File Transfer Protocol (FTP) communications methods alone does not satisfy this practice.

- · Sunshine State One Call of Florida
- · Utility Protection Center of Georgia
- Dig Safely New York
- · Ohio Utilities Protection Service
- Arizona 811

3-25: Identification of Unknown Lines^{15/}



Practice Statement: The 811 center has a defined and documented policy for handling calls from excavators regarding the discovery of an unidentified line.

Practice Description: To facilitate damage prevention, 811 centers have an established procedure that is implemented when an excavator calls and reports an unidentified facility. The action taken could be as simple as re-notifying all affected facility operators in the absence of any other specific requirement of state or local law.

References:

• Many 811 centers process a "Dig Up" request when an unidentified line has been exposed (Texas). Others simply reissue the locate request with an appropriate remark (Maryland, Delaware). Some state laws mandate that additional specific action be taken by the facility operators upon receipt of these types of notices (Arizona, which currently requires an "unknown line policy" to be in effect via the Arizona 811 center). The law requires that the 811 center "establish a method of providing personnel from a facility owner qualified to safely inspect and verify that the facility is abandoned or active and a method for reimbursing the verifying facility owner for the cost incurred."

3-26: 811 Center Membership^{22/}



Practice Statement: Any entity that furnishes or transports products or services to a third party for its use or consumption by means of an underground facility, or furnishes or transports products or services for its own internal use by means of an underground facility that occupies or crosses a right-of-way or utility easement is a member of an 811 center.

Practice Description: Underground damage prevention begins with a notice of intent to excavate submitted by an excavator to the appropriate 811 center. The process of notification depends on all affected member facility operators being notified of intent to excavate through the regional 811 center.

Membership in the 811 center by underground facility operators ensures that potential conflicts with existing facilities that may be encountered during excavation activities are identified by using a single regional point of contact. Operators of the aforementioned underground facilities who fail to become members of their local 811 center risk public safety and damage to their facilities, and endanger excavators who may come into contact with these aforementioned underground facilities.

The following are examples of an underground facility that would probably not require 811 center membership:

- The internal use of owned underground facilities to provide safe operations in controlled rights of ways, such as railroad operating corridors that facilitate the transportation of freight or passengers.
- The internal use of an entity's underground facilities by that entity solely
 on its own property. (Note: aboveground use of one's rights of way or
 property, such as the transportation of freight or passengers by rail, is not
 within the purview of the CGA Best Practices.)

References:

State One Call Laws, 1999 Common Ground Study

3-27: Electronic Positive Response^{46/}



Practice Statement: The 811 center provides a method for facility owners/ operators to electronically post their positive response status to a notice of intent to excavate.

Practice Description: By hosting an electronic positive response system, the 811 center provides facility owners/operators the best means to communicate the status of their response to a notice of intent to the person initiating the notice.

References:

- BP 4-9 Positive Response Is Provided to Facility Locate Requests
- Existing practice in Arizona, Colorado, Delaware, Florida, Georgia, Iowa, Maryland, Michigan, New Jersey, New Mexico, North Carolina, Ohio, Pennsylvania, Tennessee, Virginia, West Virginia, and Washington, D.C.

3-28: 811 Center Data53/



Practice Statement: All 811 centers annually submit their ticket and transmission volumes to the OCSI Data Collection Tool.

Practice Description: Ticket and transmission volumes from the One Call Systems International (OCSI) data collection tool are shared with the Damage Information Reporting Tool (DIRT) to make a correlation between 811 center ticket and/or transmission volume to damages or events that have occurred. Many 811 centers currently provide this data to the OCSI data collection tool. Receiving ticket and transmission volumes from all 811 centers allows all stakeholders to review, on a national level, more accurate projections, and to determine the cause and possible solutions for damages to subsurface installations.

References:

811 centers who participate currently:

AR — Arkansas One Call System MZ — Arizona 811 MA — Arizona 811 MA — USA North MA — USA South MA — CO—Colorado 811 MA — CT — Call Before You Dig MEL — SunshineState One Call MA — UsilitiesProtectionCenter MA — Iowa 811 MA — Iowa 811 MA — Dig Line MA — MA	LA — Louisiana One Call System MA — Dig Safe System ME — Dig Safe System MI — Miss Dig System MN — GopherState One Call MO — Missouri One Call System MS — Mississippi 811 NC — North Carolina 811 NH — Dig Safe System NM — New Mexico One Call NV — USA North NY — New York 811	OH — Ohio Utilities Protection Service OR — Oregon Utility Notification Ctr PA — Pennsylvania One Call System RI — Dig Safe System SD — South Dakota One Call TN — Tennessee 811 TX — Texas 811 UT — Blue Stakes of Utah VA — Miss Utility of Virginia VT — Dig Safe System WA — Oregon Utility Notification Ctr WI — Diggers Hotline
IN —Indiana 811	NY —New York 811	WI — Diggers Hotline
KS — Kansas One Call System N KY — Kentucky 811	NY —Dig Safely New York	WV — Miss Utility of West Virginia

3-29: 811 Center Locate Request Size and Scope^{54/}



Practice Statement: A maximum locate request area that is appropriate for a proposed excavation site is defined for a facility locate request.

Practice Description: Designating a manageable locate request size (work area size/scope) along with clear locate instructions will reduce uncertainty and provide clarity to the utility operators and/or locators as to "what" and "where" needs to be located and marked. This is designed to prevent unnecessary locator effort and allow adequate time to locate and mark the affected underground facilities within the time frame and marking requirement of the appropriate state statute.

References:

 Existing state laws, including Georgia, Indiana, Pennsylvania and South Carolina (as examples)

3-30: Locate Information Shared with Excavator 60/



Practice Statement: The 811 center provides locate request information to the excavator.

Practice Description: Providing locate request information to the excavator enhances the one call communication process. By providing the excavator with a record of information communicated to facility owners, operators and locators by the 811 center in response to the excavator's locate request, the excavator is able to verify the accuracy of the information. This information includes, but is not limited to, contact information, work type, excavation activity duration and ticket life, as well as the proposed location of excavation activities.

References:

- North Carolina 811
- · Pennsylvania 811

3-31: Enhanced Positive Response 66/



Practice Statement: Enhanced Positive Response is utilized, where available, to provide additional information to the excavator about a performed locate.

Practice Description: This information provided to the excavator, prior to excavation, will help the excavator know more about the utilities and job site prior to dispatching resources to excavate. With this additional information, the excavator will be able to plan the excavation to reduce damage to underground utilities.

This communication may include documentation and any other information that will promote safe excavation, including details about the locate and the facility owner.

It is a common practice for utility operators and contract locators to capture the enhanced information about locates that are performed in response to 811 centers' requests.

Sharing this enhanced information with the excavator, prior to excavation, will help to reduce damage to underground facilities and provide clarification of the location of each utility.

References:

- A pilot program was successfully implemented in 2014 in Montgomery County, MD. The success of the pilot program encouraged utilization of the EPR throughout Washington Gas distribution system. Beginning May 2015, there have been over 1,000,000 EPR packages provided systemwide.
- The proposed practice enhances Locating and Marking BP 4.9 and 4.13.
 The proposed EPR will provide support for Excavation BP 5.8, 5.10, 5.11, 5.13, and 5.14.
- EPR is in use as a daily process by Washington Gas', UtiliQuest and Miss Utility (OCC) 811 center for all locate requests in Washington, D.C. and MD.

3-32: Communicate Potential for Privately-Owned Facilities



Practice Statement: The 811 center educates individuals submitting a locate request that privately owned and operated service lines/facilities may exist. Owners/operators of those private service lines/facilities who are

not members of the 811 center will not be notified.

Practice Description: The purpose of this practice is to make the individual submitting a locate request aware that the 811 center will notify only its members who are obligated to respond. Buried private service lines/facilities that are owned and operated by nonmembers may be present and will not be located.

Best Practice 4–21 in the Locating and Marking chapter recognizes the practice and the obligation of operators to locate and mark their service lines/facilities connected to main lines/facilities.

Practices outlined in Best Practices 2–3 and 2–14 in the Planning and Design chapter should identify public and private lines/facilities at the design phase. The locations of those lines/facilities should be verified and documented before the construction phase.

Entities and individuals who are not members of the 811 center and are not in the business of providing a product or service with service lines/facilities will not be notified. The 811 center explains to individuals requesting a locate or to visitors to their websites that private service lines/facilities may exist and will not be located.

References:

 Arizona 811, Colorado 811, Kentucky 811, Pennsylvania 811, Mississippi 811, Gopher State One Call, Georgia 811, Illinois One Call

CHAPTER 4

Locating and Marking













4-1: Available Records



Practice Statement: Locators use available facility records at all times.

Practice Description: Facility locators use available records at all times. Facility records indicate approximate location, number of facilities and access points for buried facilities within a requested area. The use of facility owner/operator-supplied records is an effective method of identifying facilities as part of the locating process.

4-2: Corrections and Updates



Practice Statement: If a facility locator becomes aware of an error or omission, then the facility locator provides information for updating records that are in error or for adding new facilities.

Practice Description: During the course of a locating activity, a locator may become aware of errors or omissions. Methods are in place to notify a facility owner/operator of that error or omission. The corrections are submitted to the appropriate person or department in a timely manner. The method of notification is determined by the facility owner/operator and includes the following information:

- Name (and company if contracted)
- Contact phone number of the individual(s) submitting the change
- Location (either address or reference points)
- Size and type of facility
- · Nature of the error or omission
- · Sketch of the change in relation to the other facilities

Omissions and errors may occur as a result of misdrawn records, changes during construction at the job site, repair or abandonment of facilities, and delays in posting new records. Failure to note errors or omissions when found could result in damages to the facility at a later date. The 1994 NTSB Excavation Damage Prevention Workshop stated that "facility operators should be required to update maps when excavation finds errors in the mapping system."

4-3: Color Code



Practice Statement: A uniform color code and set of marking symbols is adopted nationwide.

Practice Description: A national standard is adopted defining color specifications relevant to facility type and marking symbols for identifying facilities. (See Appendix B, "Uniform Color Code and Marking Guidelines.")^{9/} The December 1997 NTSB safety report cites the use of the APWA/ Utility Location and Coordination Council (ULCC) color code as the model example.

4-4: Vacant83/

4-5: Locator Training



Practice Statement: Locators are properly trained. Locator training is documented.

Practice Description: Minimum training guidelines and practices are adopted for locator training. These guidelines and practices include the following:

- Understanding system design/prints/technology
- Understanding construction standards and practices for all types of facilities
- Equipment training and techniques
- · Plant recognition training
- · Theory of locating
- · Daily operations
- · Facility owner/excavator relationships and image
- Safety procedures per Occupational Safety and Health Administration (OSHA) regulations/federal, state/provincial and local laws
- Written and field testing
- · Field training
- · Annual retesting

The National Utility Locating Contractors Association (NULCA) Locator Training Standards and Practices² represent an accepted model within the locate industry. Documentation of all training is maintained to ensure that facility locators have been properly trained.

4-6: Safety



Practice Statement: Locates are performed safely.

Practice Description: It is the responsibility of the owner/operator and locator to establish when and how the underground facility will be identified. All hazards associated with performing a locate are identified. Appropriate measures conforming to federal, state/provincial, local and industry standards are established. Employees are made aware of these hazards and are properly trained in worker safety standards.

A: Pre-Work Safety Considerations

- 1: Site Background Data. Site information is gathered to determine hazards, exposures and/or other potential safety problems that might be encountered in connection with on-site locate work. This information may be gathered from the facility records and from visual inspection.
- 2: Site Familiarization. Site characteristics that could affect locate work are analyzed. Areas to be considered include the following:
 - a: Obstructions. The site is analyzed to determine if physical obstructions are present on the property that would make locate work unsafe. Means for working around such obstructions are defined.
 - b: Traffic. Vehicular arteries (e.g., highways, roadways, railways, etc.) at the work site are identified to determine whether such traffic would pose any safety hazard to locating the site.
 - c: Physical Site Conditions. Soil conditions and other factors (e.g., trenches, pits, bores, standing water, etc.) that could affect the safety of the job site are identified. Methods are developed to identify and safely work around these hazards.

- 3: External Resources. Information is gathered about safety-related resources that might be required in the event of an accident or other problem (such as an employee illness). Information needed includes location and contact information for the nearest hospital, fire department, police department and any other public emergency response organization. In addition, access routes and travel plans to emergency response facilities are defined.
- 4: Work Plan. A work plan in which procedures, employee roles, equipment requirements, time requirements and other factors are considered is developed to define the most efficient means for safely accomplishing required locate work. This work plan considers all of the safety related information developed in connection with paragraphs 4–6.A.2 and 4–6.A.3.
- 5: Job Briefing. Information developed as discussed in paragraphs 4–6.A.1 through 4–6.A.4 is used to conduct a job briefing prior to commencement of on-site locate work. The job briefing focuses on safety aspects of the required work.

B: Locate Work Safety Considerations

- 1: Personnel Protection. Watchman/lookout capabilities are provided to ensure the safety of personnel in cases where locate work requires that working individuals disrupt traffic flow or otherwise occupy hazardous positions. All working individuals wear proper safety attire. Such attire provides for adequate visibility of the worker and personal protection against hazards.
- 2: Equipment. All equipment used in connection with locate work is suitable for the intended uses. Items such as ladders, electrical test devices, and other instruments and items are inspected from a safety perspective prior to use. Safety features such as locking devices, grounding, insulation, etc., are thoroughly inspected.
- 3: Exposures. In cases where locate work requires personnel to enter into spaces with potentially unsafe conditions, appropriate testing is accomplished prior to entry. During times when such spaces are occupied, adequate monitoring and/or ventilation devices are present and properly operating during occupancy.
- 4: Work Activities. All locate work activities are conducted with safety given first priority. All employees are thoroughly trained and briefed regarding safety measures such as minimizing exposures to potentially hazardous conditions, avoiding unnecessary risks, and giving priority to personal safety.

C: Post-Work Safety Considerations

- 1: Termination of Work Activities. After locate work is completed, the site is restored and left in such a condition that no safety hazards associated with the locate work activities remain. All personnel and equipment used in connection with the work are accounted for, and no unsafe conditions remain at the site. Any safety related equipment used in connection with the work is returned/restored to pre-work status.
- 2: Debriefing. After completion of locate work, a debriefing safety review of work activities is conducted. The review looks at the safety aspects of all applicable work practices to determine if unnecessary exposures may have occurred and where improvements could be made.

4-7: Visual Inspection



Practice Statement: A visual inspection is completed during the facility locating process.

Practice Description: This inspection includes the following:

- All facilities within a facility owner/operator's service area (to evaluate the scope of the locate request)
- · Identification of access points
- · Identification of potential hazards
- · Assurance that plant facilities shown on records match those of the site

A visual inspection helps determine if there are facilities placed that are not on record. It is very important that visual inspections be completed in areas of new construction, where records may not indicate the presence of a facility. The visual inspection is necessary because the time between placing a facility in the field and placing it on permanent records varies by facility owner/operator and location. Evidence of a facility not on record includes, but is not limited to, poles, dips, enclosures, pedestals (including new cables found within the pedestals), valves, meters, risers and manholes.

4-8: Facility Marking



Practice Statement: Facilities are adequately marked for conditions.

Practice Description: Facility locators match markings to the existing and expected surface conditions. Markings may include one or any combination of the following: paint, chalk, flags, stakes, brushes, or offsets. All marks extend a reasonable distance beyond the bounds of the requested area. Proper training for all facility locators includes properly identifying the varying surface and environmental conditions that exist in the field, and what marking methods should be used. Conditions that may affect markings are rain, snow, vegetation, high traffic, construction, etc.

4-9: Positive Response to Locate Request



Practice Statement: Positive response is provided to facility locate requests.

Practice Description: All facility locate requests result in a positive response from the facility owner/operator to the excavator. A positive response may include one or more of the following: markings or documentation left at the job site, callback, fax or automated response system. A positive response allows the excavator to know whether all facility owners/operators have marked the requested area prior to the beginning of the excavation.

4-10: Marking Multiple Facilities in the Same Trench



Practice Statement: Multiple facilities in the same trench are marked individually and with corridor markers.

Practice Description: In general, the number of lines marked on the surface equals the number of lines buried below. In circumstances where the total number of lines buried in the same trench by a single facility owner/operator may not be readily known, a corridor marker is used. The corridor marker indicates the width of the facility. (See Appendix B, "Uniform Color Code and Marking Guidelines.")^{20/}

4-11: Abandoned Facilities



Practice Statement: Information on abandoned facilities is provided when possible.

Practice Description: When the presence of an abandoned facility within an excavation site is known, an attempt is made to locate and mark the abandoned facility. When located or exposed, all abandoned facilities are treated as live facilities. Information regarding the presence or location of an abandoned facility may not be available because of updating or deletion of records. In addition, abandonment of an existing facility, damage to an abandoned facility, or limited or non-existing access points may render an abandoned line non-locatable. It should be emphasized that recommendation of this practice is not an endorsement of the maintenance of records for abandoned facilities.

4-12: Locating Electromagnetically



Practice Statement A: When locating electromagnetically, active/conductive locating is preferable to passive/inductive locating.

Practice Description: The preferred method of actively applying a signal onto a facility is to use direct connection. Direct connection is the process of connecting a direct lead from the transmitter to the target facility and connecting a ground lead from the transmitter to a ground point to complete a circuit. This process provides the strongest signal on the line and is less likely to "bleed over" to adjacent facilities than other methods of applying a signal. This method allows a greater range of frequency and power output options. It is good practice to use the lowest frequency possible at the lowest power output possible to complete the locate. If direct connection is not possible, use of an induction clamp (coupler) is the most effective method of applying a locate signal onto the target conductor. This method is more limiting for the choices of frequency and power outputs than direct connection. Using an induction clamp is not as effective at transmitting a signal as direct connection, can only be used within certain frequency ranges, and must use a higher power output. The least-preferred method is induction or broadcast mode on a transmitter. This usually results in a weak signal that will "bleed over" to any conductor in the area.

Practice Statement B: When electromagnetic locating is not possible, radar-based technologies can be used.^{10/}

Practice Description: In cases where non-conductive utilities cannot be located using electromagnetic means, radar-based methods such as ground penetrating radar and associated technologies can be used to determine the location of such utilities. It is important to note that these technologies are not applicable in all areas or conditions, because conductive soils and materials obscure radar signals. Users of these technologies should have the degree of knowledge and training required to operate the associated equipment and/or to interpret the results. Applicable radar frequencies range from 200 MHz to 900 MHz, where higher frequencies provide higher resolution but shallower depth of penetration.

4-13: Facility Owner/Operator Identification



Practice Statement: The facility owner/operator is identified.

Practice Description: When feasible, the owner/operator of a facility is identified by markings at the time the facility is located. This practice facilitates a positive response for all facilities within the requested area. (See Appendix B, "Uniform Color Code and Marking Guidelines.")

4-14: Communication between Parties



Practice Statement: Communication is established between all parties.

Practice Description: 811 centers, facility owners/operators and excavators all have clearly defined processes to facilitate communication between all parties. If the complexity of a project or its duration is such that a clear and precise understanding of the excavation site is not easily conveyed in writing on a locate request, then a pre-location meeting is scheduled. This pre-location meeting is on-site to establish the scope of the excavation. Written agreements between the excavator(s) and the locator(s) include the following information:

- Date
- Name
- Company
- Contact numbers for all parties
- · A list of the areas to be excavated
- A schedule for both marking and excavating the areas
- Any follow-up agreements that might be necessary

Any changes to the areas that are to be located are in writing and include all parties responsible for the excavation and marking of the excavation sites. Locators also schedule meetings if the complexity of the markings requires further explanation.

4-15: Documentation of Work Performed



Practice Statement: Documentation of work performed on a locate is maintained.

Practice Description: A facility locator always documents what work was completed on a locate request. This assists in the locate process by requiring a locator to review what was located and then to verify that all facilities within the requested area were marked. Careful documentation helps ensure that there is an accurate record of the work performed by the locator and helps eliminate confusion over what work was requested by the excavator.

4-16: Damage Investigation



Practice Statement: A damaged facility is investigated as soon as possible after the occurrence of damage.

Practice Description: Anytime a damage occurs, a proper investigation is performed to determine not only the responsible party but also the root cause of the damage. The information gathered from damage investigations is essential in preventing future damages.

4-17: Forecasting/Planning for Workload Fluctuations84/



Practice Statement: A plan including an annual forecast, quarterly review, weekly/monthly engagement, or another agreed-upon frequency is developed to manage ticket volume and locate workload fluctuations.

Practice Description: Facility owners/operators and/or their representatives develop methods to sufficiently forecast and plan for future workloads so that ticket requests may be completed on time. This best practice ensures that adequate personnel and equipment are available to complete all locate requests.

4-18: Quality Assurance



Practice Statement: Underground facility owners/operators have a quality assurance program in place for monitoring the locating and marking of facilities.^{7/}

Practice Description: The process of conducting audits for locates is a critical component to the protection of underground facilities. The recommended components listed below are assembled from multiple sources and are meant to provide general guidelines for auditing the work of locators.

Components:

- A: Conduct field audits and choose some locations to be audited/surveyed purely at random.
- B: Check accuracy to within, governed, contractual and minimum tolerance levels.
- C: Measure timeliness, as defined by regulation/statute.
- D: Check completion of a request.
- E: Check evidence of accurate and proper communication.
- F: Check that proper documentation exists.
- G: Check that an audit/survey is documented.
- H: Communicate results to applicable personnel.
- I: Trace audits for trend analysis.
- J: Verify proper hook-up and grounding procedures where applicable.
- K: Verify the reference material used to document that the locate was up to date (electronic plans or paper plans).
- L: Verify that appropriate safety equipment and procedures were used by the locator.
- M: Verify that tools and equipment are in proper working order and properly calibrated.

References:

 Health Consultants Incorporated; Central Locate Services, LTD; Great Plains Locating, ATCO Gas; Utiliquest

4-19: Trenchless Excavation^{13/}



Practice Statement: All stakeholders adhere to all best practices and the general guidelines stated in the following practice description prior to, during and after any trenchless excavation (as applicable).

Practice Description: Locate in the area of the entrance pit the trenchless excavation path and the exit pit when trenchless excavation is being used. (For additional Information, refer to Practice Statements 2–13 and 5–29.)

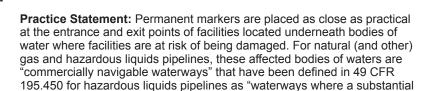
References:

See Appendix D

4-20: Locating and Marking in Navigable Waterways

likelihood of commercial navigation exists."

A: Permanent Markers for Underwater Facilities 12/



Practice Description: Markers are used by underwater facility owners (e.g., cable, telecommunication, electric, water, sewer and oil/gas pipelines, etc.) to indicate the presence of an underwater facility in the area. There are many excavating activities (e.g., dredging, bridge construction, anchors, directional boring and other activities) that can damage these underwater facilities. The proper placement and maintenance of visible permanent markers raise the awareness of these facilities and reduce the likelihood of damage.

Markers for underwater facilities follow local, state and federal laws and regulations. Facility type, name and contact number of the facility operator are included on markers for all facility types. In some cases, the facility contact is the 811 center. Markers include the words "Do Not Anchor or Dredge" and/or applicable warning language.

Benefits:

 By alerting excavators to the presence of underwater facilities, permanent shoreline markers provide additional protection to the excavators, facilities and the public.

References:

 Tennessee Gas: 1995 Procedures, OPS: 49 CFR 192.707, Sunshine State One Call of Florida, State of California Code, State of Delaware Code, State of Alabama Code, State of Mississippi Code

B: Temporary Markers for Underwater Facilities^{14/}



Practice Statement: Temporary markers are placed within the areas of proposed excavations as close as practical over facilities that are submerged in bodies of water where facilities are at risk of being damaged without impeding or creating additional hazards.

Practice Description: The technology used to locate and mark the submerged facility is dependent upon the size of the facility, depth of water, material composition of the floor, and the depth the facility is positioned in or on the floor of the body of water. Temporary markers such as buoys, poles or PVC markers are used by underwater facility owners to indicate the presence of an underwater facility in the area. At times these markers may be supplemented with mapping, GPS coordinates and/or fixed highbank marks. There are many excavating activities, such as dredging, bridge construction, setting of anchors and directional boring, that can damage underwater facilities. The proper placement of visible temporary markers raises the awareness of these facilities and reduces likelihood of damage. Communication between stakeholders is initiated through the 811 center to reduce potential conflicts. It is critical for stakeholders to maintain communication throughout the excavation to ensure the safe and successful completion of the project. Placement and removal of temporary markers for underwater facilities follow local, state, and federal laws and regulations.

Benefits:

By alerting excavators to the presence of underwater facilities, temporary markers provide additional protection to excavators, facilities and the public.

References:

 Sunshine State One Call of Florida, State of California Code, State of Delaware Code, State of Alabama Code, State of Mississippi Code

4-21: Service Lines^{34/}



Practice Statement: A service line is marked in response to a locate request to the operator who uses the service line to pursue a business that derives revenue by providing a product or service to an end-use customer via the service line. A service line is marked in response to a locate request to a governmental entity that provides a product or service to an end-use customer via the service line.

Practice Description: A service line is a type of underground facility that is connected to a main facility. The service line is used by the following entities:

- An operator who provides a product or service within a right-of-way, an
 easement, or an allowed access to or through private property while
 pursuing a business that generates revenue by providing a product or
 service to an end-use customer (other than another operator of like kind
 or themselves).
- A governmental entity that provides a product or service via that service line.

The operator or the governmental entity locates and marks these service lines within the bounds of the locate request up to either 1) the point of their operational responsibility, 2) the point the service line enters a building, or 3) where the access to locate the line terminates, as designated by the prevailing law.

- South Dakota Attorney General's official opinion 8/11/08
- Minnesota DPS Rule Ch 7560 5/31/05
- Colorado appellate court case: Wycon Construction Co. v. Wheat Ridge Sanitation District, 870 P.2d 496 (Ct. App. Col. 1994)
- Leon County, FL, County Court Case No. 03-SC-6827, Mitchell Properties, Ltd. V. Cornerstone of North Florida, Inc. v. City of Tallahassee
- Oregon PUC Ruling 5/1/98
- · State One Calls laws: AZ, GA, MN, OH, PA

4-22: Marking Newly Installed Facilities^{42/}



Practice Statement: Facility operators ensure that new facilities in areas with continuing excavation activity are marked upon installation to indicate their presence.

Practice Description: In areas of continuing excavation, newly installed facilities can be damaged and safety can be compromised if the facilities are not marked. Marking facilities upon installation gives notice to other excavators of the newly installed facilities that may not otherwise be marked in response to a notice of intent to excavate.

References:

 CenterPoint Energy/Minnesota, Michels Construction, WE Energies/ Wisconsin

CHAPTER 5

Excavation





Facility Owner







Project Owner



5-1: 811 Facility Locate Request



Practice Statement: The excavator requests the location of underground facilities at each site by notifying the facility owner/operator through the 811 center. Unless otherwise specified in state/provincial law, the excavator calls the 811 center at least two working days and no more than ten working days prior to beginning excavation.

Practice Description: Currently 50 states and 5 Canadian provinces have one call legislation and/or established 811 centers recognizing that excavation performed without prior notification poses a risk to public safety, excavators and the environment, and can disrupt vital services provided by facility operators. Increased participation in this one call system provides for improved communication between excavators and facility operators necessary to reduce damage.

Reference:

· Existing state laws, including Ohio and West Virginia

5-2: Delineate Area of Proposed Excavation 67/79/



Practice Statement: The excavator delineates the area of proposed excavation by one or a combination of the following methods:

- 1: Electronic white lining (where available through the 811 center)
- 2: On-site pre-marking, also known as white lining, with white paint, flags, stakes, whiskers and/or other locally accepted methods {See Appendix B}
- 3: Clear description of the proposed excavation site on the locate ticket

Practice Description: A clearly delineated proposed work area allows facility owners/operators and locators to avoid unnecessary work created by locating facilities that are not affected by the planned excavation, and ensure that underground facilities within the intended work area are well marked. Electronic white lining provides a method where excavators may indicate their defined dig area visually by electronic data entry (lines or polygons) without the need for a physical site visit. Pre-marking, on-site and/ or electronically, allows excavators to accurately communicate to the 811 center facility owners/operators, or their locator where excavation is to occur.

- Existing state laws, including California, Missouri, New Jersey and others
- Lambert's Cable Construction, LLC and UtiliQuest, LLC; Verizon Fios drop placement process in VA, MD and DC
- One Call Concepts; Internet Ticket Processing (ITIC)—excavation polygon feature to define entire proposed excavation areas
- Virginia Pilot Project; Phase I—Electronic White Lining Study

5-3: Locate Reference Number



Practice Statement: The excavator receives and maintains a reference number from the 811 center that verifies that the locate was requested.

Practice Description: All calls from excavators processed by the 811 center receive a unique message reference number, which is contained on all locate request messages. The excavator records this number; it is proof of notification to the members. The computer-generated request identifies the date, time and sequence number of the locate request. Each locate request ticket (notification) is assigned a unique number with that 811 center, the requestor and the facility owner/operator. This number distinguishes this ticket from all other tickets so that it can be archived and retrieved upon request to provide the details of that request only.

References:

- Existing state laws, all 50 states have 811 centers and/or state statues
- Existing operating procedures from various state 811 centers

5-4: Pre-excavation Meeting



Practice Statement: When practical, the excavator requests a meeting with the facility locator at the job site prior to marking the facility locations. Such pre-job meetings are important for major, or unusual, excavations.

Practice Description: The meeting facilitates communications, coordinates the marking with actual excavation and ensures identification of high-priority facilities. An on-site pre-excavation meeting between the excavator, facility owners/operators and locators (where applicable) is recommended on major or large projects. This includes projects such as road, sewer, water or other projects that cover a large area, that progress from one area to the next, or that are located near critical or high-priority facilities. Such facilities include, but are not limited to, high-pressure gas, high-voltage electric, fiber-optic communication, and major pipe or water lines.

References:

- · Existing insurance carrier guidelines
- Existing practice among excavators, including Pauley Construction and W.F. Wilson & Sons, Inc.

5-5: Facility Relocations



Practice Statement: The excavator coordinates work that requires temporary or permanent interruption of a facility owner/operator's service with the affected facility owner/operator in all cases.

Practice Description: Any temporary or permanent interruption requires the active participation by the facility owner/operator and the excavator to ensure protection of facilities through a joint preplanning meeting or conference call. 811 centers note on the ticket any special contractor requests for a joint meeting that require the facility owner/operator to initiate the process.

Reference:

· Existing practice among 811 centers

5-6: Separate Locate Requests



Practice Statement: Every excavator on the job has a separate one call reference number before excavating.

Practice Description: There are often several excavators on a job site performing work. The construction schedule may dictate different types of work requiring excavation from different specialty contractors simultaneously. In these situations, it is imperative for each excavator to obtain a one call reference number before excavation to ensure that the specific areas have been appropriately marked by any affected underground facility owner/operator.

Reference:

 Existing state laws, including Ohio, Kansas, Michigan, Maryland, Illinois and others

5-7: 811 Center Access (24/7)



Practice Statement: The excavator has access to an 811 center 24 hours per day, 7 days a week.

Practice Description: Utilities service the public needs 24/7 and thus should be protected during that same time. Certain conditions may exist that require excavators to work during off-hours (city/road congestion, off-peak utility service hours). Although most excavators are on the job site during regular work hours, they need to be able to call in future work locations after 5 p.m. This allows them more flexibility to schedule work and to avoid peak hours of locate requests at the 811 center.

• Existing states laws, including Texas, Idaho, Minnesota, Pennsylvania and others (25 participating states or 811 centers with 24/7 access)

5-8: Positive Response







Practice Statement: The underground facility owner/operator either 1) identifies for the excavator the facility's tolerance zone at the work site by marking, flagging or other acceptable methods, or 2) notifies the excavator that no conflict situation exists. This takes place after the 811 center notifies the underground facility owner/operator of the planned excavation and within the time specified by state/provincial law.

Practice Description: If a facility owner/operator determines that the excavation or demolition is not near any of its existing underground facilities, it notifies the excavator that no conflict exists and that the excavation or demolition area is "clear." This notification by the facility owner/operator to the excavator may be provided in any reasonable manner including, but not limited to, face-to-face communications; phone or phone message, facsimile or other electronic means; posting at the excavation or demolition area; or marking the excavation or demolition area. If an excavator has knowledge of the existence of an underground facility and has received an "all clear," a prudent excavator will attempt to communicate that a conflict does indeed exist, and the locator will make marking these facilities a priority before excavation begins. Better communication between the excavator and the facility owner/operator is required as an area of excavation becomes more crowded with new underground facilities.

"Positive response" is a term used to describe the two types of action taken by a facility owner/operator after it receives notification of intent to excavate. The facility owner/operator must 1) mark its underground facilities with stakes, paint or flags; or 2) notify the excavator that the facility owner/operator has no underground facilities in the area of excavation. This process allows the excavator to begin work in a timely manner.

When the excavator makes the request to the 811 center, the excavator is told which facility owners/operators will be notified. The excavator logs these facilities on a job sheet and identifies which facility owners/operators have responded by marking and which have cleared the area. When a facility owners/operator does not respond by marking or clearing, it may indicate that the facility owner/operator did not receive a locate notice or that the 811 center's contact information for that facility owner/operator may be incorrect, incomplete or corrupt (which could result in calamity).

When the excavator has obtained all required information, the excavation can commence with confidence that the safety of the work crew and the public at large has been considered.

References:

- Existing state laws, including California, Maryland, Nevada and others
- Existing operating procedure for various 811 centers (31 participating states or 811 centers)

5-9: Facility Owner/Operator Failure to Respond



Practice Statement: If the facility owner/operator fails to respond to the excavator's timely request for a locate (e.g., within the time specified by state/provincial requirements) or if the facility owner/operator notifies the excavator that the underground facility cannot be marked within the time frame and a mutually agreeable date for marking cannot be arrived at, then the excavator re-calls the 811 center. However, this does not preclude the excavator from continuing work on the project. The excavator may proceed with excavation at the end of two working days, unless otherwise specified in state/provincial law, provided the excavator exercises due care in all endeavors.

Practice Description: The facility owner/operator and the excavator partner together to ensure that facilities are marked in an acceptable time frame to allow for underground facility protection.

Reference:

 Existing state laws, including Ohio, Kansas, South Carolina, Michigan and others

5-10: Locate Verification



Practice Statement: Prior to excavation, excavators verify that they are at the correct location, verify locate markings and, to the best of their ability, check for unmarked facilities.

Practice Description: Upon arrival at the excavation site and prior to beginning the excavation, an excavator does the following:

- Verifies that the dig site matches the one call request and is timely
- Verifies that all facilities have been marked and reviews color codes if in doubt
- · Verifies all service feeds from buildings and homes
- Checks for any visible signs of underground facilities, such as pedestals, risers, meters and new trench lines
- Checks for any facilities that are not members of the 811 center and contacts someone to get them located.

Use of a pre-excavation checklist is recommended by insurers and practiced by responsible excavating contractors.

Reference:

 Existing practice by excavators, including Pauley Construction and W.F. Wilson & Sons, Inc.

5-11: Documentation of Marks



Practice Statement: An excavator uses dated pictures, videos or sketches with distance from markings to fixed objects recorded, to document the actual placement of markings.

Practice Description: In most situations when underground facilities are not properly marked, excavators have no way of knowing where underground utilities are located. If locate markings are adequately documented through the use of photographs, video tape or sketches before excavation work begins, it is easier to resolve disputes if an underground facility is damaged as a result of improper marking, failure to mark, or markings that have been moved, removed or covered. It is important for excavators and locators to document the location of markings before excavation work begins. The primary purpose of this best practice is to avoid unnecessary litigation and expensive legal fees for all parties involved.

Reference:

Existing practice by excavators, including Pauley Construction

5-12: Work Site Review with Company Personnel



Practice Statement: Prior to starting work, the excavator reviews the location of underground facilities with site personnel.

Practice Description: Sharing information and safety issues during an onsite meeting between the excavator and the excavating crews helps avoid confusion and needless damage to underground facilities.

Reference:

 Existing practice by excavators, including Pauley Construction, A&L Underground, and W.F. Wilson & Sons, Inc.

5-13: 811 Center Reference at Site59/



Practice Statement: Except in case of an emergency, the excavator at each job site has available a complete description of the dig site, a list of the facility owner members impacted at that dig site as identified by the 811 center, and the 811 center ticket number.

Practice Description: The availability of locate request details on site is useful because excavators can easily access information about the location and extent of work, the valid start time and the list of operators notified. The documentation also provides an excavator with appropriate information for daily tailgate meetings for crews, provides quick references for excavation equipment operators, and facilitates communications between the excavator and the 811 center with respect to that particular locate request, should it become necessary. When multiple crews are working on the same project at separate locations or when different employers have crews working at the same location, each crew has the information.

References:

Existing state regulations, including Michigan DOT

5-14: Contact Names and Numbers



Practice Statement: The excavator's designated competent person at each job site has access to the names and phone numbers of all facility owner/operator contacts and the 811 center.

Practice Description: Situations arise on the job site that require immediate notification of the facility owner/operator, 811 center or local emergency personnel. To avoid costly delays, the excavator ensures that the designated job site personnel have all appropriate names and phone numbers. If telephone communication is unavailable, radio communication to the "home office" is available so that timely notification can be made. The "home office" also has immediate access to all appropriate names and telephone numbers.

Reference:

• Existing state regulations, including Michigan DOT

5-15: Facility Avoidance89/



Practice Statement: The excavator uses reasonable care, such as potholing and other safe excavation practices, to avoid damaging underground facilities. The excavator plans the excavation so as to avoid damage or to minimize interference with the underground facilities in or near the work area.

Practice Description: Foremost on any construction project is safety. Excavators using caution around underground facilities significantly contribute to safe excavation of existing facilities.

Reference:

Existing state laws, including Kansas, Ohio, West Virginia and others

5-16: Federal and State Regulations



Practice Statement: The excavator complies with all applicable federal and state/provincial safety regulations, and, when required, provides training as it relates to the protection of underground facilities.

Practice Description: Although most existing state/provincial damage prevention legislation does not include reference to federal and state/provincial regulations, it is important to include reference to worker safety and training in the best practices. Excavators are required to comply with federal and state/provincial occupational safety and health requirements to protect employees from injury and illness. These regulations include reference to training each employee to recognize and avoid unsafe conditions in the work environment, and to control or eliminate any hazards or exposures to illness or injury. Therefore, the excavator's crew, as part of its safety training, is informed of the best practices and regulations applicable to the protection of underground facilities.

- · Required by federal and state law
- Existing practice by excavators and facility owners/operators

5-17: Marking Preservation



Practice Statement: The excavator protects and preserves the staking, marking or other designation of underground facilities until no longer required for proper and safe excavation. The excavator stops excavating and notifies the 811 center for re-marks if any facility mark is removed or is no longer visible.

Practice Description: During long, complex projects, the marks for underground facilities may need to be in place far longer than the locating method is durable. Painting, staking and other marking techniques last only as long as the weather and other variables allow. When a mark is no longer visible, but work continues around the facility, the excavator requests a remark to ensure the protection of the facility.

Reference:

· Existing state law, including Ohio

5-18: Excavation Observer



Practice Statement: The excavator has an observer to assist the equipment operator when operating excavation equipment around known underground facilities.

Practice Description: The excavator designates a worker (an observer) who watches the excavation activity and warns the equipment operator while excavating around a utility to prevent damaging that buried facility.

References:

- · Existing state law, including Ohio
- Existing practice among large facility owners/operators, including Southern Natural Gas. Bell South and Columbia Gas

5-19: Excavation Tolerance Zone



Practice Statement: The excavator observes a tolerance zone that is comprised of the width of the facility plus 18 in. on either side of the outside edge of the underground facility on a horizontal plane. This practice is not intended to preempt any existing state/provincial requirements that currently specify a tolerance zone of more than 18 in.

Practice Description: (See Practice Statement 5–20.)

- Existing state laws, including New Mexico, Pennsylvania, South Dakota and others
- Telecommunications Industry Association and Electronic Industry Association (TIA/EIA), "Standard for Physical Location and Protection of Below-Ground Fiber Optic Cable Plant" (ANSI/TIA/EIA-590-A-1996)
- American Public Works Association (APWA), "Guidelines for Uniform Temporary Marking of Underground Facilities"

5-20: Excavation within Tolerance Zone90/



Practice Statement: When excavation is to take place within the specified tolerance zone, the excavator exercises such reasonable care as may be necessary for the protection of any underground facility in or near the excavation area. Methods to consider, based on certain climate or geographical conditions, include pot holing, hand digging when practical, soft digging, vacuum excavation methods, pneumatic hand tools, other mechanical methods with the approval of the facility owner/operator, or other technical methods that may be developed. Hand digging and noninvasive methods are not required for pavement removal.

Practice Description: Safe, prudent, non-invasive methods that require the excavator to manually determine the actual location of a facility are considered "safe excavation practices" in a majority of state/provincial laws. A majority of states outline safe excavation practices to include hand digging and/or pot holing. Some states specifically allow for the use of power excavating equipment for the removal of pavement. Each state/ province must take differing geologic conditions and weather-related factors into consideration when recommending types of excavation within the tolerance zone.

Reference:

 Existing state laws, including Arizona, New Hampshire, Pennsylvania and others

5-21: Mismarked Facilities





Practice Statement: The excavator notifies the facility owner/operator directly or through the 811 center if an underground facility is not found where one has been marked, or if an unmarked underground facility is found. Following this notification, the excavator may continue work if the excavation can be performed without damaging the facility, unless specified otherwise in state/provincial law.

Practice Description: When an excavator finds an unmarked or inaccurately marked facility, excavation stops in the vicinity of the facility and notification takes place. If excavation continues, the excavator plans the excavation to avoid damage and interference with other facilities and protects facilities from damage.

References:

- · Existing state/local laws, including Arizona
- Existing practice among excavators, including W.F. Wilson & Sons, Inc.

5-22: Exposed Facility Protection



Practice Statement: Excavators support and protect exposed underground facilities from damage.

Practice Description: Protecting exposed underground facilities is as important as preventing damage to the facility when digging around the utility. Protecting exposed underground facilities helps ensure that the utility is not damaged and, at the same time, protects employees working in the vicinity of the exposed facility. Exposed facilities can shift, separate, or be damaged when they are no longer supported or protected by the soil around them. Excavators support or brace exposed facilities and protect them from moving or shifting, which could result in damage to the facility. This can be accomplished in different ways; for example, by shoring the

facility from below or by providing a timber support with hangers across the top of an excavation to ensure that the facility does not move or bend. In addition, workers are instructed to not climb on, strike or attempt to move exposed facilities that could damage protective coatings, bend conduit, separate pipe joints, damage cable insulation, damage fiber optics or in some way affect the integrity of the facility. The Occupational Safety and Health Administration (OSHA) also has addressed this issue in Subpart P—Excavation Standard 29 CFR 1926.651(b)(4), which states "While the excavation is open, underground installations shall be protected, supported or removed as necessary to safeguard employees." For example, an unsupported sewer main could shift, causing the pipe joints to separate, which could result in the trench where employees are working to flood, endangering the safety of employees.

Reference:

 Existing state/local laws, including Washington, D.C., Idaho, Utah, Arizona, Virginia, Pennsylvania, New York and others

5-23: Locate Request Updates



Practice Statement: The excavator calls the 811 center to refresh the ticket when excavation continues past the life of the ticket (sometimes, but not always, defined by state/provincial law). This recognizes that it is a best practice to define ticket life. If not currently defined in state/provincial law, ticket life is ideally 10 working days, but does not exceed 20 working days. Original locate request tickets are generated so that the minimum number of locate request updates are necessary for the duration of a project. After all the excavation covered by a locate request is completed, no additional locate request updates are generated. Communication between excavation project planners, field personnel and clerical personnel is essential in accomplishing this task.^{36/}

Practice Description: Refreshing the ticket recognizes that markings are temporary and provides notification to facility owners/operators of ongoing excavation when a job is started but not completed as planned. Any excavation not begun during the life of the ticket is recalled to the 811 center. Any excavation that covers a large area and will progress from one area to the next over a period of time is broken into segments when notifying the 811 center in order to coordinate the marking with actual excavation. The possibility exists that new facilities have been installed in the area where the excavation is to be conducted after the original notification and marking. This practice also helps in situations where multiple excavators are working in the same area at essentially the same time. An example of when this can occur is when two facility owners, such as a cable television company and a telephone company. are planning to serve a new section of a subdivision. In their preplanning process, they see a vacant space in the right-of-way to place their new facility. Each excavator (internal or external) calls the 811 center for locates, and each facility owner/operator comes and marks their respective facilities, indicating that nothing exists. For one reason or another, one of the excavators gets delayed and does not start construction as planned, and when returning to the job site to place the newfacility, finds new lines have been installed in the previously vacant space. Many facility owners/operators do not perform their own locates and utilize the services of a contracted facility locator.

These contracted facility locators may not be aware of work planned in the

near future. By excavators refreshing the locate ticket, the contract locator has another opportunity to identify newly placed facilities. This practice also gives the facility owner/operator another chance to identify the location of their facilities, and to avoid possible damage and disruption of service if something was marked incorrectly or missed on a previous locate. Excellent planning, generation and updating of tickets enhance safety and reduce the unnecessary use of locate resources.^{37/}

Reference:

- Existing state laws that specify 10 working days include Kansas, Ohio, Wisconsin, Pennsylvania and Texas. Existing state laws that specify 15 working days include Virginia and Tennessee.
- Existing practices by Progress Energy, Duke Energy of Houston, Texas, and Arizona 811.

5-24: Facility Damage Notification



Practice Statement: An excavator discovering or causing damage to underground facilities notifies the facility owner/operator and the 811 center. All breaks, leaks, nicks, dents, gouges, grooves, or other damages to facility lines, conduits, coatings or cathodic protection are reported.

Practice Description: A majority of states require notification for damage or substantial weakening of an underground facility (27 states). The possibility of facility failure or endangerment of the surrounding population dramatically increases when a facility has been damaged. Although the facility may not immediately fail, the underground facility owner/operator is provided the opportunity to inspect the damage and make appropriate repairs.

Reference:

• Existing state laws, including Arkansas, Idaho, Maryland and others

5-25: Notification of Emergency Personnel



Practice Statement: If the damage results in the escape of any flammable, toxic, or corrosive gas or liquid, or endangers life, health or property, the excavator responsible immediately notifies 911 and the facility owner/operator.³⁷ The excavator takes reasonable measures to protect everyone in immediate danger, the general public, property, and the environment until the facility owner/operator or emergency responders arrive and complete their assessment.⁴⁷

Practice Description: This practice is already required by many of the states' one call legislation. This practice minimizes the danger to life, health or property by notifying the proper authorities to handle the emergency situation. In these situations, local authorities are able to evacuate as appropriate and command substantial resources unavailable to the excavator or underground facility owner/operator. The excavator takes reasonable measures based on their knowledge, training, resources, experience, and understanding of the situation to protect themselves, people, property and the environment until help arrives. The excavator responsible remains on-site to convey any pertinent information to responders that may help them to safely mitigate the situation.⁴

Reference:

• Existing state laws, including Kansas, Ohio, Oregon and Minnesota

5-26: Emergency Excavation





Practice Statement: In the case of an emergency excavation, maintenance or repairs may be made immediately, provided that the excavator notifies the 811 center and facility owner/operator as soon as reasonably possible. This includes situations that involve danger to life, health or property, or that require immediate correction in order to continue the operation of or ensure the continuity of public utility service or public transportation.

Practice Description: This practice allows excavation to begin immediately to restore service or to stop a hazardous situation from getting worse in the case of a gas or pipeline leak, cut telephone cable or other facility damage.

Reference:

 Existing state laws, including Colorado, Nevada, West Virginia and others (49 participating states or 811 centers)

5-27: Backfilling



Practice Statement: The excavator protects all facilities from damage when backfilling an excavation. Trash, debris, coiled wire, or other material that could damage existing facilities or interfere with the accuracy of future locates are not buried in the excavation.

Practice Description: Extra caution must be taken to remove large rocks. sharp objects, and large chunks of hard-packed clay or dirt. No trash or pieces of abandoned lines are backfilled into the trench. This helps prevent inadvertent damage to the facility during the backfill process.

References:

- Michigan DOT specification
- Existing insurance carrier guidelines

5-28: As-built Documentation



Practice Statement: Contractors installing underground facilities notify the facility owner/operator if the actual placement is different from the expected placement.

Practice Description: For a facility owner/operator to maintain accurate records of the location of their facilities, it is critical that the contractor installing the new facility be required to notify the facility owner/operator of deviations to the planned installation. Some facility owners/operators do not require a full-time inspector and use a sampling process to ensure that a new facility is correctly installed in compliance with specifications. When this occurs, it becomes much more critical for the contractor to notify the facility owner/operator of changes. For example, it is common for the contractor to make adjustments to the location of the new facility when rocks or other underground obstructions are encountered, or when the location of the new facility conflicts with another existing underground facility. This change in plan can represent changes in horizontal or vertical distances from the specified plans. The facility owner/operator establishes standards that require notification if a deviation is beyond specified tolerances, such as changes in depth of 6 in. or more and lateral measurement changes of greater than 1 ft. When these changes to the expected location are communicated to the facility owner/operator, it is the owner/operator's responsibility to take appropriate action to update their records so that an accurate locate can be conducted in the future.

Reference:

 Existing operating practice among facility operators, including Ameritech, Sprint, Columbia Gas and others

5-29: Trenchless Excavation 13/



Practice Statement: All stakeholders comply with all best practices and the following general guidelines prior to, during and after any trenchless excavation (as applicable).

Practice Description:

- The excavator requests the location of underground facilities at the entrance pit, trenchless excavation path and the exit pit by notifying the facility owner/operator through the 811 center.
- The trenchless equipment operator performs a site inspection, walking the trenchless excavation path prior to commencing work, and has a good understanding of the job.
- The trenchless excavation operator confirms and maintains the path and minimum clearances established by the project owner and design engineer by tracking and recording the path of the trenchless excavation until complete. Means of tracking trenchless excavations include electronic locating/guidance devices, pipe lasers, water levels, visual inspection, etc.
- When existing facilities are known to be present but cannot be potholed as a result of local conditions, the facility owner and the excavator meet to discuss how to safely proceed with the excavation.
- The excavator stops the trenchless excavation operations if an abnormal condition, unknown substructure or other hidden hazard is encountered.
 The excavator proceeds safely only after making positive identification. (Refer to Practice Statements 2–13 and 4–19 for additional information.)

References:

See Appendix D

5-30: Emergency Coordination with Adjacent Facilities 16/



Practice Statement: Emergency response planning includes coordination with emergency responders and other aboveground and/or underground infrastructure facility owners/operators identified by the Incident Commander through the Incident Command System/Unified Command (ICS/UC) during an emergency.

Practice Description: During emergency situations, there are many stakeholders involved: excavators, locators, owners/operators, first responders, 811 centers and the general public. Any actions taken by one stakeholder could adversely affect other stakeholders. Accordingly, emergency planning and response are coordinated.

References:

- · XCEL Energy, Minnesota
- Public Service Electric and Gas, Newark, New Jersey, Gas Emergency Procedure Manual

5-31: No Charge for Providing Underground Facility Locations^{23/}



Practice Statement: Upon notification by 811 centers, locations of underground facilities are provided by operators at no cost to excavators.

Practice Description: It is the basic underpinning of the call-before-you-dig process that persons involved in excavation activities receive facility locates at no charge when they contact their local 811 center to give notice of intent to excavate. This service is critical to maintaining the communication between operators and excavators. Call-before-you-dig education and marketing campaigns, such as 811 and those promoted by 811 centers and associated industries, advise persons involved in excavation activities, including the public, homeowners and professional excavators, that the service is provided by facility operators at no charge to the person providing the notice of intent to excavate.

References:

· Minnesota state statutes, Alberta pipeline

5-32: Vacuum Excavation39/



Practice Statement: Vacuum excavation, when used appropriately, is an efficient, safe and effective alternative to hand digging within the designated underground facility tolerance zone. Use of equipment also follows state/provincial laws and/or local ordinances.

Practice Description: The safe exposure of underground facilities within the tolerance zone is essential to damage prevention. Site conditions may make the use of hand tools to expose underground facilities difficult or even impractical. Vacuum excavation is often an appropriate alternative. Locates must be obtained prior to the commencement of work (see One Call Facility Locate Request). Many underground facility owners/operators have specific criteria for safe excavation/exposure practices around their facilities. Some underground facility owners/operators accept vacuum excavation as equivalent to hand excavation for exposing their facilities, and others have restrictions on its use. Vacuum excavation is an appropriate method of excavating safely around underground facilities, provided that the equipment:

- · has been specifically designed and built for this purpose;
- is operated by a worker trained and experienced in its operation;
- is operated in accordance with practices that provide appropriate levels
 of worker and public safety, and prevent damage to buried facilities; and
- is used in compliance with state/provincial laws and/or local ordinances.

References:

- · Existing state laws, including South Carolina and North Carolina
- B.R.S., Inc.

5-33: Facility Owner Provides a Monitor During Excavation^{64/}



Practice Statement: If a facility owner/operator considers it necessary to be on site during excavation activities to work with the excavator in protecting their existing facilities, the facility owner/operator makes arrangements with the excavator to be present during those excavation activities within the time specified by state/provincial law.

Practice Description: The facility owner/operator may determine it necessary to be on site during excavation activities taking place near their facilities to help protect them. A facility owner/operator has access to information and resources that may not be available to the excavator. This practice should be considered in conjunction with Practice Statement 2–4: Utility Coordination.

References:

North Carolina, Delaware, Florida, Ohio and California regulations

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CHAPTER 6

Mapping















The Mapping Team chose to look at mapping practices from the viewpoint of the different areas represented by team members. From this viewpoint, the best practices for mapping can be listed in five distinct areas: 811 Center, Locator, Excavator, Facility Owner/Operator, and Project Owner. By consensus of the Mapping Task Team, all of the findings listed below are best practices.

811 Center

An 811 center uses an electronic mapping database system that includes the following:

6-1: Land Base Accuracy



Practice Statement: The land base is accurate.

Practice Description: The land base is the most precise geographical information available to the 811 center. The 811 centers in these states follow this practice: Arizona, Minnesota, North Carolina, Texas and Wisconsin.

6-2: Latitude/Longitude



Practice Statement: The land base and database use latitude/longitude (Lat/Long) coordinates.

Practice Description: The land base and database can produce Lat/Long information based upon street address, street/road name, intersection, milepost marker, etc. It also is possible to determine the street address, street/road name, intersection or milepost based upon Lat/Long information. The translation of Lat/Long information is automatic. A map point (i.e., a rural area not in the immediate vicinity of a road or known map landmark) can be identified by Lat/Long information. The 811 centers in these states follow this practice: Ohio, South Dakota, New Jersey, Missouri and Tennessee.

6-3: Up-to-date Land Base Information



Practice Statement: The land base is up-to-date.

Practice Description: The land base is kept up-to-date, and a process is in place that periodically adds new street information, name changes, aliases and municipal boundaries. The 811 centers in these states follow this practice: Arizona, Ohio and New Jersey.

6-4: Timely Database Updating



Practice Statement: The database is updated by information from facility owners/operators.

Practice Description: The database is promptly updated as information is provided or becomes available from the facility owner/operator. The system can accept information in standard file format with minimal human intervention. The 811 centers in these states follow this practice: Arizona, North Carolina, Ohio, New Jersey and Wisconsin.

6-5: Electronic Mapping Location Area



Practice Statement: The electronic mapping system can produce a ticket for the smallest practical geographical area.

Practice Description: The electronic mapping system can produce a ticket for the smallest practical geographical area. The 811 centers in these states follow this practice: Arizona, Tennessee, Minnesota, Oregon and Wisconsin.

6-6: Availability



Practice Statement: The land base is available to the public.

Practice Description: The land base is available to the public for the identification of the excavation area. The land base and database are available to the 811 center membership for the update of member database information. The 811 centers in these states follow this practice: North Carolina, Ohio and South Dakota.

Locator

Locators use maps to help find the excavation site and to help determine the general location of the buried facility.

6-7: Training



Practice Statement: Locators are trained in map reading and symbology.

Practice Description: Locators are trained in map reading and symbology to help determine the location of the buried facility. The following association trains its members to carry out this practice: National Utility Locating Contractors Association (NULCA).

6-8: Discrepancies



Practice Statement: The locator provides precise facility location to the facility owner/operator when there is a discrepancy.

Practice Description: The locator provides to the facility owner/operator the most precise facility location information obtained from a locate when there is a discrepancy.

References:

· Arizona Blue Stake law

6-9: Feedback



Practice Statement: The locator supplies feedback to the 811 center.

Practice Description: The locator provides to the 811 center feedback on land base mapping and location discrepancies. The following states carry out this practice: Ohio, Tennessee and North Carolina.

Excavator

6-10: Accuracy of Location Information



Practice Statement: The excavator provides accurate location information

to the 811 center.

Practice Description: The excavator takes responsibility for giving accurate location information to the 811 center. This information includes a street address, street intersection, legal description or other appropriately formatted information, and latitude/longitude (if feasible).

6-11: Excavation Area Details



Practice Statement: The excavator provides to the 811 center basic attributes about the excavation area.

Practice Description: The excavator provides details about the excavation area location, such as starting and ending points, the side of the property (north, south, east, west, front, back, rear, sides, etc.) and the side of the street. If the excavator cannot meet the above criteria, the excavator directly coordinates with the 811 center to establish the excavation area.

References:

- · Michaels Pipeline Company, Brownsville, Wisconsin
- · Hooper Corporation, Pewaukee, Wisconsin
- · Intercon Construction, Madison, Wisconsin

Facility Owner/Operator

6-12: Mapping Data



Practice Statement: The facility owner/operator provides mapping data to the 811 center.

Practice Description: The facility owner/operator provides the 811 center with data that will allow efficient and accurate notification of excavation activities near the facility owner/operator's infrastructure. Facility owners/operators in all mandatory one call states follow this practice.

6-13: Access to Mapping Data



Practice Statement: The facility owner/operator provides mapping data access.

Practice Description: The facility owner/operator provides access to a mapping system that can be used by both the locator and the facility owner/operator. These facility owners/operators follow this practice: Atlanta Gas Light, Sprint Long Distance, AT&T, Questar Regulated Services.

6-14: Mapping Standards



Practice Statement: The facility owner/operator adheres to mapping standards.

Practice Description: The facility owner/operator requires the designer to adhere to the facility owner/operator's mapping standards. These facility owners/operators follow this practice: AT&T, Sprint Long Distance.

6-15: Quality of Information



Practice Statement: The facility owner/operator provides consistent.

current information to the 811 center.

Practice Description: The facility owner/operator provides consistent, current information to the 811 center for the proper receipt of ticket notification. Basic information includes latitude and longitude, and pertains to a physical attribute where available, such as a milepost marker. This facility owner/operator follows this practice: Sprint Long Distance.

6-16: Information Capture



Practice Statement: The facility owner/operator collects detailed mapping information.

Practice Description: The facility owner/operator captures through the electronic database the following information to ensure project safety in the plan, design, construction, documentation, location and maintenance of their longitudinal utility

- Any new construction that was entered at the time of installation
- · The location of abandoned or sold facilities
- Engineering stationing and milepost/marker post location (with latitude and longitude), using common mapping coordinate systems that allow conversion to latitude and longitude
- Alignment of the utility with engineering stationing at each running line change or point of inflection (PI), including signs and markers
- Bridges, culverts and rivers
- All road crossings; overhead viaducts and underpasses, including name
 of the street (public or private); and mile-marker/marker-post designation
- Small-scale maps showing the overall utility route
- Physical characteristics and attributes of the system, such as pedestal, pole, transformer, meter number, anode bed, size, material, product and pressure
- The number of utility lines or conduits owned by the facility owner/ operator in a corridor, or the size of the duct package bank (universally a general practice of major pipeline and long-distance telecommunication operators and railroads)
- When available, any digital imagery that is used to identify facility locations in relation to the surrounding environment³⁵/

Project Owner

6-17: Accuracy of Location Information



Practice Statement: The project owner provides accurate information.

Practice Description: The project owner provides the excavator with accurate location information about the proposed excavation area using mapping information used by the 811 center. This information includes a street address, street intersection, legal description or other appropriately formatted information, such as orthophotography and latitude/longitude (if feasible).^{26/}

6-18: Excavation Area Details



Practice Statement: The project owner determines the excavation area's basic coordinates.

Practice Description: The project owner determines details about the excavation area location, such as starting and ending points, the side of the property (north, south, east, west, front, back, rear, sides, etc.), and side of the street.

Reference:

- These are general practices of the state departments of transportation regarding highway projects.
- These are general practices of most National Utility Contractors Association (NUCA) members. The references listed in each best practice are not all inclusive.

Facility Owner/Operator

6-19: As-Built Mapping of Underground Electronic Utility Markers^{73/}



Practice Statement: The location of underground electronic utility markers is identified on as-built mapping, GIS mapping and/or other underground facility mapping documents.

Practice Description: Appropriate asset data collection and data management procedures are in place after completion of the underground facility installation. Primary among these is the practice to note in all asbuilt mapping where path and point markers are installed to increase the awareness of the existence of underground facility markers during the locate process.

- ASCE 38-02 "Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data"
- See Practice Statement 2-18, "Identifying Newly Installed or Under-Construction Facilities"
- Consolidated Edison guideline, "Installation of electronic markers on gas mains and services"

Emerging Technologies

Technology is rapidly changing. Many of the best practices identified in this chapter could be obsolete in the near future. Although the following technologies are now used in other applications, their use is not widespread in the damage prevention field:

- · Geographic Information System (GIS)
- · Global Positioning System (GPS)
- Orthographic and satellite imagery

GIS allows the integration of digital maps with other databases to view the relationship of physical features, conducts relational queries and obtains additional information on a particular feature. The GIS infrastructure or base will support all of the advanced technologies of GPS, orthographic and satellite imagery.

Combining orthographic and satellite imagery with an overlay of a line map, street names, addresses and GPS coordinates of utility lines will allow 811 centers, excavators, locators, facility owners/operators and project owners to view the accurate and relative location of utility lines.

Advanced use of these technologies in combination with advances to locating technologies is expected to reduce damage to underground facilities.

CHAPTER 7

Compliance













7-1: Public and Enforcement Education



A: Public Education

Practice Statement: Public education programs are used to promote compliance.

Practice Description: A single entity is charged to promote comprehensive and appropriate programs to educate all stakeholders about the existence and content of the damage prevention laws and regulations. This is not meant to discourage individual stakeholders from providing educational programs.

Reference:

• New York: "Each one call notification system shall perform the following duties:...(b) Conduct a continuing program to: (1) Inform excavators of the one call notification system's existence and purpose and their responsibility to notify the one call notification system of proposed excavation and demolition and to protect underground facilities. (2) Inform operators of the responsibility to participate in the one call notification system, to respond to a notice relating to a proposed excavation and demolition, and to designate and mark facilities according to the provisions of this Part." New York Code, 16 NYCRR Part 753, § 753-5.3(b)(1)-(2)

B: Enforcement Education

Practice Statement: Mandatory education is considered as an alternative or supplement to penalties for offenders of the damage prevention laws and regulations.

Practice Description: When a violation of the damage prevention laws or regulations has occurred, mandatory education is an effective alternative or supplement to civil penalties. Mandatory education as an enforcement tool promotes compliance with damage prevention laws and regulations.

- Arizona: "When a notice of violation (NOV) is issued, the following may
 be followed: 1. First Time Offenders: A. May be given a warning letter
 and Item C below...C. Given the opportunity to attend Blue Stake Training
 Course provided by the Arizona Corporation Commission's Pipeline
 Safety Section." Arizona Corporation Commission policy, "Notice of
 Violation," § 1(A) and (C)
- New Hampshire: "Any excavator or operator who does not comply with RSA 374:51-54 shall be required on first offense to go through either a Dig Safe training program or be subject to a civil penalty..." New Hampshire Code, RSA 374, § 374:55(VIII)

7-2: Incentives



Practice Statement: Damage prevention programs include incentives to promote compliance with laws and regulations.

Practice Description: Incentives can include, but are not limited to, ease of access to 811 center, membership and participation considerations, representation on 811 center boards, reasonable enforcement of regulations, safety and liability protection, access to alternative dispute resolution (ADR), and public education.

Incentive—Membership: Membership facilitates communication between an excavator and facility owner/operator, which helps prevent damage to underground facilities.

References:

- Arizona: "If the owner or operator fails to locate or incorrectly locates
 the underground facility, pursuant to this article, the owner or operator
 becomes liable for resulting damages, costs, and expenses to the injured
 party." Arizona Code, Article 6.3, § 40-360.27(C)
- Minnesota: "Reimbursement is not required if the damage to the underground facility was caused by the sole negligence of the operator or the operator failed to comply with section 216.04, subdivision 3." Minnesota Code, Chapter 216D.06, Subd. 2(b)
- Pennsylvania: Stakeholders who do not join the 811 system in violation of state law are not permitted to recover damages for injury to their property: "If a facility owner fails to become a member of a One Call System in violation of this act and a line or lines of such nonmember facility owner are damaged by a contractor by reason of the contractor's failure to notify the facility owner because the facility was not a member of a One Call System serving the location where the damage occurred, such facility owner shall have no right of recovery from the contractor of any costs associated with the damage to its lines. The right herein granted shall not be in limitation of any other rights of the contractor." Pennsylvania Code, 73 P.S. § 176 et. seq., Section 2(9)

Incentive—Membership Accommodations: To avoid cost being a barrier to membership, several states have made membership accommodations for smaller municipals and authorities.

- Arizona: "Each one call notification center shall establish a limited basis participation membership option, which may be made available to all members, but which must be made available for any member serving less than one thousand customers or any member irrigation or electrical district. A facility owner who elects limited basis participation membership will provide to the one call center the location of its underground facilities by identifying the incorporated cities and towns, or for unincorporated county areas, by identifying the townships, in which it has facilities. The service level provided to the limited basis participation members by the one call notification center is limited to providing excavators with names and telephone numbers the excavator should contact to obtain facilities location. Each one call center shall establish fair and reasonable fees for limited basis participation members, based on customer count, areas occupied or miles of underground facilities." Arizona Code, Article 6.3, § 40-360.32. Note, Arizona's system somewhat defeats the purpose of one call," but is successful because Arizona 811 goes the extra mile to assist the excavator in contacting the small facility owners, many of which do not have a manned telephone line.
- Minnesota: The Gopher State One Call Center instituted a no-locate-required policy, which credits the facility operator those charges for "not-involved" tickets. It results in cost savings to the facility owners/operators because 811 center membership rates are based on the number of tickets received by the facility owners/operators.

- New York: "3. Costs. The costs of operating the system shall be apportioned equitably among the members of the system, with the exception of municipalities and authorities that operate underground facilities and any operator of underground facilities that provides water service to less than four thousand customers. In apportioning such costs, the system shall take into account the number of customers, extent of underground facilities, and frequency of use." New York General Business Law Article 36, § 761
- Pennsylvania: "Operation costs for the One Call System shall be shared, in an equitable manner for services received, by facility owner members as determined by a One Call System's board of directors. Political subdivisions with a population of less than two thousand persons or municipal authorities having an aggregate population in the area served by the municipal authority of less than five thousand persons shall be exempt from payment of any service fee." Pennsylvania Code, 73 P.S. § 176 et. seq., Section 2(8)

Incentive—811 Center Board of Directors: Boards are composed of representatives of all stakeholders. Representation of all stake holders in the governance of the 811 center (although not necessarily in the administration of the 811 center) ensures that the viewpoint of all stakeholders will be considered in the policies and programs of the 811 center.

References:

- Minnesota: "The nonprofit corporation must be governed by a board of directors of up to 20 members, one of whom is the director of the office of pipeline safety. The other board members must represent and be elected by operators, excavators, and other persons eligible to participate in the center..." Minnesota Code, Chapter 216D.03, Subd. 2(a)
- Pennsylvania: "A one call system shall be governed by a board of directors, to be chosen by the facility owners. No less than twenty percent of the seats shall be held by municipalities or municipal authorities. The board shall include the following: (1) The Chairman of the Pennsylvania Public Utility Commission or his designee. (2) The Director of the Pennsylvania Emergency Management Agency or his designee. (3) The Secretary of Labor and Industry or his designee. (4) The Secretary of Transportation or his designee. (5) A contractor or industry representative. (6) A designer or industry representative." Pennsylvania Code, 73 P.S. § 176 et. seq., Section 7.1(b)

Incentive—Safety and Liability Protection: Compliance with 811 center requirements promotes worker safety and public safety, and reduces exposure to liability.

References:

- New York: "The penalties provided for by this article shall not apply to an excavator who damages an underground facility due to the failure of the operator to comply with any of the provisions of this article nor shall in such instance the excavator be liable for repairs as prescribed in subdivision four of this section." New York Code, 16 NYCRR Part 753, § 765(b).
- Pennsylvania: "The designer who has complied with the terms of this
 act and who was not otherwise negligent shall not be subject to liability
 or incur any obligation to facility owners, operators, owners, or other
 persons who sustain injury to person or property as a result of the
 excavation or demolition planning work of the designer." Pennsylvania
 Code, 73 P.S. § 176 et. seq., Section 3(7).

Incentive—Reasonable Enforcement of Regulations: Reasonable

enforcement of regulations refers to actions by enforcement authority officials and enforcement processes, both of which aim to fairly arrive at rational outcomes, such as education and penalties that correspond to the gravity of the violation, without imposing unnecessarily high transaction costs on any participant, including the enforcement authority.

Reference:

• In Massachusetts, a state where a violator's "history" is considered when addressing a violation, repeat offenders of the one call law can attain first-time offender status if they demonstrate compliance for a solid year. "Any person, contractor, excavator, or company found by the Department to have violated any provision of the Dig Safe law or regulation adopted by the Department thereunder shall be subject to a civil penalty not to exceed \$500 for the first offense and not less than \$1,000 nor more than \$5,000 for any subsequent offense within a 12 month period after the Department issues a remedial order or executes a consent order for the first offense. Any excavator whose subsequent violation occurs after 12 consecutive months of no violations shall be subject to a civil penalty of \$500." Massachusetts Regulation, 220 C.M.R. § 99.12(1)

7-3: Penalties



Practice Statement: Compliance programs include penalties for violations of the damage prevention laws or regulations.

Practice Description: Within the context of one call statutes, there exists specific provisions for penalties for failure to comply with the damage prevention laws and regulations. Performance and penalty incentives are equitably administered among stakeholders subject to one call provisions.

A **penalty system** includes education as an alternative or supplement to civil or other penalties.

Reference:

 New Hampshire: "Any excavator or operator who does not comply with RSA 374:51-54 shall be required on first offense to go through either a 'Dig Safe' training program or be subject to a civil penalty..." New Hampshire Code, RSA 374, § 374:55(VIII)

A **penalty system** also uses a tiered structure to distinguish violations by the level of severity or repeat offenses (e.g., warning letters, mandatory education, civil penalty amounts).

References:

• Arizona: "When a notice of violation (NOV) is issued, the following may be followed: 1. First Time Offenders: A. May be given a warning and Item C below or B. May be fined \$250 per violation and C. Given the opportunity to attend a Blue Stake Training Course provided by the Arizona Corporation Commission's Pipeline Safety Section. Note: the investigator may use the NOV as a warning, if they feel a warning would suffice. 2. Second Offense: A. May be fined \$250 per violation and B. Given the opportunity to attend a Blue Stake Training Course provided by the Arizona Corporation Commission Pipeline Safety Section. 3. Repeat Offenders: A. Third Time: May be fined \$500 per violation. B. Four or More Times: Could be fined up to \$2000 per violation. Flagrancy or magnitude of offense could cause pipeline safety to deviate from this policy. Any deviation to the above-stated policy will jointly be determined by the Chief of Pipeline Safety and the Investigator." Arizona Corporation Commission policy, "Notice of Violation," section 1-3

New York: "Warning letters: Upon determining that a probable violation(s) of a provision of Part 753 has occurred or is continuing, the Department may issue a warning letter notifying the Respondent of the probable violation and advising him or her to correct it, if it is correctable, and to comply henceforth, or be subject to enforcement actions under this Part." NY Public Service Commission policy (proposed code § 753-6.3)

A **penalty system** also establishes mitigating and aggravating factors for determining the penalty for a violation by statute or regulation.

References:

- Massachusetts: "In determining the amount of the civil penalty, the Department shall consider the nature, circumstances, and gravity of the violation; the degree of the respondent's culpability; the respondent's history of prior offenses; and the respondent's level of cooperation with the requirements of this regulation." Massachusetts Regulation, 220 C.M.R. § 99.12(2)
- Minnesota: "In assessing a civil penalty under this part, the office shall consider the following factors: A. the nature, circumstances, and gravity of the violation; B. the degree of the person's culpability; C. the person's history of previous offenses; D. the person's ability to pay; E. good faith on the part of the person in attempting to remedy the cause of the violation; F. the effect of the penalty on the person's ability to continue business; and G. past reports of damage to an underground facility by a person." Minnesota Rules, 7560.0800, Subpart 3
- New Hampshire: "In determining the assessment, the following factors shall be considered: (1) Severity of the consequences resulting from the violation: the more severe the consequences, the higher the civil penalty; (2) Mitigating circumstances: i.e., how quickly actions were taken to rectify the situation, how much control the company had over the situation, and other circumstance which would tend to less fault; and (3) Prior violations of Puc 800." New Hampshire Regulation, Chapter Puc 800, § Puc 805.06(b)(1)-(3)
- New York: "...the commission shall determine the amount of the penalty after consideration of the nature, circumstances, and gravity of the violation, history of prior violations, effect on public health, safety or welfare, and such other matters as may be required and shall send a copy of its determination to the excavator, operator, commissioner of labor, and attorney general." New York Public Service Law, § 119-b(8)
- Virginia: "In determining the amount of any civil penalty included in a settlement, the nature, circumstances, and gravity of the violation; the degree of the Respondent's culpability; the Respondent's history of prior offenses; and such other factors as may be appropriate shall be considered." Virginia "Rules for Enforcement of the Underground Utility Damage Prevention Act," § 6

A **penalty system** does not allow any violator or class of violators to be shielded from the consequences of a violation (i.e., all stakeholders should be accountable).

Reference:

 New Hampshire: "Any excavator or operator who does not comply with RSA 374:51-54 shall be required on first offense to go through either a 'Dig Safe' training program or be subject to a civil penalty..." New Hampshire Code, RSA 374, § 374:55(VIII)

7-4: Damage Recovery



Practice Statement: State damage prevention laws and regulations recognize the right to recover damages and costs resulting from noncompliance.

A: Right of Recovery

Practice Description: The statute recognizes an injured party's right to recovery when damages and/or costs are incurred as the direct result of an entity's failure to comply with the one call laws and regulations. For example, Arizona endorses an injured party's right to recover damages when the other party has failed to comply with the one call law.

References:

- Arizona: "If an underground facility is damaged by any person as a result
 of failing to obtain information as to its location, failing to take measures
 for protection of the facilities, or failing to excavate in a careful and
 prudent manner as required by this article, the person is liable to the
 owner of the underground facility for the total cost of the repair of the
 facility." Arizona Code, Article 6.3, § 40-360.26(A)
- Arizona: "If the owner or operator fails to locate or incorrectly locates
 the underground facility, pursuant to this article, the owner or operator
 becomes liable for resulting damages, costs, and expenses to the injured
 party." Arizona Code, Article 6.3, § 40-360.28(C)

B: Alternative Dispute Resolution

Practice Description: Avenues for settlement of disputes include alternative dispute resolution. Minnesota endorses ADR through the state court system, New Jersey endorses ADR in construction contract documents, and the federal government endorses ADR through the federal courts.

- Minnesota: "The Supreme Court shall establish a statewide alternative dispute resolution program for the resolution of civil cases filed with the courts. The Supreme Court shall adopt rules governing practice, procedure, and jurisdiction for alternative dispute resolution programs established under this section. Except for matters involving family law, the rules shall require the use of nonbinding alternative dispute resolution processes in all civil cases, except for good cause shown by the presiding judge, and must provide an equitable means for the payment of fees and expenses for the use of alternative dispute resolution processes."
 Minnesota Code, Chapter Title: District Courts, § 484.76
- New Jersey: "All construction contract documents entered into in accordance with the provisions of P.L. 1971, c. 198 (C.40A:11-1 et seq.) after the effective date of P.L. 1997, c.371 (C.40A:11-50) shall provide that disputes arising under the contract shall be submitted to a process of resolution pursuant to alternative dispute resolution practices, such as mediation, binding arbitration, or non-binding arbitration pursuant to industry standards, prior to being submitted to a court for adjudication. Nothing in this section shall prevent the contracting unit from seeking injunctive or declaratory relief in court at any time. The alternative dispute resolution practices required by this section shall not apply to disputes concerning the bid solicitation or award process, or to the formation of contracts or subcontracts to be entered into pursuant to P.L. 1971, c. 198 (C.40A:11-1 et seq.)." New Jersey Code, Title 40A, § 40A-11-50

• Federal: "Congress finds that (1) alternative dispute resolution, when supported by the bench and bar, and utilizing properly trained neutrals in a program adequately administered by the court, has the potential to provide a variety of benefits, including greater satisfaction of the parties, innovative methods of resolving disputes, and greater efficiency in achieving settlements; (2) certain forms of alternative dispute resolution, including mediation, early neutral evaluation, minitrials, and voluntary arbitration, may have potential to reduce the large backlog of cases now pending in some federal courts throughout the United States, thereby allowing the courts to process their remaining cases more efficiently; and (3) the continued growth of Federal appellate court-annexed mediation programs suggests that this form of alternative dispute resolution can be equally effective in resolving disputes in the federal trial courts; therefore, the district courts should consider including mediation in their local alternative dispute resolution programs...Each United States district court shall authorize, by local rule adopted under section 2071(b) 2071(a), the use of alternative dispute resolution processes in all civil actions, including adversary proceedings in bankruptcy, in accordance with this chapter, except that the use of arbitration may be authorized only as provided in section 654 [(1) the action is based on an alleged violation of a right secured by the Constitution of the United States; (2) jurisdiction is based in whole or in part on section 1343 of this title; or (3) the relief sought consists of money damages in an amount greater than \$150,000.1." Alternative Dispute Resolution Act of 1998, enacted October 1998.

7-5: Enforcement



A: Authority

Practice Statement: An authority is specified through state statutes and given the resources to enforce the law.

Practice Description: The enforcement authority in each state has the resources to enforce the laws and regulations. Experience has demonstrated that enforcement of the one call laws and regulations that did not identify a specific authority other than the attorney general has not been effective.

Characteristics of such an authority include the following:

- · A process for receiving reports of violations from any stakeholder
- An operating budget source other than fine revenue, such as a line item in the state budget, excluding fines as a source of income for the authority
- Stakeholder involvement in periodic review and modification of enforcement processes
- Resources to respond to notifications of alleged violations in a timely manner
- A method of investigating alleged violations prior to issuing a notice of probable violation
- · Impartial authority adjudicating violations
- An initial informal means of contesting a notice of violation
- A published violation review process and violation assessment considerations

References:

 Arizona: The Pipeline Safety Division of the Arizona Corporation Commission is funded by the Commission budget. "Any penalties received by the state shall be deposited in the general fund." Arizona Code, Article 6.3, § 40-360.28

- Massachusetts: "... Any other person may report a suspected violation of M.G.L. c. 82 s. 40 to the Department. All such reports shall be in a form deemed appropriate and necessary by the Department." Massachusetts Regulation, 220 C.M.R. §99.01(1)
- Massachusetts: The Massachusetts Department of Telecommunications and Energy investigates all complaints received from excavators and facility owners/operators and conducts random field investigations. The Department then issues a Notice of Probable Violation if, based on the investigation, it has reason to believe that a violation has occurred or is occurring. "The Department may begin a proceeding by issuing a notice of probable violation ("NOPV") if the Department has reason to believe that a violation of the M.G.L. c. 82, § 40, has occurred or is occurring... The NOPV shall state the factual basis for the allegation of a violation..." Massachusetts Regulation, 220 C.M.R. § 99.07(1)
- Minnesota: "The office shall issue a notice of probable violation when the office has good cause to believe a violation of Minnesota Statutes, sections 216D.01 to 216.D.09 of this chapter has occurred...A notice of violation must include: A. a statement of the statute or rule allegedly violated by the person and a description of the evidence on which the allegation is based." Minnesota Rules, 7560.04000, Subp.1 - Subp. 2(A)
- Minnesota: See also Minnesota Rules, 7560.0400, Subp. 1, Notice of Violation; 7560.0500 Response Options; 7560.0600, Director Review; 7560.0800 Civil Penalties; Subp. 3, Assessment considerations
- New Hampshire: "Upon receipt of the NOPV [Notice of Probable Violation] the respondent shall either: (1) Submit in writing, within 30 days, evidence refuting the probable violation referenced in the NOPV; or (2) Request in writing within 30 days, an informal conference with commission staff to examine the basis of the violation, at which time the respondent may be represented by an attorney or other person; or (3) Waive procedural schedule by signing a consent agreement." New Hampshire Regulation, Chapter Puc 800, § Puc 805.02
- New Hampshire: See also New Hampshire regulations, Chapter Puc 800, sections Puc 805.01, "Notice of Probable Violation"; Puc 805.02, Alternative Responses to Notice of Probable Violation; Puc 805.03, Notice of Violation; Puc 805.04, Response to Notice of Violation; Puc 805.05 Commission Action; Puc 805.06, Civil Penalties
- Virginia: The Advisory Committee, which is established by statute to include "representatives of the following entities: Commission staff, utility operator, notification center, excavator, municipality, Virginia Department of Transportation, Board of Contractors, and underground line locator," meets one day annually (in addition the monthly hearings) for "issue day," a day to discuss issues and make recommendations to the State Corporation Commission (SCC) administrative threejudge panel on issues related to damage prevention. Sub-teams of the Advisory Committee are also formed to develop recommendations. "The purpose of the Committee is to...make recommendations with regard to Public Education and Awareness Programs that further public safety by the reduction of damage to the underground utility facilities in the Commonwealth and to monitor, analyze, influence, propose, support, or oppose programs or regulations that directly affect damage to underground facilities serving the citizens of the Commonwealth." Bylaws of the Advisory Committee, Article II
- Virginia: "Upon receipt of a report of a probable violation, the Commission staff ("Staff") shall conduct an investigation to examine all the relevant facts regarding the reported probable violation. The investigation may include, among other things, records verification, informal meetings, teleconferences, and photo-documentation. Upon completion of the investigation, the Staff shall review its findings and recommendations with the Advisory Committee established in accordance with 56-265.31 of the Act." Virginia "Rules for Enforcement of the Underground Damage Prevention Act," § 3

B: Structured Review Process

Practices Statement: A structured review process is used to impartially adjudicate alleged violations.

Practice Description: Two types of review processes currently used are outlined below. These type of processes differ in terms of 1) who receives reports of alleged violations, 2) who investigates the reports, 3) possible outcomes of the investigation, 4) who conducts first tier (informal) hearings, 5) possible outcomes of first tier hearings, and 6) appeal rights following a second tier (formal) hearing. It is important that review processes are constructed to avoid abuses of authority and prevent any individual, industry, stakeholder or agency from exercising undue power or influence over the process.

Type 1: Traditional Enforcement Authority—This system is currently used in Arizona, Connecticut, Massachusetts, Minnesota, New Hampshire, New Jersey, New York and Pennsylvania. Reports of alleged violations are sent to the State Agency. A state investigator investigates the reports. If the investigator decides not to issue a NOPV (Notice of Probable Violation), the matter is concluded. If not, the NOPV is issued, and the investigator conducts an informal hearing or review. If the investigator determines that no violation was committed, the matter is concluded. If the investigator determines that a violation was committed, the NOV (Notice of Violation) is issued. If the alleged violator does not contest the NOV, the alleged violation is bound by the facts, findings, orders and penalties set forth in the NOV. If the alleged violator so requests, the State Agency conducts a formal hearing. The alleged violator may appeal the decision reached in the formal hearing to the state court system.

Type 2: Advisory Committee (made up of stakeholders) partnered with State Agency—This system is currently used in Virginia. Reports of alleged violations are sent to the State Enforcement Agency. The State Agency investigates the alleged violations and reports to an advisory committee. The committee is made up of stakeholders representing the following statutorily mandated fields: excavators, facility owners/operators, notification centers, contract locators, local governments, State Department of Transportation, the Board of Contractors, and the State Enforcement Agency. If the advisory committee decides not to issue a NOPV (Notice of Probable Violation), the matter is concluded, possibly with a "letter of concern" containing one call information. If the advisory committee decides to issue an NOPV, it is issued by the State Agency. If the alleged violator does not request a hearing, the alleged violator is bound by the enforcement action set forth in the NOPV. If the alleged violator so requests, an informal hearing is held by the advisory committee. If the advisory committee decides that no violation was committed, the matter is concluded, subject to the right of the State Agency to contest that decision in an administrative proceeding conducted by the agency. If not, the NOV is issued. If the alleged violator then settles the matter with the advisory committee, the settlement is subject to approval by the State Agency in an administrative proceeding. If there is no settlement, the State Agency conducts a formal administrative hearing. The alleged violator may appeal the decision reached in the formal hearing to the state court system.

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CHAPTER 8

Public Education and Awareness













8-1: Marketing Plan



Practice Statement: An effective damage prevention education program includes a comprehensive, strategic marketing/advertising plan.

Practice Description: A comprehensive, strategic marketing/advertising plan enables better implementation, control and continuity of advertising/public relations programs, and ensures the most effective and efficient use of limited resources. These plans focus on setting realistic goals and allocating sufficient resources required to achieve those goals within a specified time frame. The marketing plan is a set of action steps based on a comprehensive situation analysis that clearly states the following:

- · What is to be achieved
- · How it will be achieved
- · When it will be achieved
- · Who is responsible for achieving each goal
- What amount of resources (time, people and money) will be allocated to achieving each goal

References:

- Louisiana 811 Systems, Inc. Project 2000, 1998 Marketing Plan
- Public Awareness Marketing Plan for Underground Utility Damage Prevention, prepared for the Damage Prevention Quality Action Team by The Daily Planit, November 20, 1997
- · Underground Protection Center (UPC) of Georgia
- Various 811 centers, including AL, AZ, CT, GA, IL, IA, KY, MO, NM, NY (City), NC, OK, OH, OR, WV, and WI

8-2: Marketing 811—A National One Call Number^{32/}



Practice Statement: An effective damage prevention education program includes promoting the national 811 number and awareness campaign by communicating the number and "call before you dig" process to excavators and the general public.

Practice Description: Practice Statement 8-1 identifies the need for a marketing plan and specifies that the plan include the promotion of the 811 number.

Stakeholder and marketing groups include the following:

- · 811 notification centers
- · Owners and operators of underground facilities
- Construction industry
- Regulatory agencies

Product and services representatives from the one call industry actions that lead to a successful incorporation of 811 into your marketing plan include the following:

- · Inclusion of the 811 logo on websites and newsletters
- Placing the 811 logo on owner/operator vehicles and equipment
- · TV and radio promotions and public service announcements
- · Billboard advertising
- Inclusion of the 811 logo on products and in service promotions

References:

- · State 811 centers
- · Krylon Industries
- Colonial Pipeline

8-3: Target Audiences and Needs^{32/}



Practice Statement: An effective damage prevention education program includes identification of target audiences and their individual needs.

Practice Description: Identification of target audiences ensures maximum impact for the Dig Safely message. The following target audiences are identified as examples:

- · Professional designers
- Surveyors
- · Construction management officials
- · Excavation equipment operators
- · Excavation equipment rental stores
- Excavators
- · Public works excavators
- Locators
- Railroads
- · Participating facility owners/operators
- Non-participating facility owners/operators (i.e., not one call members)
- · Agricultural industry members
- · Public officials
- · Planning, zoning, licensing, permitting and code enforcement officials
- · Public utility board members
- · Homeowners and associations
- · Schools
- Landscape companies
- Geotechnical and environmental soil testing laboratories
- · Insurance industry members
- Marine operators
- Children
- Property owners/tenants
- Emergency responders/local emergency planning committee members
- News media

When target audiences are identified, their specific needs can be more readily addressed. This helps identify which media (e.g., free advertising, advertising, brochures, meal meetings, handouts, door hangers, yard cards, etc.) can most effectively be used to deliver the message. This also facilitates customization of the message itself. Coordination with other strategic partners can assist in reaching the greatest number of people.

References:

- Various 811 centers, including AL, AZ, CO, CT, GA, FL, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, TX, WV and WI
- NUCA and various NUCA state chapters
- API, INGAA and AGA member companies
- Associated General Contractors (AGC) chapters
- · Door hangers from TCS Communications, LLC, of Florida
- · Yard cards from Ohio Utilities Protection Service

8-4: Structured Education Programs^{65/}



Practice Statement: An effective damage prevention education program is structured to accommodate the needs of stakeholder audiences.

Practice Description: Organizations that implement damage prevention programs need flexibility in selecting communication tactics based on demographics, damage events, effectiveness measurements and other relevant factors. A wide range of methods and tools, including mailings, in-person meetings, events, mass media communications, educational videos, and electronic and social media, may be considered for damage prevention messaging. Examples for target audiences are provided below:

- CONTRACTORS, ROAD BUILDERS and EXCAVATORS—Local
 and national trade shows and state/local chapters of contractor trade
 associations may provide opportunities for outreach. Group meetings
 focused on damage prevention education conducted by vendors and 811
 centers, as well as local utility coordinating committee (UCC) meetings,
 may also be valuable options for sharing damage prevention information.
 Consider educational materials that are portable and suitable for a mobile
 workforce.
- FARMERS and RANCHERS—Messages for this audience should focus on damage prevention during agricultural-related activities, such as fencing and tiling. Local agriculture extension offices and state/local chapters of agriculture trade associations may be helpful in promoting education and messaging. Local farm days and county/state fairs can provide opportunities for broader outreach.
- SCHOOL ADMINISTRATORS—Contacting 811 prior to any digging on school property is an important message for administrators. Arbor Day and Earth Day are natural opportunities to promote safe digging messages at schools. Boards of education and local parent/teacher organizations (PTOs) may also provide support and resources.
- SCHOOL STUDENTS—Schools provide an opportunity to "grow" damage prevention awareness among younger audiences and their families. School education programs, offering a damage prevention curriculum and guest speakers, provide structured learning. Local scouting troops and student clubs focused on safety, environment and civics may also provide opportunities for damage prevention education.
- LOCATORS—Locator training programs sponsored by 811 centers, utility operators or third-parties provide opportunities for damage prevention education. Locator trade associations and locator safety meetings may also be leveraged to provide outreach. Consider educational materials that are portable and suitable for a mobile workforce.
- PUBLIC OFFICIALS—Public officials can influence local damage prevention procedures in their communities. Focus messaging on suggestions for including 811 in local permitting requirements to keep communities safer. Tours of 811 centers and local utility facilities can also improve awareness among this audience.
- EMERGENCY RESPONDERS—Outreach to emergency responders can leverage existing public awareness programs, such as meetings with local emergency planning committees (LEPCs), local associations of fire chiefs and sheriffs, and organized group meetings. Focus messages on 811 requirements and recognizing the signs of an un-ticketed excavation, such as a lack of flags, paint or utility personnel at dig sites, to raise responder awareness of damage prevention in their communities.
- GENERAL PUBLIC AND HOMEOWNERS—Homeowner/neighborhood association meetings provide opportunities for sharing the damage prevention message. Also consider attending and/or exhibiting at local home and garden shows. Social media messaging may also provide options for communication of damage prevention messages to this audience.

- MEDIA—Promoting damage prevention through the media helps to broaden awareness. Events such as 811 Day, National Safe Digging Month and local planned events can be communicated through press releases, print, TV and radio interviews. As appropriate, media tours of operator facilities may also be useful.
- EQUIPMENT SUPPLIERS, DISTRIBUTORS and RENTAL COMPANIES—Equipment points of sale or points of rental provide opportunities to educate potential excavators about 811 and damage prevention. It may be helpful to provide these companies with damage prevention brochures, 811 stickers for equipment, etc., to provide "just in time" reminders about the importance of calling 811 before digging.

References:

- Various 811 centers, including AL, AZ, CO, CT, GA, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, TX, WV and WI
- · Current industry materials, programs and practices
- National Land Improvement Contractors Association
- American Petroleum Institute (API), Interstate Natural Gas Association of America (INGAA), and American Gas Association (AGA) member companies
- Industry associations, including AGC chapters, NUCA, and National Telecommunications Damage Prevention Council (NTDPC)
- Various contract locating firms
- American Petroleum Institute (API) Recommended Practice (RP) 1162, "Public Awareness Programs for Pipeline Operators"

8-5: Target Mailings



Practice Statement: An effective damage prevention education program communicates vital damage prevention, safety and emergency response information to target audiences through periodic mailings.

Practice Description: Target mailings can effectively communicate essential damage prevention, safety and emergency response information. Direct mailings containing local information can be mailed to residents and businesses that lie within a specified area. Such mailings are especially useful for reaching those residents and businesses that are in the corridor of the underground facility or proposed excavation route. Some examples are listed below:

- Direct-mailed billing statements are ideal for including inserts provided by the 811 center because the connection between underground facilities and Dig Safely can be readily made by the consumers.
- Additionally, space for a damage prevention message can be dedicated on the facility owners/operators' newsletters that are often included with the billing statements.
- Direct mailings, either in the form of letters or newsletters, are effective in targeting audiences such as lumber yards and stores, hardware stores, heavy equipment sellers and rental equipment stores. These mailings can offer support materials, such as point of-purchase brochure displays for sales counters, posters for retail aisles where digging equipment is found, and key chains for rental equipment ignition keys.
- An annual excavator newsletter, originated and mailed directly by the 811 center to all identifiable excavators in the call center's jurisdiction, keeps the customer base involved and informed of changes to the damage prevention system.
- Specialized brochures or letters can be mailed directly to address such issues as failure to follow local damage prevention laws, guidance to homeowners to understand the damage prevention process, and special requirements when excavations occur in agricultural or rural settings.

 Target mailing lists are developed using a combination of facility owners/ operators' and the 811 center's internal sources, support partner mailing lists, and ZIP-code + 4/SIC code mailing lists. There are numerous software applications and databases available in the marketplace to support this.

References:

- Various 811 centers, including AL, AZ, CT, GA, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, WV and WI
- API Recommended Practice 1123
- · 49 CFR Parts 192, 194, and 195

8-6: Paid Advertising



Practice Statement: An effective damage prevention education program includes paid advertising to increase damage prevention awareness and practices.

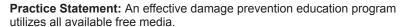
Practice Description: Paid advertising through event sponsorships, radio, television and print media is an effective means for communicating 811 center information and safe digging requirements to target audiences. Paid advertising is particularly effective for reaching general excavators, construction designers and managers, equipment operators, property owners and tenants, farmers, facility owners/operators, and the general public. However, the use of paid advertising can be very costly, and a measurement for success should be implemented early in the advertising campaign to gauge effectiveness. Measurements can include increased locate ticket volume or increased number of first-time callers to an 811 center. Additionally, creative placement of the message can ease the expense of paid advertising and enhance its effectiveness. Examples include transit system signs, sponsorship of news and weather reports on radio and television, industry trade exhibits and events, and print messages in trade publications.

References:

- Various 811 centers, including AL, CO, CT, GA, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, WV and WI
- Current facility owner practices, including various oil pipeline companies such as Marathon-Ashland Pipeline Company, Northwest Pipeline Company, and Equilon Pipeline Company

8-7: Free Media^{33/}





Practice Description: When identified and used correctly, free media can be highly effective to communicate the Dig Safely message at minimal cost. For organizations with limited budgets, use of free media should be emphasized.

Press Releases: This tool is the preferred method to communicate "newsworthy" information about your damage prevention program to newspapers, trade publications and radio stations. Examples of occasions/ events that are appropriate for press releases include the following:

- · Call center milestones (millionth call, record month, record day)
- Year in review (call volume statistics, damage reduction/increases)
- · Election of new board members
- Announcement of excavator safety program schedule
- · Announcement of a new utility member
- · Changes to the state/local damage prevention law
- · Seasonal "call before you dig" reminders

A basic press release, containing the damage prevention message and fundamental program information, is on file for distribution to newspapers and other periodicals that often run special sections on topics such as home improvements, safety around the home and damage prevention actions related to severe weather. See Appendix C for a sample press release.

Not-for-Profit Public Service Announcements (PSAs): Television and radio stations, as well as billboard companies, often are willing to donate airtime or space for public service announcements (PSAs) to not-for-profit organizations. To qualify, the organizations must have a safety-related message that benefits the general public.

Member Facility Owners/Operators: The member facility owners/ operators of the damage prevention system are, in effect, another source of free media for the Dig Safely message:

- Major facility owners/operators who purchase paid advertising on television, radio and billboards can require that free Dig Safely PSAs be included in any media buy they make.
- Cable TV members should be provided copies of any Dig Safely commercial and encouraged to run it as a PSA on their system. (Many cable members have created their own messages for this purpose!)
- All member facility owners/operators should be offered vehicle bumper stickers and posters to place on their locating and service vehicles promoting the "Call Before You Dig" phone numbers.

State/Local Government: State and local governments can be yet another source of free media for your damage prevention education program. The following are successful examples of their use:

- Use of proclamations by state and local governments to support "National Safe Digging Month." (see Appendix C for a sample press release)
- Inclusion of safe-digging messages on state tollway/highway electronic message boards
- Damage prevention messages in community newsletters of member municipal facility operators

References:

- Various 811 centers, including AL, AZ, CO, CT, GA, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, TX, WV and WI
- Various 811 center member companies, such as Media-One, GTE, TCI Cable Co., Ameritech and others
- · Proclamations from various state and local governments
- Press release from Ohio Utilities Protection Service announcing Ohio's Safe Digging Month (see Appendix C)

8-8: Giveaways



Practice Statement: An effective damage prevention education program uses promotional giveaway items to increase damage prevention awareness.

Practice Description: Effective damage prevention education programs use giveaways to reach targeted audiences. Examples include note pads, pens, Rolodex® cards, mouse pads, ignition protectors, clipboards and magnets. Items used should reflect the unique needs and interests of the target audiences and the regions served. For example, sports towels work in many areas and with many audiences. However, beach towels are probably only effective in states or areas near beaches. Giveaways can be distributed via awareness and safety meetings, targeted mailings, sponsored events, trade shows and other methods. In all cases, items should be usable both for work and recreation.

Reference:

 Various 811 centers, including AL, AZ, CO, CT, GA, ID, IL, IA, KY, MS, MO, NM, NY (City), NC, OK, OH, OR, TX, WV and WI

8-9: Establishing Strategic Relationships



Practice Statement: An effective damage prevention education program establishes strategic relationships.

Practice Description: Strategic relationships can be defined as "Making Friends Before You Need Them." This means having working relationships in place to leverage common resources. Successful damage prevention education programs establish strategic relationships with governmental agencies, emergency responders, associations of all types, media outlets, grassroots organizations and others. These relationships involve partnering to further damage prevention education efforts. One example of such strategic relationships includes partnering with the state bureau of utilities, 811 centers, OCSI members, the Equipment Manufacturers Institute (EMI) and original equipment manufacturers to install "North American Equipment Decals" on the dashboards of new excavating equipment. Another example is the One Call Systems Study (OCSS) for which this report is written. The OCSS represents the establishment of a strategic relationship among various one call systems stakeholders to further damage prevention education and awareness.

References:

- Various 811 centers, including AL, AZ, CO, CT, GA, ID, IL, IA, KY, MS, MO, NY (City), NC, OK, OH, OR, TX, WV and WI
- Illinois Commerce Commission
- Existing strategic relationships, such as APWA/AGC and API/NTDPC

8-10: Measuring Public Education Success



Practice Statement: An effective damage prevention education program includes structured annual or biennial (every two years) measurement(s) to gauge the success of the overall program.

Practice Description: Damage prevention education program effectiveness can be gauged in several ways. Consider the following examples:

- Use of a direct-mail or telephone survey to effectively determine how 811 center and/or member facility customers are hearing and recalling the damage prevention message.
- Use of Arbitron Areas of Dominant Influence (ADI) boundaries to measure increases in 811 center call volume and/or member facility owners/ operators' one call messages is also an effective measurement. For a given area, these can be compared against the money and resources used in that area for further indications of program effectiveness.
- The collection and tracking of individual or collective facility owners/ operators' damage information from year to year is another outstanding method of measuring success, providing that other internal factors at a given facility owner/operator remain constant.

References:

- Various 811 centers, including CT, GA, IL, IA, KY, MS, MO, NC, OK, OH and WI
- · API Data Collection Initiative
- INGAA Foundation Pipeline Safety Awareness Material Focus Group Research Report
- "Presentation of Findings: OPS/DAMQAT Underground Facility Damage Prevention Study" (nationwide survey)
- "Presentation of Findings: DAMQAT Pilot Evaluation Study" (regional survey)
- Great Lakes Common Carrier Committee Six-State Survey
- Virginia State Corporation Commission survey on why damages occur
- PHMSA 9 Elements (PIPES ACT)

8-11: Cross Bore Determination and Mitigation 69/



Practice Statement: A facility owner/operator has in place a robust, proactive mitigation program to identify and mitigate cross bores where its facilities may intrude upon another owner/operator's facility. The facility owner/operator program promotes safe mitigation of cross bores. A facility owner/operator provides a communications network as a conduit for third parties to report potential cross bores.

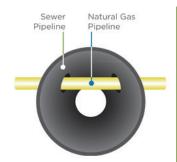
Practice Description: A facility owner/operator implements a program to identify and mitigate instances where its facility may intrude upon another facility owner/operator's facility.

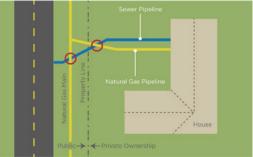
When a foreign object such as a conduit, cable or pipe is suspected to be within a non-pressurized underground facility, or when the operation of that facility is impaired, a report of a "potential cross bore" is placed to a centralized point of contact (communications network). The communications network may be a service sponsored by the underground facility owner/operator or the 811 center. The communications network notifies or provides the facility owner/operator's contact information for the reported location. These reports are treated as an "emergency" under the overriding state law.

After identifying potential cross bore(s), the facility owner/operator takes appropriate action using the latest technologies to inspect the facilities. Utilizing technologies such as camera inspection both pre- and post-construction is effective in preventing new cross bores and mitigating legacy cross bores.

References:

This practice is currently in place in multiple states/locations, including Pennsylvania, Minnesota, Virginia, Wisconsin and Washington, D.C., as well as through the regular ticket process in other states. The practice is performed in several different ways to convey a potential cross bore report to the involved party, and to prevent injury to the drain-cleaning professional and/or the property owner.





CHAPTER 9

Reporting and Evaluation













Best Practices Associated with Reporting Damage, Near Miss and Incident Data^{58/}

The following best practices related to reporting damage prevention data were reviewed by the CGA's Data Reporting & Evaluation Committee (DR&EC). Under each particular best practice is a partial list of examples identified during the creation of that best practice. Understanding this is a partial list, it should be recognized that other options may be available.

From a national data perspective, CGA stakeholders recognize the CGA DIRT tool as the most beneficial source currently available for nationwide data regarding damages, near misses and incident data.

9-1: All Stakeholders Report Information



Practice Statement: Facility owners/operators, locators, excavators or stakeholders with an interest in underground damage prevention report qualified information on events^{45/} that could have, or did, lead to a damaged underground facility.

References:

- API/Association of Oil Pipelines (AOPL) Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · Florida Sunshine State One Call
- Massachusetts Department of Telecommunications and Energy
- New Hampshire Public Utilities Commission
- · Pennsafe Bureau, Department of Labor and Industry
- · Tennessee One Call System, Inc.
- Tierdael Construction Company—General Contractors
- · U.S. Department of Transportation, Office of Pipeline Safety
- Virginia State Corporation Commission

9-2: Standardized Information Is Reported by All Stakeholders^{58/}



Practice Statement: The requested data is standardized and consists of essential information that can be analyzed to determine what events could, or did, lead to a damaged facility. This means that collected data includes damage information, downtime and near misses. All stakeholders submit the same damage, near miss and downtime data via simple answers and checkboxes. (Refer to Appendix C for example form)

References:

- API/AOPL Voluntary Accident Tracking Initiative
- · Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- Florida Sunshine State One Call
- · Massachusetts Department of Telecommunications and Energy
- New Hampshire Public Utilities Commission
- · Pennsafe Bureau, Department of Labor and Industry
- · Tennessee One Call System, Inc.
- Tierdael Construction Company—General Contractors
- · U.S. Department of Transportation, Office of Pipeline Safety
- Virginia State Corporation Commission

9-3: Identify the Noncompliant Stakeholder



Practice Statement: It is important to identify the noncompliant stakeholder (facility owner/operator, excavator, locator or 811 notification center) so that this group can be targeted with education and training. It may not be necessary to pinpoint the names and addresses of the offenders for the purpose of improving the damage prevention program.

References:

- API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- Consolidated Edison Company of New York, Inc.
- · Florida Sunshine State One Call
- · Massachusetts Department of Telecommunications and Energy
- · New Hampshire Public Utilities Commission
- · Pennsafe Bureau, Department of Labor and Industry
- · Tennessee One Call System, Inc.
- Virginia State Corporation Commission

9-4: Person Reporting Provides Detailed Information



Practice Statement: If all of the requested data is not available, the person reporting the information provides the most complete information possible.

Reference:

· Consolidated Edison Company of New York, Inc.

9-5: Requested Information May Change



Practice Statement: Requested information changes as additional or different data is deemed necessary for the evaluation process. The report is revised, as needed, to adapt to the changes in the state's statutes, the evolution of industry technology and the awareness of root causes.

References:

- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Massachusetts Department of Telecommunications and Energy
- · Tennessee One Call System, Inc.
- · Virginia State Corporation Commission

9-6: A Standardized Form Is Adopted



Practice Statement: A standardized form that includes the mandatory DIRT fields is adopted and distributed to all facility owners/operators, locators, excavators and other appropriate stakeholders. (Refer to Appendix C for example form)^{58/}

References:

- API/AOPL Voluntary Accident Tracking Initiative
- · Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- Massachusetts Department of Telecommunications and Energy
- NC811 DIRT Lite Form
- PA PDD (PA Damage Database)
- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.
- · United States Department of Transportation, Office of Pipeline Safety
- Virginia State Corporation Commission

9-7: The Form Is Simple



Practice Statement: Data is reported using a simple, standardized form. By limiting the number of hand-written responses, the information is easy to complete. Checkboxes or other simple answering techniques help the person reporting the information and make the evaluation process easier. (Refer to Appendix C for a example form)^{58/}

References:

- API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Florida Sunshine State One Call
- · Massachusetts Department of Telecommunications and Energy
- NC811 DIRT Lite Form
- PA PDD (PA Damage Database)
- New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.
- · U.S. Department of Transportation, Office of Pipeline Safety
- Virginia State Corporation Commission

9-8: Training Is Provided



Practice Statement: Training and education on how and when to complete the form are made available.

References:

· Connecticut Department of Public Utility Control/Call Before You Dig, Inc.

- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.

9-9: Flexibility on Completing and Returning Form Is Provided



Practice Statement: Flexible options are provided for both completing and returning the form. This may include providing self-addressed and webpage forms, and enabling completed forms to be faxed or reported by telephone.

References:

- · API/AOPL Voluntary Accident Tracking Initiative
- Florida Sunshine State One Call
- · New Hampshire Public Utilities Commission
- · Pennsafe Bureau, Department of Labor and Industry
- · Tennessee One Call System, Inc.
- · Virginia State Corporation Commission

9-10: Vacant57/

9-11: Stakeholders Complete the Same Form



Practice Statement: If possible, facility owners/operators, excavators, locators and anyone else involved in the damage prevention process complete the same form.

Reference:

Virginia State Corporation Commission

9-12: An Organization Is Identified to Receive the Information



Practice Statement: A centralized and independent organization is identified to receive and process completed forms. DIRT is currently recognized as the national repository for housing damage data. All stakeholders submit damage, near miss and downtime data via simple answers and checkboxes. (Refer to Appendix C for example form)^{58/}

References:

- · API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- Florida Sunshine State One Call
- New Hampshire Public Utilities Commission
- · Pennsafe Bureau, Department of Labor and Industry
- Tennessee One Call System, Inc.
- · U.S. Department of Transportation, Office of Pipeline Safety
- · Virginia State Corporation Commission

9-13: The Organization Is Able to Interface With All Stakeholders



Practice Statement: The organization collecting the information is able to interface with all groups to promote completion and return of completed forms.

References:

- API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · Massachusetts Department of Telecommunications and Energy
- New Hampshire Public Utilities Commission
- Tennessee One Call System, Inc.

Best Practices Associated with Evaluating Damage Prevention Data

The following best practices are related to evaluating damage prevention data and are developed by the Reporting and Evaluation Task Team. Under each best practice is a list of resources that were used as examples during the Task Team's discussions and may not be inclusive of all stakeholders that utilize the best practice.

9-14: An Organization Evaluates the Data



Practice Statement: A centralized and independent organization, such as the Data Reporting and Evaluation Committee, is identified to evaluate the completed forms and publish the data.^{58/}

References:

- API/AOPL Voluntary Accident Tracking Initiative
- · Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.

9-15: The Organization Has Representation from All Stakeholders



Practice Statement: An organization such as the Data Reporting and Evaluation Committee, with representation from all interested stakeholders, assists in the evaluation process.^{58/}

References:

- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.
- Virginia State Corporation Commission

9-16: Data Is Used to Improve Damage Prevention Efforts



Practice Statement: The reported data is used to assess and improve underground damage prevention efforts.

References:

- · API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- Massachusetts Department of Telecommunications and Energy
- New Hampshire Public Utilities Commission
- Tennessee One Call System, Inc.
- Virginia State Corporation Commission

9-17: Data Is Used to Promote Underground Damage Awareness^{58/}



Practice Statement: The reported data is not used to penalize or punish; rather, it is used to promote underground damage awareness through recommended training and education.

References:

- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Tennessee One Call System, Inc.

9-18: Data Is Summarized by Key Components



Practice Statement: The reported data is summarized by key components.

References:

- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · New Hampshire Public Utilities Commission

- · Tennessee One Call System, Inc.
- · Virginia State Corporation Commission

9-19: Root Causes Are Identified



Practice Statement: Root causes of events are identified. 58/

References:

- API/AOPL Voluntary Accident Tracking Initiative
- · Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · New Hampshire Public Utilities Commission
- · Massachusetts Department of Telecommunications and Energy
- · Virginia State Corporation Commission

9-20: Results Are Quantified Against a Standardized Risk Factor



Practice Statement: Results are quantified against a standardized risk factor. The risk factor considers a stakeholder's exposure to potential damage. This risk factor may be based on factors such as the number of miles of line installed or the number of 811 center notification tickets. For example, a risk factor may compare how many underground damages occurred in a certain time period versus the total number of notification tickets issued.

References:

- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- National Transportation Safety Board Safety Study: Protecting Public Safety through Excavation Damage Prevention (NTSB/SS-97-01)

9-21: Performance Levels and Trends Are Assessed



Practice Statement: Performance levels and trends are assessed against those of other organizations.

References:

- API/AOPL Voluntary Accident Tracking Initiative
- Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.

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CHAPTER 10

Miscellaneous













10-1: Homeland Security



Practice Description: Many of the recommended practices contained within the CGA's Best Practices Manual require the sharing of critical infrastructure information. This sharing is an important aspect of ensuring that parties involved with the identification of, the excavation around, and the general protection of underground facilities have adequate information to protect underground infrastructures. However, in the interest of Homeland Security, all parties must ensure that such information is shared only with individuals who truly require this critical information.

To this end, parties who employ or contract with individuals who may have access to such information should ensure that those individuals or contractors have the appropriate credentials to prevent the information from being accessed by individuals or groups that may intend to damage, alter or destroy the infrastructure in question.^{5/}

References:

• Existing state laws, including South Carolina and North Carolina

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APPENDIX A

Glossary of Terms and Definitions

For the purpose of the Common Ground Study, a common set of definitions is used. These definitions were arrived at through a consensus process similar to the methodology used to identify the best practices.

811 Center: A communications center that administers a system through which excavators request buried facilities to be marked by owners/operators. Centers in the United States are referred to as "811 centers" due to their use of the 811 three-digit phone number. Similar centers with a variety of names exist internationally.^{75/}

Abandoned Line or Facility: Any underground or submerged line or facility no longer in use.

Alternative Dispute Resolution (ADR): Any process or procedure other than litigation that is agreed to by the disputing parties as the means for resolving a dispute, and is binding or non-binding pursuant to the agreement by the disputing parties. ADR includes, but is not limited to, advisory boards, arbitration, minitrials, mediation, partnering and standing neutrals.

As-built Drawing: A detailed depiction of facilities as installed in the field.

Attribute: Characteristic that helps describe the data.

Backfill: To fill the void created by excavating.

Business Day (or Working Day): Any day of the week except Saturday and Sunday and state/provincial and federal legal holidays.

Cathodic Protection: The process of arresting corrosion on a buried or submerged structure by electrically reversing the natural chemical reaction. This includes, but is not limited to, installation of a sacrificial anode bed, use of a rectifier-based system, or any combination of these or other similar systems. Wiring is installed between the buried or submerged structure and all anodes and rectifiers; wiring is also installed to test stations that are used to measure the effectiveness of the cathodic protection system.

Compliance: Adherence to the statute and its regulations.

Cross Bore: An intrusion of an existing underground utility or underground structure by a second utility, resulting in direct contact between the transactions of the utilities that compromises the integrity of either the utility or underground structure. ^{69/}

Damage: Any impact or exposure that results in the need to repair an underground facility due to a weakening or the partial or complete destruction of the facility, including, but not limited to, the protective coating, lateral support, cathodic protection, or housing for the line, device or facility.

Damage Reporting: The immediate reporting to an 811 center and the facility owner/operator of any damage caused or discovered in the course of excavation or demolition work; to immediately alert the occupants of premises as to any emergency that such person may create or discover at or near such premises; to contact emergency responders, if necessary, as quickly as practical.

Demolition Work: The partial or complete destruction by any means of a structure served by, or adjacent to, an underground line or facility.

Designer: Any architect, engineer or other person who prepares or issues a drawing or blueprint for a construction or other project that requires excavation or demolition work.

Digital Imagery: A computer-compatible version of land-related information including, for example, topography, physical features, road/street networks and buried facility networks obtained from a variety of sources including, for example, aerial photographs, satellite photographs, road maps, survey plans and buried facility records.^{31/}

Downtime: Lost time reported by a stakeholder on the Damage Information Reporting Tool (DIRT) field form for an excavation project due to failure of one or more stakeholders to comply with applicable damage prevention regulations.^{51/}

Electronic Positive Response: Communication by telephone, fax, email or internet from a facility owner/operator to an excavator providing the status of an owner/operator's statutorily required response to a notice of intent to excavate. 42/

Electronic White Lining (EWL): The process in which an excavator identifies where proposed excavation will occur by drawing a polygon shape on a GIS map; that shape is delivered electronically by the 811 center to its member facility operators. ^{80/}

Emergency: A sudden or unforeseen occurrence involving a clear and imminent danger to life, health or property; the interruption of essential utility services; or the blockage of transportation facilities that requires immediate action.

Emergency Notice: A communication to the 811 center to alert the involved underground facility owners/operators of the need to excavate as a result of a sudden or unforeseen occurrence or national emergency involving a clear and imminent danger to life, health, environment or property (including the interruption of essential utility services or the blockage of transportation facilities) that requires immediate excavation.

Emergency Response: A facility owner/operator's response to an emergency notice.

Event: The occurrence of facility damage, near miss or downtime.

Excavate or Excavation: Any operation using non-mechanized or mechanized equipment, demolition or explosives in the movement of earth, rock or other material below existing grade. ^{50/}

Excavator: Any person proposing to or engaging in excavation or demolition work for himself or for another person.

Facility: An underground or submerged conductor, pipe or structure used to provide electric or communications service (including, but not limited to, traffic control loops and similar underground or submerged devices); or an underground or submerged pipe used in carrying, providing or gathering (typically between the wellhead and transmission line) gas, oil or oil product, sewage, storm drainage, water, or other liquid service (including, but not limited to, irrigation systems) and apputenances thereto. ^{56/}

Facility Owner/Operator: Any person, utility, municipality, authority, political subdivision, or other person or entity who owns, operates or controls the operation of an underground line/facility.

Geographic Information System (GIS): An organized collection of computer hardware, software and geographic data used to capture, store, update, maintain, analyze and display all forms of geographically referenced information.

Geospatial Data: Data that identifies the geographic location and characteristics of natural or constructed features and boundaries on the earth.

Global Positioning System (GPS): A system consisting of 25 satellites used to provide precise position, velocity and time information to users anywhere on Earth. Location information can be received using a GPS receiver. The GPS receiver helps determine locations on the earth's surface by collecting signals from three or more satellites through a process called triangulation. Simple and inexpensive hand-held receivers provide an accuracy of ± 100 meters of a true position. More sophisticated receivers that use additional technologies or that post-process the original GPS data can provide sub-meter accuracy.

Grade: The surface of the earth (i.e., ground level) upon which a structure is built or prepared.

Grounding Systems: A system of one or more ground conductors or ground rods providing a low-resistance path-to-earth ground potential through a mechanical connection to structures, conductors and equipment.

Joint Trench: A trench containing two or more facilities that are buried together by design or agreement.^{52/}

Land Base: Mapped data that depicts features of the surface of the earth and is tied to real-world geographic coordinates, such as latitude and longitude.

Large/Complex Project: A single project, or a series of repetitive, small, short-term projects that are related in scope, that impact facilities over a long period of time or a large area.^{30/}

Latitude (Lat): Distance measured north or south of the equator.

Line: See "Geographic Information System (GIS)."

Locate: To indicate the existence of a line or facility by establishing a mark through the use of stakes, paint, flagging, whiskers or some other customary manner that approximately determines the location of that line or facility.^{44/}

Locate Request: A communication between an excavator and 811 center personnel in which a request for locating underground facilities is processed.

Locator: A person whose job is to locate lines or facilities.^{47/}

Longitude (Long): Distance measured east or west from a reference meridian (Greenwich).

Marking Standards: The methods by which a facility owner/operator indicates its line or facility in accordance with the APWA guidelines. (See Appendix B, "Uniform Color Code and Marking Guidelines.")

Member Database: Structured collection of data defined for a particular use, user, system or program; it may be sequential, network, hierarchical, relational or semantic.

Membership: Persons who participate voluntarily in an 811 center because they have an interest in the protection of lines or facilities, or because they have a statutory responsibility to protect lines or facilities.

Minor or Routine Maintenance of Transportation Facilities: The adding of granular material to unpaved roads, road shoulders, airport runways, airport taxiways and railroad roadbeds; removal and application of patches to the surface of paved roads, runways and taxiways; cleaning and sealing road, airport and canal lock facility cracks or joints; replacing railroad ties and related appliances, excluding road crossings; adjusting ballast on top of railroad roadbed; cleaning of paved drainage inlets and paved ditches or pipes.

Near Miss: An event where damage (as defined on page 91) did not occur, but a clear potential for damage was identified. 43/

Notice: The timely communication by the excavator/designer to the 811 center that alerts the involved underground facility owners/operators of the intent to excavate.

Notification Period: The time beginning when notice is given and ending when the work may begin.

One Call Center: See "811 Center."

Orthophoto: An aerial photograph of a site that has been differentially rectified to correct the distortion caused by the terrain and attitude (tip, tilt and yaw) of the camera. A multicolored, distortion-free, photographic image.

Person: Any individual or legal entity, public or private.

Planning: An activity at the beginning of a project where information is gathered and decisions are made regarding the route or location of a proposed excavation based on constraints, including the locations of existing facilities, anticipated conflicts and the relative costs of relocating existing facilities, or more expensive construction for the proposed facility.

Plat: A map or representation on paper of a piece of land subdivided into lots, with streets, alleys, etc., usually drawn to a scale.

Positive Response: Communication with the excavator prior to excavation to ensure that all contacted (typically via the 811 centers) owners/operators have located their underground facilities and have appropriately marked any potential conflicts with the areas of planned excavation.

Pothole (a.k.a., test hole): Exposure of a facility by safe excavation practices to ascertain the precise horizontal and vertical position of underground lines or facilities. Accepted safe excavation practices vary by state/local jurisdiction, but the preferred techniques include hand digging with extreme caution and/or vacuum excavation. (See Best Practice 5-32).⁸⁶⁷

Pre-marking or Positive Site Identification: The marking of the proposed excavation site/work area consistent with APWA guidelines.

Private Service Line: A buried facility/line wholly owned and operated on private property by an entity or individual who is not in the business of providing a product or service via that buried facility/line.^{71/}

Project Owner: The person financially responsible for the undertaking of a project that involves excavation or demolition.^{27/}

Public: The general population or community at large.^{28/}

Railroad Operating Corridor: The property that is essential to a railroad company to enable it to discharge its function and duties as a common carrier by rail. It includes the roadbed, right of way, tracks, bridges, stations and such like property.^{29/}

Root Cause: The primary reason an event occurred.48/

Subsurface Utility Engineering (SUE): An engineering process for accurately identifying the quality of underground utility information needed for excavation plans, and for acquiring and managing that level of information during the development of a project.

Test Hole: See definition for "Pothole." 86/

Ticket Number: A unique identification number assigned by the 811 center to each locate request.^{49/}

Tolerance Zone: The space in which a line or facility is located and in which special care is to be taken.

Vacuum Excavation: A means of soil extraction through vacuum; water or air jet devices are commonly used for breaking the ground.³⁸

APPENDIX B

Uniform Color Code and Marking Guidelines

The information contained in this appendix is intended to supplement information for existing practices found within CGA Best Practices.^{8/}

BEST PRACTICES CHAPTER 4—LOCATING AND MARKING

Practice Statement 4–3: Color Code: A uniform color code and set of marking symbols is adopted nationwide.

Uniform Color Code9/

The following APWA uniform color code (ANSI Z535.1) shall be adopted as the uniform color code for marking excavation sites and underground facilities in conflict with an excavation. This recommendation is not intended to preempt any existing state requirement that specifies other colors.

Pink Temporary Survey Markings Red Electric Power Lines, Cables, Conduit, and Lighting Cables Yellow Gas, Oil, Steam, Petroleum, or Gaseous Materials Orange Communication, Alarm or Signal Lines, Cables, or Conduit Blue Potable Water Purple Reclaimed Water, Irrigation, and Slurry Lines Green Sewers and Drain Lines	White	Proposed Excavation
Yellow Gas, Oil, Steam, Petroleum, or Gaseous Materials Communication, Alarm or Signal Lines, Cables, or Conduit Potable Water Purple Reclaimed Water, Irrigation, and Slurry Lines	Pink	Temporary Survey Markings
Orange Communication, Alarm or Signal Lines, Cables, or Conduit Blue Potable Water Purple Reclaimed Water, Irrigation, and Slurry Lines	Red	Electric Power Lines, Cables, Conduit, and Lighting Cables
Purple Potable Water Reclaimed Water, Irrigation, and Slurry Lines	Yellow	Gas, Oil, Steam, Petroleum, or Gaseous Materials
Purple Reclaimed Water, Irrigation, and Slurry Lines	Orange	Communication, Alarm or Signal Lines, Cables, or Conduit
	Blue	Potable Water
Green Sewers and Drain Lines	Purple	Reclaimed Water, Irrigation, and Slurry Lines
	Green	Sewers and Drain Lines

References:

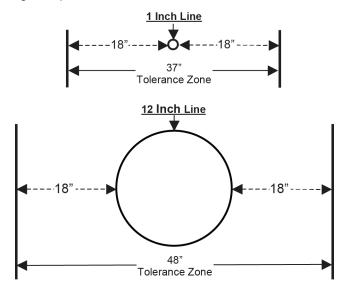
- · APWA Uniform Color Code
- Existing operating practices from various states' 811 centers
- · Existing 811 laws from various states
- ANSI Standard Z535.1 Safety Color Code

BEST PRACTICES CHAPTER 5—EXCAVATION

Practice Statement 5–19: Excavation Tolerance Zone: The excavator observes a tolerance zone that is comprised of the width of the facility plus 18 in. on either side of the outside edge of the underground facility on a horizontal plane. This practice is not intended to preempt any existing state/provincial requirements that currently specify a tolerance zone of more than 18 in.

Tolerance Zone^{40/}

The following examples are of tolerance zones for a 1 in. and 12 in. line:



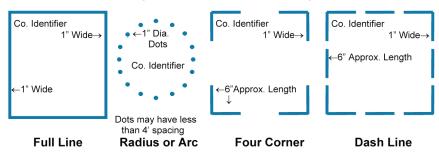
BEST PRACTICES CHAPTER 5—EXCAVATION

Practice Statement 5–2: White Lining^{67/}: When the excavation site cannot be clearly and adequately identified on the locate ticket, the excavator designates the route and/or area to be excavated using white pre-marking, either onsite or electronically (when available through the 811 center), prior to or during the request for the locate ticket.

Guidelines for Excavation Delineation9/

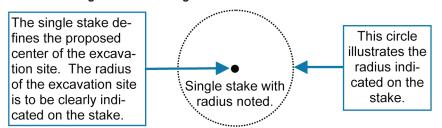
The following marking illustrations are examples of how excavators may choose to mark their area of proposed excavation. The use of white marking products (e.g., paint, flags, stakes, whiskers or a combination of these) may be used to identify the excavation site.

Single Point Excavations Markings



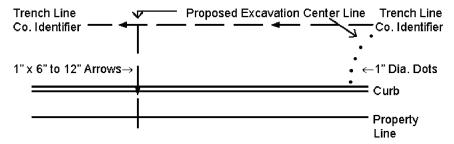
Delineate in white^{62/} the proposed area of excavation using a continuous line, dots marking the radius or arcs, dashes marking the four corners of the project, or dashes outlining the excavation project. Limit the size of each dash to approximately 6 in. to 12 in. long and 1 in. wide with interval spacing approximately 4 ft. to 50 ft. apart. Reduce the separation of excavation marks to a length that can reasonably be seen by the operator's locators when the terrain at an excavation site warrants. Dots of approximately 1 in. diameter typically are used to define arcs or radii and may be placed at closer intervals in lieu of dashes.

Single Stake Marking Center Point of Excavation Site



When an excavation site is contained within a 50 ft. maximum radius or less, it can be delineated with a single stake that is positioned at the proposed center of the excavation. If the excavator chooses this type of delineation, they must convey that they have delineated the excavation site with a single stake at the center of the excavation and include the radius of the site in the notification to the 811 center. This single stake is white in color and displays the excavator's company identifier (name, abbreviations or initials) and the radius of the excavation site in black letters on the stake or with a notice attached to the stake.

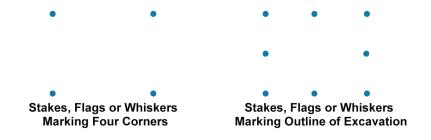
Trenching, Boring or Other Continuous-Type Excavations



Continuous Excavation Marking

Mark in white 627 the proposed centerline of planned excavation using 6 in. to 12 in. × 1 in. arrows approximately 4 ft. to 50 ft. apart to show direction of excavation. Reduce the separation of excavation marks to a length that can reasonably be seen by the operator's locators when the terrain at an excavation site warrants. Mark lateral excavations with occasional arrows showing excavation direction from centerline with marks at curb or property line if crossed. Dots may be used for curves and closer interval marking.





Delineate the proposed area of excavation using stakes, flags or whiskers instead of spray paint to mark radius or arcs, the four corners of the project, or when outlining the excavation project. Limit the interval spacing to approximately 4 ft. to 50 ft. Reduce the separation of excavation marks to a length that can reasonably be seen by the operator's locators when the terrain at an excavation site warrants. Stakes, flags or whiskers provided to illustrate arcs or radii may be placed at closer intervals to define the arc or radius. Stakes, flags or whiskers are white in color and display the excavator's company identifier (name, abbreviations or initials).

BEST PRACTICES CHAPTER 4—LOCATING AND MARKING

Practice Statement 4–3: Color Code: A uniform color code and set of marking symbols is adopted nationwide.

Guidelines for Operator Facility Field Delineation^{9/}

Operator markings of facilities include the following:

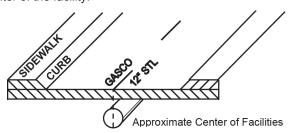
- · The appropriate color for their facility type
- Their company identifier (name, initials or abbreviation) when other companies are using the same color
- The total number of facilities and the width of each facility
- A description of the facility (HP, FO, STL, etc).

Use paint, flags, stakes, whiskers or a combination to identify the operator's facility(s) at or near an excavation site.

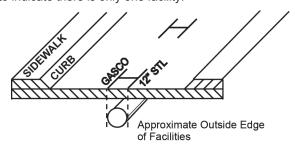
 Marks in the appropriate color are approximately 12 in. to 18 in. long and 1 in. wide, spaced approximately 4 ft. to 50 ft. apart. When marking facilities, the operator considers the type of facility being located, the terrain of the land, the type of excavation being done, and the method required to adequately mark the facilities for the excavator.

$$|\leftarrow$$
 12" to 18" \rightarrow $|\leftarrow$ 4' to 50' in distance \rightarrow \uparrow between marks 1" Wide

- The following marking examples illustrate how an operator may choose to mark their subsurface installations:
 - a. Single Facility Marking: Used to mark a single facility. This can be done in one of two ways—1) placing the marks over the approximate center of the facility:



or 2) placing the marks over the approximate outside edges of the facility with a line connecting the two horizontal lines (in the form of an H) to indicate there is only one facility:

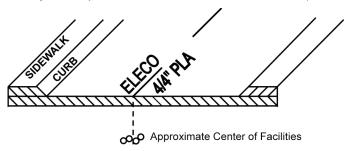


These examples indicate an operator's 12 in. facility. When a facility can be located or toned separately from other facilities of the same type, it is marked as a single facility.^{41/}

b. Multiple Facility Marking: Used to mark multiple facilities of the same

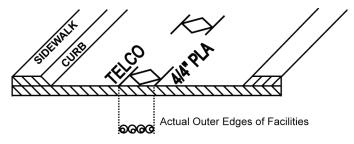
type (e.g., electric), where the separation does not allow for a separate tone for each facility, but the number and width of the facilities is known. Marks are placed over the approximate center of the facilities and indicate the number and width of the facilities.

Example: four plastic facilities that are 4 in. in diameter (4/4" PLA)



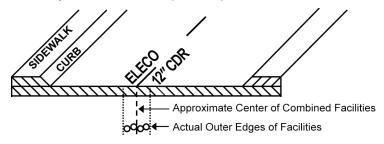
c. Conduit Marking: Used for any locatable facility being carried inside conduits or ducts. The marks indicating the outer extremities denote the actual located edges of the facilities being represented.

Example: four plastic conduits that are 4 in. in diameter (4/4" PLA), and the marks are 16 in. apart, indicating the actual left and right edges of the facilities



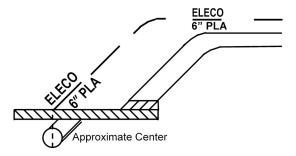
d. Corridor Marking: Used to mark multiple facilities of the same type (e.g., electric), bundled or intertwined in the same trench, where the total number of facilities is not readily known (operator has no record on file for the number of facilities). Marks are placed over the approximate center of the facilities and indicate the width of the corridor. The width of the corridor is the distance between the actual located outside edges of the combined facilities.

Example: a 12 in. corridor (12" CDR)

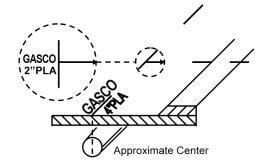


3. Changes in direction and lateral connections are clearly indicated at the point where the change in direction or connection occurs, with an arrow indicating the path of the facility. A radius is indicated with marks describing the arc. When providing offset markings (paint or stakes), show the direction of the facility and distance to the facility from the markings.

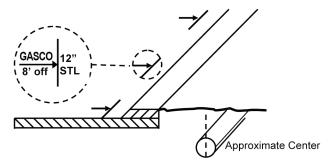
Example: radius



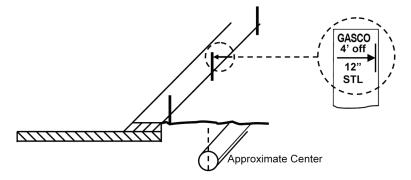
Example: lateral connection



Example: painted offset (off)



Example: staked offset (off)



4. An operator's identifier (name, abbreviation or initials) is placed at the beginning and at the end of the proposed work. In addition, subsequent operators using the same color mark their company identifier at all points where their facility crosses another operator's facility using the same color. Reduce the separation of excavation marks to a length that can reasonably be seen by the operator's locators when the terrain at an excavation site warrants.

Examples:

CITYCO ELECO TELCO

Information regarding the size and composition of the facility is marked at an appropriate frequency.

Examples: the number of ducts in a multi-duct structure, width of a pipeline, and whether it is steel, plastic, cable, etc.

 TELCO
 GASCO
 WATERCO

 9/4" CAB
 4" PLA
 12" STL

6. Facilities installed in a casing are identified as such.

Examples: 6 in. plastic in 12 in. steel and fiber optic in 4 in. steel

GASCO TELCO FO (4" STL)

 Structures such as vaults, inlets and lift stations that are physically larger than obvious surface indications are marked so as to define the parameters of the structure.

Example:



8. Termination points or dead ends are indicated as such.

Example:



- When there is "No Conflict" with the excavation, complete one or more of the following:
 - Operators of a single type of facility (e.g., TELCO) mark the area "NO" followed by the appropriate company identifier in the matching APWA color code for that facility.

Example: NO TELCO

 Operators of multiple facilities mark the area "NO" followed by the appropriate company identifier in the matching APWA color code for that facility with a slash and the abbreviation for the type of facility for which there is "No Conflict."

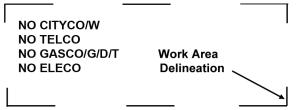
Example: NO GASCO/G/D illustrates that GASCO has no gas distribution facilities at this excavation site. The following abbreviations are used when appropriate: /G/D (gas distribution); /G/T (gas transmission); /E/D (electric distribution); /E/T (electric transmission).

- Place a clear plastic (translucent) flag that states "No Conflict" in lettering
 matching the APWA color code of the facility that is not in conflict. Include
 on the flag the operator's identifier, phone number, a place to write the
 locate ticket number and date. Operators of multiple facilities indicate on
 the flag which facilities are in "No Conflict" with the excavation (see the
 previous example).
- If it can be determined through maps or records that the proposed excavation is obviously not in conflict with their facility, the locator or operator of the facility may notify the excavator of "No Conflict" by phone, fax or email, or through the 811 center, where electronic positive response is used. Operators of multiple facilities indicate a "No Conflict" for each facility (see the previous examples).
- Place "No Conflict" markings or flags in a location that can be observed by the excavator and/or notify the excavator by phone, fax or email that there is "No Conflict" with your facilities. When the excavation is delineated by the use of white markings, place "No Conflict" markings or flags in or as near as practicable to the delineated area.

Caution: Allow adequate space for all facility mark-outs.

"No Conflict" indicates that the operator verifying the "No Conflict" has no facilities within the scope of the delineation; or when there is no delineation, there are no facilities within the work area as described on the locate ticket.

Example:



Color Code Identifiers

White	Proposed Excavation
Pink	Temporary Survey Markings
Red	Electric Power Lines, Cables, Conduit, and Lighting Cables
Yellow	Gas, Oil, Steam, Petroleum, or Gaseous Materials
Orange	Communication, Alarm or Signal Lines, Cables, or Conduit
Blue	Potable Water
Purple	Reclaimed Water, Irrigation, and Slurry Lines
Green	Sewers and Drain Lines

Common Abbreviations

Facility Identifier

CH	Chemical
Е	Electric
FO	Fiber Optic
G	Gas
LPG	Liquefied Petroleum Gas
PP	Petroleum Products
RR	Railroad Signal
S	Sewer
SD	Storm Drain
SS	Storm Sewer
SL	Street Lighting
STM	Steam
SP	Slurry System
TEL	Telephone
TS	Traffic Signal
TV	Television
W	Water
W	Reclaimed Water "Purple"

Underground Construction Descriptions

С	Conduit
CDR	Corridor
D	Distribution Facility
DB	Direct Buried
DE	Dead End
JT	Joint Trench
HP	High Pressure
HH	Hand Hole
MH	Manhole
PB	Pull Box
R	Radius
STR	Structure (vaults, junction boxes, inlets, lift stations)
Т	Transmission Facility

Infrastructure Material

ABS	Acrylonitrile - Butadiene - Styrene		
ACP	Asbestos Cement Pipe		
CI	Cast Iron		
CMC	Cement Mortar Coated		
CML	Cement Mortar Lined		
CPP	Corrugated Plastic Pipe		
CMP	Corrugated Metal Pipe		
CU	Copper		
CWD	Creosote Wood Duct		
HDPE	High Density Polyethylene		
MTD	Multiple Tile Duct		
PLA	Plastic (conduit or pipe)		
RCB	Reinforced Concrete Box		
RCP	Reinforced Concrete Pipe		
RF	Reinforced Fiberglass		
SCCP	Steel Cylinder Concrete Pipe		
STL	Steel		
VCP	Vitrified Clay Pipe		

Guide for Abbreviation Use

Follow these guidelines when placing abbreviations in the field:

- Place the Company Identifier at the top or at the left of the abbreviations.
- Place the abbreviations in the following order: Company Identifier / Facility Identifier / Underground Construction Descriptions / Infrastructure Material

Example: TELCO/TEL/FO/PLA indicates that TELCO has a telecommunication fiber optic line in a single plastic conduit. The use of the abbreviation /TEL is not necessary, because the orange marking would indicate that the facility was a communication line; but its use is optional.

• To omit one or more of the abbreviation types, use the order described above but omit the slash and abbreviation that does not apply.

Example: to omit /TEL), the result would be TELCO/FO/PLA.

Guidelines for Underground Electronic Utility Marker Technology^{74/}

Underground electronic utility markers incorporate unique frequencies and/ or data transfer capabilities to identify an underground asset. In general, radio frequency identification (RFID) has been in use for a few decades and incorporates unique frequencies for each type of utility; this allows locators and operators to identify specific types of underground utilities. Data-transfer RFID allows users to write to and read information from the marker. Advantages of utility-specific frequency RFID markers include greater depth of detection, no need to read data to identify a utility type, and tradition of use. Advantages of data-transfer RFID markers include utility agnosticism (does not require different frequencies to communicate utility type) and ability to write and read specific underground utility information from the marker.

Underground electronic utility markers fall into two primary use case categories:

point marking and path marking. Both device types generate an electromagnetic radio frequency to provide accurate location information. Point markers are installed along the vertical axis to identify the specific location of an underground facility feature, component or utility type. Path markers are installed along the horizontal axis along a buried underground facility and provide a running line direction and location of an underground utility. Examples of point markers include: ultra-high frequency (UHF) RFID subsurface tags, high frequency (HF) subsurface markers, UHF RFID magnets, active UHF RFID subsurface tags, marker balls, disk markers, near surface markers, full range markers, mini markers, box markers, tap tee markers, duct markers, and RFID tags. Path markers include intrinsically locatable plastic pipe, UHF RFID tape and rope, and HF RFID tape and rope.

Facility owners/operators consider several factors associated with the installation, location and data integration of electronic markers, including the following:

Installation Factors

- Signal drift, burial depth and power loss over time due to changing environmental conditions
- Signal loss that occurs with distance traveled
- Electronic markers' operating specifications to maximize underground facility and marker lifetime
- Ease of integration with other systems

According to VDOT's paper, Electronic RFID Marking and GPS Based Utility As-Built Mapping System, additional potential spacing protocols for electronic point maker placement for new construction are:

- · Every 25 ft. along the facility path
- · At significant horizontal and vertical changes in direction
- At critical utility crossings, tees and service connection
- · On appurtenances that are important to the utility owner

Location Factors

The quality of the locating frequency may deteriorate if the underground utility marker is adjacent to a plurality of underground facilities with underground utility markers operating at a similar frequency. The following potentially applicable point marker locating frequencies, according to VDOT's paper (Electronic RFID Marking and GPS Based Utility As-Built Mapping System), can be used to avoid signal interference and identify and locate a specific utility type. The verification frequency associated with the RFID tag can vary.

Commonly-Used Frequencies for Various Underground Electronic Utility Markers

Underground Facility	Point Frequencies	Path Frequencies	US UHF RFID
Power	169.8 kHz	34.9kHz	902-928 MHz
Water	145.7 kHz	73.5kHz	902-928 MHz
Wastewater	121.6 kHz	41.4kHz	902-928 MHz
Telecommunication	101.4 kHz	48.8kHz	902-928 MHz
Gas	83 kHz	53.9kHz	902-928 MHz
Cable TV and Communications	77 kHz	48.8 kHz	902-928 MHz
General Purpose/ Reclaimed Water	66.35 kHz	44.9 kHz	902-928 MHz

Data Integration Factors

Additional factors are related to the storage and labeling of data tagged to an underground utility marker via RFID technology, including:

- Information to be stored with the unique identifier
- Metadata template definition and creation to promote data collection consistency and underground utility marker operation across varying technology solutions
 - Sample data elements to collect may include: asset type, asset material, asset class, asset owner, burial depth, latitude/longitude, EM manufacturer and emergency contact information.
- Underground utility marker with RFID tagging integration into routine QLA investigations (subsurface utility engineering quality level) to label the location and burial distance of the exposed pipe

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APPENDIX C

Sample Forms, Reports and Releases

During the Common Ground Study, the Reporting and Evaluation Task Team drafted a sample report form (originally referred to as figure 9-1) to demonstrate what may be reported when collecting damage prevention information. The team created this sample form (illustrated on the following page) using the best practices from 811 center, regulatory agency, facility, locator, excavator and industry group report forms. The form was created to enable data collection from all stakeholders involved in the damage prevention process, including facility owners/operators, excavators and locators.

Appendix C Sample Form

The 9-1 form was the basis for the development of the CGA's Damage Information Reporting Tool (DIRT) launched in December 2003. The Data Reporting & Evaluation Committee approved minor modifications to the original 9-1 form when creating DIRT. These modifications were later approved by the CGA Best Practices Committee. The approved DIRT form has been included in Appendix C. To review the electronic version and tool, visit http://www.cga-dirt.com.

References:

The following references were used as examples during the Task Team's discussions and the development of the composite 9-1 reporting form. These sources do not include all stakeholders that may report any of the same information shown on the sample form.

- · Connecticut Department of Public Utility Control/Call Before You Dig, Inc.
- · Consolidated Edison Company of New York, Inc.
- · Florida Sunshine State One Call
- · Massachusetts Department of Telecommunications and Energy
- National Transportation Safety Board Safety Study: Protecting Public Safety Through Excavation Damage Prevention (NTSB/SS-97-01)
- · New Hampshire Public Utilities Commission
- · Tennessee One Call System, Inc.
- Tierdael Construction Company—General Contractors
- Virginia State Corporation Commission

BEST PRACTICES CHAPTER 9—REPORTING AND EVALUATION

Practice Statement 9–6: A Standardized Form Is Adopted: A standardized form that includes the mandatory DIRT fields is adopted and distributed to all facility owners/ operators, locators, excavators and other appropriate stakeholders. (Refer to Appendix C for example form)^{56l}

Sample Form: Damage Information Reporting Tool (DIRT)—Field Form

FRESH DIRT (beginning 2018)	FRESH DIRT (beginning 2018) Rev: 11/7/201 *** indicates a Required Fie						
Damage Information Reporting Tool (DIRT) - Field Form							
Part A – Original Source of Event Information							
Who is providing the infor Excavator Lic	mation?	actric					
Part B – Type, Date, and Location of Event							
Type of Event: DIRT	Event Undergrou	nd Damage Un	derground Near Miss				
Non-DIRT Event	Above Grade	Aerial Na	tural Cause Submarine				
*Date of Event: (MM/	DD/YYYY)						
*Country	*State *Cour	nty	City				
Street address:		Nearest Intersection:	:				
Latitude/Longitude: Lat:	L	on	☐ Decimal Degrees ☐ D M S				
*Right-of-Way where even Public:	siness State High Private Lar Power /Tra	way	☐ Interstate Highway ☐ Public-Other ☐ Private Easement ☐ Dedicated Public Utility Easement ☐ Unknown/Other				
Part C - Affected Faci	lity Information						
*What type of facility open		Cable Television Telecommunication	☐ Electric ☐ Liquid Pipeline				
"What type of facility was affected? Distribution Gathering Service/Drop Transmission Unknown/Other Was the facility part of a joint trench? Yes No Did this event involve a Cross Bore? Yes No Was facility owner 811 Center member? Yes No If No, is facility owner exempt from 811 Center membership? Measured Depth Embedded in concrete/asphalt pavement From Grade 18" – 36" / 46 - 91 cm Sole 791 cm from grade in/cm							
Part D – Excavation Ir	nformation						
*Type of Excavator		☐ Developer ☐ State	Farmer Municipality Utility Unknown/Other				
*Type of Excavation Equip Drilling Direction Milling Equipment	ment		☐ Boring ☐ Bulldozer ☐ Grader/Scraper ☐ Hand Tools cuum Equipment ☐ Unknown/Other				
*Type of Work Performed	Agriculture Drainage Irrigation Public Transit Auth Steam Traffic Sign	Storm Drain/Culver	☐ Electric ☐ Engineering/Survey ☐ Liquid Pipeline ☐ Milling ☐ Road Work ☐ Sewer				
Part E - Notification a	nd Locating						
*Was the 811 Center notifi	ed? Ye	s 🗌 No	Ticket Number				
If Yes, type of locator Fa	cility Owner	☐ Contract Locator	☐ Unknown/Other				
If No, is excavation activity a Was work area white-lined?			Yes No Unknown				

Part F - Intentionally left blank

Sample Form for Reporting Data

'*' indicates a Required Fi				
Part G – Excavator Downtime				
Did Excavator incur down time? Yes No				
If yes, how much time?				
Estimated cost of down time? \$0 \$1 -1000 \$1,001 - 5,000 \$5,001 - 25,000 \$25,001 - 50,000 >\$50,000 Exact Value Unknown				
Part H – Interruption and Restoration				
*Did the damage cause an interruption in service? Yes No Unknown				
If yes, duration of interruption				
48+ hrs Exact Valuehrs Unknown				
Approximately how many customers were affected? Unknown 0 1 2 - 10 11 - 50 51+ Exact Value				
Stimated cost of damage / repair/restoration: \$0 \$1 - 1,000 \$1,001 - 5,000 \$5,001 - 25,000 \$25,001 - 25,000 \$50,000 Exact Value Unknown				
*Part I – Root Cause Select only one				
Notification Issue Locating Issue				
No notification made to 811 center Facility not marked due to:				
☐ Excavator dug outside area described on ticket ☐ Abandoned facility ☐ Excavator dug prior to valid start date/time ☐ Incorrect facility records/maps				
Excavator dug prior to valid start date/time Informet racinty records/maps				
☐ Excavator provided incorrect notification information ☐ No response from operator/contract locator				
Excavation Issue				
Excavator dug prior to verifying marks by test-hole (pothole)				
☐ Excavator failed to maintain clearance after verifying marks ☐ Excavator failed to protect/shore support facilities ☐ Abandoned facility				
☐ Improper backfilling practices ☐ Incorrect facility records/maps				
Marks faded or not maintained				
☐ Improper excavation practice not listed above ☐ Tracer wire issue				
Miscellaneous Root Causes				
☐ Deteriorated facility ☐ One Call Center Error ☐ Previous damage ☐ Root Cause not listed (comment required)				
Tool Gause not listed (comment required)				
Part J – Additional Comments				
Part Z – Images and Attachments: List the file names of any images and attachments to submit with this report				

Visit www.cga-dirt.com

Starting with 2018 data, all submissions should use the new field form outlined above. Please visit www.cga-dirt.com for additional details and to access the updated field form.

BEST PRACTICES CHAPTER 8—PUBLIC EDUCATION AND AWARENESS

Practice Statement 8–7: Free Media³³: An effective damage prevention education program utilizes all available free media.

Sample Press Release

For Immediate Release

GOV. STRICKLAND PROCLAIMS APRIL AS SAFE DIGGING MONTH IN OHIO

Ohio Utilities Protection Service reminds local residents to call before all digging projects

Youngstown, Ohio (April 1, 2009) — Gov. Ted Strickland issued a proclamation announcing his support for National Safe Digging Month in April. The proclamation reminds Ohio do-it-yourself homeowners to call the Ohio Utilities Protection Service (O.U.P.S.) before starting any outdoor digging projects.

April marks the start of spring digging season, so O.U.P.S. and Gov. Strickland are encouraging homeowners to call O.U.P.S. before they dig to prevent injuries, property damage and inconvenient outages. Failure to call before digging results in Americans unintentionally hitting underground utility lines more than 200,000 times annually, which can lead to injury, penalties, repair costs, and inconvenient outages.

When calling 800-362-2764 or 8-1-1, homeowners are connected to O.U.P.S., which notifies the appropriate utility companies of the intent to dig. Professional locators are sent to the requested digging site to mark the approximate locations of underground lines with flags or spray paint. Once lines have been marked, digging can begin around marked lines.

"We strongly encourage individuals and companies to call O.U.P.S. before they begin digging," said Abby Dornon, Public Relations and Communications Coordinator for the Youngstown-based center. "By marking underground lines, homeowners are eliminating the risk of striking a line, ultimately avoiding injury and inconvenient outages."

Striking a single line can cause injury, repair costs, fines and inconvenient outages. Every digging project no matter how large or small necessitates a call to 800-362-2764 or 8-1-1. Installing a mailbox, putting in a fence, building a deck and laying a patio are all examples of digging projects that need a call to O.U.P.S. before starting.

Visit www.oups.org for more information about the call-before-you-dig process.

About the Ohio Utilities Protection Service

The Ohio Utilities Protection Service (O.U.P.S.), located in Youngstown, Ohio, is a non-profit corporation established to serve as a notification center that takes information from persons engaging in excavation activities and distributes this information to its utility members.

The Ohio Utilities Protection Service's mission is to provide a quality one call process to protect the public, protect the underground infrastructure and protect the environment. Serving the entire state, O.U.P.S processes more than one million calls annually.

It is the law in Ohio (sections 3781.25 to 3781.32 of the revised code) to contact a one call center before digging.

APPENDIX D

Additional References and Endnotes

Additional References

The references contained in Appendix D are intended to be supplemental references for existing and/or new practices found within the CGA Best Practices.

Trenchless Excavation

Chapter 2: Practice Statement 2-13

Chapter 4: Practice Statement 4-19

Chapter 5: Practice Statement 5-29

References

American Gas Association (AGA), "Directional Drilling Damage Prevention Guidelines for the Natural Gas Industry," Technical Note, December 30, 2004.

American Society of Civil Engineers, ASCE Manuals and Reports on Engineering Practice No. 89, "Pipeline Crossings," 1996.

Bennett, R.D., S.T. Ariaratnam, and C. Como, "Horizontal Directional Drilling Good Practices Guidelines," HDD Consortium, Washington, DC, ISBN 1-928984-13-4, 2001.

California Department of Transportation, CALTRANS, "CALTRANS Encroachment Permits—Guidelines and Specifications for HDD Installations," July 14, 2003.

Directional Crossing Contractors Association (DCCA), "Guidelines for Successful Directional Crossing Survey Standards," Dallas, TX, 1999.

Directional Crossing Contractors Association (DCCA), "Horizontal Drilling Safe Operations Guidelines," Dallas, TX, 1995.

Gas Research Institute, "Final Report—Guideline for the Application of Guided Horizontal Drilling to Install Gas Distribution Piping," GRI-96-0368, September 1996.

National Transportation Safety Board, "Safety Study: Protecting Public Safety Through Excavation Damage Prevention," Washington, DC, December 1999.

National Utility Contractors Association (NUCA), "Trenchless Construction Methods and Soil Compatibility Manual." 3rd Edition, Washington, DC.

National Utility Locating Contractors Association (NULCA), "Excavation Practices & Procedures for Damage Prevention," Spooner, WI, 1996.

Endnotes

When endnotes begin with a date, this is the date that the amendment or addition was approved by CGA's Board of Directors.

- National Transportation Safety Board, 1995. Proceedings of the Excavation Damage Prevention Workshop; 1994 September 8-9; Washington, DC, Report of Proceedings NTSB/RP-95/01 (pp.177-178), Washington, DC.
- National Utility Locating Contractors Association, 2002. Locator Training Standards and Practices, Spooner, WI
- 3. 11/30/2001 Amendment approved by the CGA Board via TR-2001-02A
- 4. 09/27/2002 Amendment approved by the CGA Board via TR-2001-02B
- 5. 04/08/2003 Addition approved by the Best Practices Committee
- 6. 09/25/2003 Amendment approved by the CGA Board via TR-2001-04

- 03/26/2004 Amendment approved by the CGA Board via TR-2003-02
- 09/24/2004 Information contained in this appendix approved by the CGA Board
- 9. 09/24/2004 Amendment approved by the CGA Board via TR-2001-05
- 10. 03/04/2005 Amendment approved by the CGA Board via TR-2004-02
- 11. 03/04/2005 Amendment approved by the CGA Board via TR-2004-03
- 12. 04/15/2005 Amendment approved by the CGA Board via TR-2004-04A
- 13. 09/16/2005 Amendment approved by the CGA Board via TR-2002-03
- 14. 09/16/2005 Amendment approved by the CGA Board via TR-2004-04B
- 15. 09/08/2006 Amendment approved by CGA Board via TR-2002-04
- 16. 09/08/2006 Amendment approved by the CGA Board via TR-2005-02
- 17. 11/16/2006 Amendment approved by CGA Board via TR-2006-02
- 08/24/2007 Modification to statement approved by the CGA Board via TR-2007-01
- 08/24/2007 Modification to statement approved by the CGA Board via TR-2007-02
- 08/24/2007 Modification to description approved by the CGA Board via TR-2007-03
- 21. 11/15/2007 Amendment approved by the CGA Board via TR-2007-04
- 22. 08/08/2008 Amendment approved by CGA Board via TR-2007-05
- 23. 08/08/2008 Amendment approved by the CGA Board via TR-2007-06
- 24. 11/14/2008 Amendment approved by the CGA Board via TR-2005-05
- 25. 12/12/2008 Amendment approved by the CGA Board via TR-2006-03
- 26. 05/15/2009 Amendment approved by the CGA Board via TR-2008-01
- 27. 10/16/2009 Addition approved by the CGA Board via TR-2009-01
- 28. 10/16/2009 Addition approved by the CGA Board via TR-2009-04
- 29. 10/16/2009 Addition approved by the CGA Board via TR-2009-05
- 30. 10/16/2009 Addition approved by the CGA Board via TR-2009-07
- 31. 10/16/2009 Amendment approved by the CGA Board via TR-2009-08
- 32. 12/04/2009 Addition approved by the CGA Board via TR-2009-17
- 33. 12/04/2009 Amendment approved by the CGA Board via TR-2009-20
- 34. 03/03/2010 Amendment approved by the CGA Board via TR-2008-02
- 35. 07/16/2010 Amendment approved by the CGA Board via TR-2008-03
- 36. 07/16/2010 Amendment approved by the CGA Board via TR-2009-16
- 37. 07/16/2010 Final wording approved by the CGA Board via TR-2009-16
- 38. 09/10/2010 Addition approved by the CGA Board via TR-2009-09
- 39. 09/10/2010 Amendment approved by the CGA Board via TR-2009-09
- 40. 09/10/2010 Amendment approved by the CGA Board via TR-2010-01A
- 41. 09/10/2010 Amendment approved by the CGA Board via TR-2010-01B
- 42. 06/17/2011 Addition approved by the CGA Board via TR-2009-12
- 43. 08/26/2011 Addition approved by the CGA Board via TR-2010-05
- 44. 12/01/2011 Amendment approved by the CGA Board via TR-2010-04
- 45. 08/10/2012 Amendment approved by CGA Board via TR-2010-02
- 46. 08/10/2012 Addition approved by CGA Board via TR-2011-02
- 47. 08/10/2012 Addition approved by the CGA Board via TR-2011-06
- 48. 08/10/2012 Addition approved by the CGA Board via TR-2011-07

- 49. 08/10/2012 Addition approved by the CGA Board via TR-2011-08
- 50. 08/10/2012 Amendment approved by the CGA Board via TR-2011-09
- 51. 12/13/2012 Addition approved by the CGA Board via TR-2011-03
- 52. 12/13/2012 Addition approved by the CGA Board via TR-2011-05
- 53. 12/13/2012 Addition approved by CGA Board via TR-2012-05
- 54. 10/24/2013 Addition approved by CGA Board via TR-2011-01
- 55. 12/05/2013 Amendment approved by the CGA Board via TR-2009-14
- 56. 12/05/2013 Amendment approved by the CGA Board via TR-2013-02
- 57. 06/19/2014 Practice removal approved by the CGA Board via TR-2010-02
- 58. 06/19/2014 Wording approved by CGA Board via TR-2010-02
- 59. 06/19/2014 Wording approved by CGA Board via TR-2011-11
- 60. 12/11/2014 Wording approved by CGA Board via TR-2012-01
- 61. 11/04/2015 Amendment approved by Best Practices Committee via TR-2013-03
- 62. 11/04/2015 Approved by Best Practices Committee via TR-2015-03
- 63. 07/22/2016 Approved by CGA Board via TR-2015-01
- 64. 12/13/2016 Approved by CGA Board via TR-2014-01
- 65. 12/13/2016 Approved by CGA Board via TR-2015-02
- 66. 12/13/2017 Approved by CGA Board via TR-2015-03
- 67. 12/13/2017 Approved by CGA Board via TR-2016-01
- 68. 07/27/2018 Approved by CGA Board via TR 2017-02
- 69. 03/26/2019 Approved by CGA Board via TR 2014-02
- 70. 07/24/2019 Approved by CGA Board via TR 2013-01
- 71. 01/16/2020 Approved by Best Practices Committee via TR-2018-01
- 72. 04/12/2021 Approved by CGA Board via TR 2019-02
- 73. 04/12/2021 Approved by CGA Board via TR 2019-02
- 74. 04.12.2021 Approved by CGA Board via TR 2019-02
- 75. 04/06/2022 Approved by CGA Board via TR 2021-02
- 76. 04/06/2022 Approved by CGA Board via TR 2021-02
- 77. 04/06/2022 Approved by CGA Board via TR 2021-02
- 78. 04/06/2022 Approved by CGA Board via TR 2021-02
- 79. 07/28/2022 Approved by CGA Board via TR 2021-01
- 80. 10/20/2022 Approved by CGA Board via TR 2021-01
- 81. 10/20/2022 Approved by CGA Board via TR 2021-02
- 82. 10/20/2022 Approved by CGA Board via TR 2021-02
- 83. 10/20/2022 Approved by CGA Board via TR 2022-04
- 84. 10/20/2022 Approved by CGA Board via TR 2022-05
- 85. 12/06/2022 Approved by CGA Board via TR 2021-02
- 86. 12/06/2022 Approved by CGA Board via TR 2022-01
- 87. 12/06/2022 Approved by CGA Board via TR 2022-01
- 88. 12/06/2022 Approved by CGA Board via TR 2022-01
- 89. 12/06/2022 Approved by CGA Board via TR 2022-01
- 90. 12/06/2022 Approved by CGA Board via TR 2022-01

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APPENDIX E

CGA Member Organizations

1 Stop Utility & Construction Services, LLC

2M Locating, LLC 2R Drilling, Inc.

3 Phase Line Construction / 3P Utility Services

3 Phaze Electric 3B Enterprises, LLC 3D Partners, LLC

3M

4 Warriors Hydro Excavation, LLC

4G Excavation 4M Analytics A Plus

A Plus Utility Locating AAA Tree Service A to Z Field Services, LLC A. J. Excavation, Inc.

A.M. Ortega Construction, Inc. A.R.C. Power Line Construction, LLC

Advantage Asphalt
A-1 Asphalt, Inc.
A3GEO, Inc.
AA Asphalting, LLC
Aarde Underground, Inc.
Aaron Enterprises, Inc.
Abacus Construction, Inc.
ABC Liovin Drilling, Inc.

Abercrombie Pipeline Services, LLC

Able Fence Company, Inc. Able Maintenance, Inc. A-C Electric Company ACC Construction, Inc.

Accelerated Construction & Metal, LLC Access General Contracting, Inc. Access Limited Construction Accu-dig Service, Inc. Accurate Corrosion Control, Inc.

Accurate Underground

Accutite

Ace Pipeline, Inc.
ACT Enviro
ACTS Now, Inc.
ACV Environmental
Adelphia Gateway, LLC
Advanced Hardscape, LLC
Advanced Hydrovac, Inc.
Advanced Lighting Services, Inc.
Advanced Line Systems
Advanced Technical Services, Inc.

Aa-Con, LLC

Ahlborn Fence & Steel, Inc.

AEGIS Insurance Service, Inc.

Ahtna Government Services Corporation Air Products and Chemicals, Inc.

AirX Utility Surveyors AJ Ventures, Inc. A-Jay Excavating, Inc. AJW Construction Alabama 811 Alamo1 ALB, Inc.

Aldridge Electric

Alex E Paris Contracting Company, Inc.

Alisto Engineering
All Commercial Fence
All County Asphalt Maintenance
All Industrial Fabricators Works
Allegheny Contracting

Alliance Environmental Services, Inc.

Alliance Pipeline Alliant Energy

Allied Corrosion Industries, Inc. Allied Power Services, LLC Allison Offshore Services Allison Sierra, Inc. Allstate Boring

Alltech Directional Services Almers Construction, Inc.

Alpha Pacific Engineering & Contracting, Inc.

Alpine Directional Boring, LLC Alta Archaeological Consulting, LLC

ALTA Concrete, Inc. Alta Fence Company

Alternative Structural Technologies Alterra Underground Solutions, LLC

Alvah Contracting, LLC

Alvah Group

Always Underground, Inc.

AMcomm Telecommunications, Inc.

Ameren Illinois

American Boring Company, Inc. American Construction & Supply, Inc.

American Fuel & Petrochemical Manufacturers

American Gas Association American Incorporated

American Integrated Services, Inc. American Petroleum Institute American Power, LLC

American Public Gas Association American Public Works Association

Ample Electric, Inc. AMPP Construction, Inc. AMS Construction, Inc. Anchor Power Services

ANCON

Anderson Burton Construction Angkor Engineering, Inc. Anrak Corporation Anvil Builders Inc.

Anvil Power

APC Pipeline Services, LLC Apex Enterprises, Inc. Apex Pipeline Services, Inc.

Appalachian Pipeline Contractors, LLP Appellation Construction Services, LLC Apperson Utility Contracting, Inc. Applied Construction Solutions, Inc. Applied Earthworks, Inc. Applied Technology & Science

APS Environmental ARB. Inc. Oil and Gas ArborWorks, Inc. Arc American

Arcadis

Aristeo Construction

Arizona 811

Arizona Pipeline Company

Arkansas 811

Armand Construction, Inc.

Arnett & Burgess Oilfield Construction, Ltd.

Arrow Construction ASAP Paving, Inc. Asphalt Surfacing, Inc. Asphalt Technology, Inc.

Asplundh Construction, LLC - West Region

Asplundh Construction, LLC

Asplundh Construction, LLC - Midwest Associated General Contractors of America

Associated Traffic Safety, Inc. Association of American Railroads Association of Equipment Manufacturers

Asta Construction ASW Pipeline, LLC

AT&T

Atlantic Construction Company Atlas Energy Services, LLC Atlas Foundation Co., LLC

Atlas Trenchless

Atmos Energy Corporation Attri Enterprises, Inc. **AUI Power**

Austin's Concrete & Asphalt Cutting

Aviat Networks Avila Traffic Safety

Avina Equipment Rental Incorporated

Avtech Construction, Inc. Azteca Energy Services, Inc. Aztrack Construction AzulWorks, Inc.

B & B Plumbing and Construction, Inc.

B & M Ashman, Inc.

B&B Hughes Construction, Inc. **B&G Pipeline Company** B & H Construction, LLC B&M Builders. Inc.

B & S Equipment Co., LLC B. Frank Joy, LLC

B. H. Holmes Construction Co., Inc.

B. Jackson Construction & Engineering, Inc.

Backwoods Energy Services Badger Infrastructure Solutions Bailey's Trenchless. Inc.

Baldoni Construction Service, Inc. Ballard Marine Construction, LLC **Ballard Utility Construction**

Baltimore Gas and Electric Company

Bancker Construction Corp. Ban-Gar Construction Co., Inc. Banks Gas Services, Inc.

Bargas Environmental Consulting, LLC Barnard Construction Company, Inc.

Barnard Pipeline Barr Engineering Co. Barrow's Landscaping Barton Malow Company Basin Enterprises, Inc.

Basin I&E

Bass Engineering Company

Bay Area Geotechnical Group Engineers

Bay Area Hydrovac Bay Cities Asphalt, Inc.

Bay Line Cutting and Coring, Inc.

BC2 Environmental

RCI.

BDS Enterprises, LLC Bear Contracting, LLC Bear Electrical Solutions, Inc.

Beeahly Tree, LLC **BEI Construction**

Benchmark Utility Services Benjamin Fry Construction Bennett Construction Benson & Son Electric Benton-Georgia, LLC Berntsen International, Inc. Bess TestLab. Inc. Bestco Electric. Inc.

Beta Engineering BH Holmes Construction Co.

BHI Energy Big Horn Vac, Inc. Big S Asphalt Co.

Bill Nelson General Engineering Construction, Inc.

Bill Petty's Backhoe Service, Inc.

BioMaAS

Bird Construction Industrial Services. Ltd.

BJ Drilling Co., Inc. **Bjork Construction** Black & Veatch Corporation Black Hills Energy Blackeagle Energy Services

Blackout Power, LLC Blaine Tech Services

Blair, Church & Flynn Consulting Engineers

Blake Pipeline, Inc. Blood Hound, Inc.

Blount Electrical Services, LLC Blue Cord Demolitions and Hardscapes Blue Iron Foundations and Shoring, LLC Blue Northern Constructors, Inc. Blue Sky Pipeline Services

Blue Stakes of Utah 811 Bluewater Constructors. Inc.

Boardwalk Pipelines, LP

BluRoc, LLC

BOND Civil & Utility Construction, Inc.

Bonilla Equipment, Inc. Bordges Timber, Inc. Bosch Backhoe Service, Inc. **BOSS Solutions**

Boston Geospatial

Boundary Fence and Supply Co. Bowen Industrial Contractors

BP America & BP Pipelines North America

Brad Malley Well Drilling, Inc. Bradley Tanks. Inc.

Brand X Hydrovac Services, Inc.
Brandenburg Drainage, Inc.
Bravo Underground

Bray Construction Services BRCO Constructors, Inc.

Breeze National, Inc. Brennstuhl Construction, Inc.

Brookhart Electric, Inc. Brotherton Corporation Brotherton Pipeline, Inc.

Browning Cultural Resources, Inc.

BRS Incorporated

Brubacher Excavating, Inc.

Brucon, Inc.

Brunk Excavating, Inc. BT Construction, Inc.

BTH Services and Rental, LLC Buchanan Contracting, Inc. Buckeye Partners, LP

Buckeye Pipeline Construction, Inc. Bullert Industrial Electric, Inc.

Bully Industrial, LLC

C & R Fence Contractors, Inc.

C Below, Inc. C&C Utility, Inc.

C.F. Archibald Paving, Inc.

C.J. Hughes Construction Company, Inc.

C2R Engineering, Inc.
CAC Industries, Inc.
Cal Empire Engineering, Inc.

Cal Pacific Constructors, Inc.
Cal Valley Construction

Calgeotech Engineering Consultants, Inc.

California Auger Boring, Inc.

California Boring
California Cut & Core, Inc.
California Locating Services, Inc.
California Paving Company

California Reforestation, Inc. Callahan Paving Corp.

Cal-West Concrete Cutting, Inc.

Campos EPC

Canadian Common Ground Alliance

Cannon Construction, LLC
Canyon Pipeline Construction
Canzater Construction Company, Inc.

Capco Contractors, Inc.

Cardno. Inc.

Care Security Systems, Inc. Carl Bolander and Sons Carone & Co, Inc. Carpi USA Carri Construction

Carvertise

Cascade Drilling LP
Casey Utility Services, Inc.

Castle Energy Group, LLC Cavanaugh Building Corporation

CB Asphalt CB2 Builders, Inc. CC Power CDM Smith

Cedar Creek Corporation Celerity Consulting Group, LLC Cencal Directional Drilling, Inc. CENCAL Services, Inc.

Cenergy, LLC

Centerline Energy Construction, LLC

CenterPoint Energy

Central Coast Land Services, Inc. Central Michigan Contracting, Inc. Central Sierra Enterprise

Central Trenching, Inc. Central Valley Asphalt

Central Valley Engineering & Asphalt, Inc.

Centuri Group
Centurion Pipeline LP
Chad Malley Well Drilling, LLC
Chain Link Fence and Supply, Inc.
Chapman Engineering, Inc.

Charge Charps, LLC

Charter Communications
Chase Corporation

Chelan County Public Utility District

Cheniere Pipeline

Cherokee Directional Drilling, Inc. Chesapeake Energy Corporation Chesapeake Utilities Corporation Chet Morrison Contractors, LLC

Chevron Corporation Chinchen Electric Christofferson Welding, Inc.

CHS, Inc.
Chuck's Septic Tank Sewer & Drain Cleaning, Inc.

Cimarron Underground Services, LLC Circle M Construction Co., Inc. Citizens Energy Group City of Chicago - 811 Chicago

City of Corpus Christi Gas Department

City of Duluth

City of Ellensburg Gas Division

City of Lexington City of Mesa City of Shelby

Civil Constructors - Gas Division

Civil Substations, Inc.

CivilGrid Civtel, Inc. CJ Drilling, Inc.

Ckukutusem Utility Services, LLP Claims Management Resources Clarke-Mobile Counties Gas District Clarksville Gas & Water Department

Classic City Utility, Inc. Classic Underground LP Clean Harbors Clean-Co Systems ClearPath Utility Solutions, LLC

Clearsite Industrial

Clearwater Landscape Services, Inc. CMV Landscacape & Equipment Co.

Coastal Mountain Timber, Inc. Coastal Paving & Excavating, Inc. Coastal ROW Service Co., Inc.

Cogstone

Cole Contracting

Coleman Environmental Engineering, Inc.

Cole's Underground Construction Colibri Ecological Consulting, LLC

Colonial Pipeline Colonnelli Bros., Inc.

Colorado 811

Colorado Broadband & Communications, Inc.

Colorado Pavement Solutions

Colorado Springs Utilities

Columbia Electric. Inc.

Commercial Contracting Corporation Community Construction Co., LLC

Community Tree Service, Inc.

Compass Energy Services

Complete Mechanical Services, LLC

Complete Pipeline Services, Ltd.

Complete Underground, LLC

Concrete Demo Works, Inc.

Condon-Johnson & Associates. Inc.

Confluence Technical Services, Inc.

Connor Concrete Cutting and Coring

Consolidated Resource

Constru One, Inc.

Consumers Energy

Consumers Energy Owner Operator

Contra Costa Electric, Inc. Cook Excavating, Inc.

Cooper Resources, LLC

Coopers's Right-of-Way Contracting

Copperhead Industries Corby Energy Services, Inc.

Cordoba Corporation

Corinth Gas & Water Corner Bit Excavation

Cornerstone Drilling

Cornerstone Environmental Contractors

Corrpro CoServ

Cotton, Shires and Associates, Inc.

Cougar Construction, Inc.

Countrymark Refining & Logistics, LLC

Cox and Cox Construction, Inc.

CPN Pipeline Company Crimson Pipeline, LP

Crossfire, LLC

Crown Castle

Crusader Fence Company, LLC

Crux Subsurface, Inc.

Cuevas Fencing Co.

Culver Company

Culy Contracting, LLC

Cut 'N Core, Inc.

CW Wright Construction, LLC

Cyclone Services

D and T Power, LLC

D&D Power. Inc.

D&L Contracting D&M Contracting, Inc.

D&M Utility Services of CA

D&S Construction. Inc.

D&T Power, LLC

D.A. Lampe Construction

D.A.D.S. Bobcat Service

D.E. Rice Construction

D.L.E., LLC

Dakota Gasification Company

Dakota Line Contractors, Inc.

Dakota Utility Contractors, LLC

Dale W. Carter Fencing

Daleo, Inc.

Daley Excavating & Services, Inc.

Damage Prevention Academy

Dan Monk Construction, LLC

Danella Construction of NY. Inc.

Daphne Utilities

Dars Cox Construction, Inc.

Dashiell Corp

Davenport Roustabout Service, Inc.

Davey Resource Group, Inc.

Davey Tree Surgery Company

Davids Hydro Vac, Inc.

Davis H. Elliot Construction Company, Inc.

Daylight Utility Finders, Inc.

DC Construction

DC Trenching, LLC

DDS Companies - Energy Services

Dee's Plumbing, Inc.

Dees-Hennessy, Inc.

Delta Constructors

Delta Daylighting

Delta Directional Drilling, LLC

Delta Grinding Company, Inc.

Dependable Services

Derrickson Pike, Inc.

DFI Corporation

Diamond D Construction

Diamond S Company

Diamond's Management Group, Inc.

Dick Howell's Hole Drilling Service, Inc.

Dig It Construction, Inc.

Dig It, Inc.

Dig Safe System, Inc.

Diggers Hotline, Inc.

DigMasters, LLC

Digmore Communications, Inc.

Directional Plus, LLC

Directional Services. Inc.

Distribution Contractors Association

Ditch Witch

DiTech Industries, LLC

Diversified Project Services Intl., Inc.

Diversified UG Utilities, Inc.

Diversified Utility Services, LLC

Diversified Utility Services, Inc.

Dixon Marine Services, Inc.

D-Line Constructors, Inc. DM Excavating

Dominion Energy

Don Pridmore & Son Construction, Inc.

Don Wartko Construction, Inc.

Donahoo, Inc.

D'Onofrio General Contractors Doty Bros Equipment Company

Double C of Piedmont, Inc.

Doug's Underground Service

Dow Pipeline Company Drake HDD. LLC

Draper Aden Associates

Drill Tech Drilling & Shoring, Inc.

DrillTech, LLC
Driver Leasing, Inc.
DRS Marine, Inc.
DSM Concrete. Inc.

DTE Energy Dudek Duke Energy

Duke Energy - Customer Delivery

Duran & Venables, Inc.

Durham Construction Company, Inc.

Dutch Contracting, Inc. DW Plumbing, Inc.

DW Young Construction Company, Inc.

Dyer All Terrain Excavation E.E. Gilbert Construction, Inc. Eagle Excavation, Inc.

Eagle Landscaping & Supply Company

Earthcom, Inc.
Earthworm Drilling
EasTex Tower, LLC
EBC Enterprises, Inc.
EBE Electric, LLC
EC Source Services, LL

EC Source Services, LLC
ECI Bldg. Corp.
ECI Contracting
Eco & Associates, Inc.
Ed Wallace Construction, Inc.

Edison Power Constructors, Inc.

EISCO EIU. Inc.

E-J Electric T&D, LLC E-J Energy, LLC

El Capitan Environmental Services

Elecnor Belco Electric, Inc. Elecnor Hawkeye, LLC

ElectriCom

Eleven Engineering, Inc.

Elexco

Elite Constructors, Inc.
Elite Contracting Group

Elite Drilling

Elite Underground, Inc.

Ellis Fence Company

ELM Companies
ELM Utility Services

Emeritec. Inc.

Empire Earthworks, LLC

Empire Locating Services LLC

Enbridge Energy Company, Inc. EnCompass Pipeline, LLC

Enercon

Energy Delivery Partners, Inc. Energy Services South Energy Transfer

Enertech

Engineering & Construction Innovations

Engineering/Remediation Resources Group, Inc.

English Directional Drilling, LLC

ENTACT, LLC

Entegra Energy Solutions, LLC

Enterprise Products

Environmental Construction, Inc. Environmental Waste Minimization, Inc.

Equix, Inc.

ER Utility Construction, LLC

ERM

Ernst Trenching
Ertel Construction, Inc.
Esquivel Grading & Paving, Inc.
Essential Utilities, Inc.
Estvold Oilfield Services, Inc.

FTIC

Evans & De Shazo, Inc.
Evans Construction
Evergreen Caissons, Inc.
Evers and Sons, Inc.
EX EL Pipeline Services, LLC
Exaro Technologies Corporation

Exodigo

Expanse Electrical Company, LLC Explorer Pipeline Company Express M Energy Service, LLC Express Sewer & Drain ExxonMobil Pipeline Company F & D Oilfield Maintenance, Inc. Fabrizi Trucking & Paving Fairbanks Paving Co.

Faith Electric and General Building Contractors, LLC

Far Western Anthropological Farrell Design-Build Farwest Corrosion Control

Fairway Electric, Inc.

FB Titan

Ferndale Electric Company, Inc. Ferreira Construction Co., Inc. Ferreira Power West, LLC Fessler Bowman

FHG. Inc.

Fiber Optic Construction, LLC Fiber Tech Underground, LLC

FiberSense Fidelis Green, Inc.

Fine Line Sawing & Drilling, Inc.

Firebitt, LLC

FK Engineering Associates, PLLC

Flagshooter, Inc. Flare Construction, LLC Flatirons Drilling, Inc.

Fletcher's Plumbing & Contracting, Inc.

Flint Hills Resources

Floore Industrial Contractors, Inc.

Flory Line Construction, LLC

Foltz Welding
Foothill Electric. Inc.

Forbes Bros Titan
Foremost Pipeline Construction Co., Inc.

Forgen, LLC

Foundation Constructors
Foundation Energy Services, LLC

Foundation Pile, Inc. Foundation Power, Inc.

Foundation Soil Stabilization, Inc.

Fowlkes Pipeline, Inc. Fox Loomis, Inc. Fox Underground, LLC Franchelli Enterprise Inc. Frank Lill and Son Freschi Construction, Inc.

FS3, Inc. Fuaro

Full Bore Drilling, LLC

Funktional Electric & Utility Services, Ltd.

Future Fence Company Future Infrastructure

FX5 Construction & Excavation, Inc. G Campbell Construction Co., Inc. G&F Concrete Cutting, Inc.

G. Campbell Construction Company, Inc.

G4S Secure Integration, LLC Gabe's Construction Co., Inc.

Gallup Pipeline and Compliance Services, LLC

Ganim Company Gannett Fleming, Inc. Garcia Cable, Inc. Gas Field Specialists, Inc. Gas Transmission Systems, Inc.

Gateway Fiber GBCI GCJ, Inc.

Geeding Construction
GEI Consultants, Inc.
General Construction
Genesis Endeavors
Genesis Energy, LP
Geo Pacific Services, Inc.
Geocentric Drilling, Inc.
Geo-Ex Subsurface Exploration
GeoPoint Surveying, Inc.

Georgia 811 (Utilities Protection Center)

Geo-Solutions, Inc.

GeoStabilization International

GHD

Ghilotti Bros., Inc.

Ghilotti Construction Company, Inc. Ghita Underground Engineering, Inc.

GHS Landscaping, Inc. Gianfia Corp.

Giron Construction, Inc.

GL Richter, Inc.

Global Energy Partners, LLC Global Tower Service, Inc. Global Underground Corp. GLS, Inc.

Goebel Construction, Inc.

Golden Bay Fence plus Iron Works, Inc.

Golder Associates, Ltd.

Goldsmith Construction Co., Inc.

Goldwater Midstream Construction, LLC

Goliath Hydro-Vac, Inc. Goodfellow Bros CA, LLC

Gopher State One Call Gowan Construction GP Associates, Inc.

GPRS

GR Sundberg, Inc.

Grady Crawford Construction Co., Inc. of Baton Rouge

Granite Construction Co. Gravity Oilfield Services, LLC Graycor Industrial Constructors, Inc.

GrayDS, Inc.

Great Southwestern Construction, Inc.

Great Western Services, Inc. Greater Western Fence, LLC Green Valley Gas Services, LLC Greenville Utilities Commission

Greer CPW

Greg Madden, Right-of-Way Contractor Greg Shandel Construction, Inc.

Gregory Drilling, Inc.
GridHawk, LLC
Griffin Soil Group
Griffith Company
Ground Control, Inc.
Ground Level Construction
GroundBreakers, LLC
Groundhogs Hydrovac, LLC
Grounds-Care, Ltd.

Gregg Drilling, LLC

Growth Development Marketing, Inc.

Groundwater Partners, Inc.

GSW Construction

GTI

Guardian Plumbing & Heating, Inc.
Gulf Shore Construction Services, Inc.
Gutierrez General Engineering, Inc.
GW Mechanical and Excavation, LLC
Gwinnup Restoration & Environmental SVC

H&A Construction, LLC H&T Utilities, LLC H&W Nor-Cal Builders H.I.S. Pipeline, LLC H.M. Miller Construction H2 Enterprises

Habitat Construction, LLC
Hal Hays Construction, Inc.
Haley & Aldrich, Inc.
Hallen Construction Co., Inc.
Halo Services. Inc.

Hamilton Land Services Hampton Tedder Electric Co. HANA Resources/Natures Image

Hanford Arc

Hanging H Companies Hangtown Electric, Inc.

Hansen Bros. Enterprises
Hard Rock Directional Drilling
Hardcore Construction, Inc.
Hardy & Harper, Inc.
Harlan Electric Company
Harrison Concrete Cutting
Hartree Natural Gas Storage

Hat Creek Construction & Materials, Inc.

Hawkins Lease Service, Inc.

Hawkston, LLC HCl. Inc.

Heath Consultants Incorporated Heathorn & Associates Contractors, Inc.

Heavy Road & Rail
Helios Rising, Inc.
Helix Water District
Henderson Municipal Gas
Henkels & McCoy - CA
Henkels & McCoy - Mid-West
Henkels & McCoy - PA
Hercon Company, Inc.
Herman Weissker, Inc.
HHS Construction, LLC
Hi Range Electric
Hickman Utility, Inc.

High Country Construction Co.
High Country Line Construction, Inc.

High Performance Pipelines Hydrovac Excavation

H.I.S. Pipeline, LLC Hitachi Energy USA, Inc. Hi-Vac Corporation

Hiwasse Plumbing & Excavation, LLC

HMS Construction, Inc. Hodges Group, Inc. Hofsommer Excavating, Inc. Holloman Corporation Holly Energy Partners Holt Construction Corp.

Home Works Tri-County Electric

Honeywell
Hooper Corporation
HoosierVac
Hooven & Co., Inc.
Hot Diggity Excavation
Hot Line Construction
HP Communications, Inc.
HPS Mechanical, Inc.

HS Brown Construction, Inc. Humboldt Fence Company

HRST. Inc.

Humphrey's Enterprises, Inc.

Hutchins, Inc.
HW Power, Inc.
Hydro Pros
HydroChem, LLC
Hydrodig Denver, LLC
Hydro-Exc., Inc.
HydroExcavators II, LLC
HydroExcavators, LLC
HydroMAX, LLC

Hydrovac Excavating, Inc.

Hylan West, Inc.

Iba Drilling Co., Inc.
ICF International, Inc.
ICON Environmental Services
ICORR Technologies
Ideal Contracting

IKON Environmental Solutions, LP

IMCO Construction, Ltd. IMCO Industrial, LLC Imperial Electric Service Impulse Radar Indiana 811

Industrial Field Services, Inc. Industrial Speed Group, LLC

Info Excavation

Infrasource Services, LLC

Infrastructure Technology Services, Inc.

Infratech Corporation

InfraTerra

In-Line Fence & Railing Co., Inc.
Inline Underground HDD
Innerline Engineering, Inc.
Innovative Construction Solutions

Innovative Environmental Technologies, Inc.

Inserv

Insignia Environmental Intec Services, Inc. Intech Mechanical Company Integrity Assessment Group

Integrity General Engineering Contractors, Inc. Integrity Kokosing Pipeline Services, LLC Integrity Solutions Field Services

Integrity Specialists International, Inc.
Intelligent Mapping

Intermountain Electric
International Line Builders, Inc.

Intren, Inc.

Inyon Corrosion Services, LLC

lowa One Call Irish Construction Irish Electric

Iron Horse Energy Services

Iron Step Construction & Engineering, LLC

Irth Solutions
J&D Excavation, Inc.
J & E Backhoe Service. Inc.

J & E Utilities

J & J Pipeline Maintenance, LLC

JJ White Incorporated

J K S Construction Backhoe Service

J W Harris Contractors, Inc. J&J Welding Company

J&R Telecom

J. A. Gonsalves & Son Construction, Inc.

J. D'Annunzio & Sons, Inc. J. F. Kiely Construction Co. of PA

J. Ranck Electric

J.B. Gibbs & Son Const. Co., Inc. J.C. Palomar Construction, Inc. J.J. Barney Construction, Inc. Jack Doheny Company Jackson Demolition Service, Inc. Jacobs Engineering Group

Jaeger Vacuum Excavation, LLC

JAL Excavating, Inc. JB's High Voltage

J-C General Engineering, Inc.

JC Roman Const. JC Utility Services JD Butler Construction Jerrys Trenching Service

JH Kelly, LLC JHA Remediation JJ Fiber, Inc.

JJ&A, a part of Trihydro J-Ken Pipe & Steel, LLC JMF Underground, Inc.

JML Engineering & Construction, Inc.

Job Site Services, Inc. Joe Tantardino Logging, Inc. Joe's Electrical Services John E. Green Company Johns Group, LLC Jones Contractors Joseph Engineering Joseph J. Albanese, Inc.

JR Utilities LP JRGO, LLC

JSI

JSP Construction, LLC JTK Rental & Construction LLC JULIE, Inc. (Illinois One-Call System)

J-Vac

JVJ Construction, Inc. JW Restoration Concepts JZ Contracting K & W Underground K R Swerdfeger Construction

K.W. Emerson. Inc. K2 Industrial Services, Inc. Kaltz Excavating Co., Inc. Kansas One Call

Kantex Industries Kantex Subsurface

Kauel

KC Engineering Company KelCo Construction, LLC Keller Drilling, Inc.

Kent Power Kentucky 811

Kentucky Public Service Commission

Kerex Engineering, Inc. Kermit Tyree Contracting, Inc. Kern River Gas Transmission Kernen Construction Keystone Energy Group

Kichton Contracting, Ltd. Kim's Professional Landscaping

Kinder Morgan

Kindness General Contractors, LLC Kingsborough Atlas Tree Surgery, Inc.

Kinsley Construction

KIRK Excavating & Construction

Kleinfelder

Klines Plumbing

KMEA

KMI Road Maintenance, LLC

Knight Watch

Knoxville Utilities Board Koch & Koch, Inc. Kodiak Services 1td KorTerra, Inc. Krazan and Associates Kriger Pipeline Kroeker, Inc. Krylon Products Group

KS Energy Services, LLC kV Power, LLC KV Structures, Inc.

KWPF

L & N Zimmerman Excavating, Inc. L&J Enterprises Excavating, Inc. L.D. Giacomini Enterprises. Inc. Lake Apopka Natural Gas District Lake Superior Consulting Lamco Construction, LLC

Langan

Larry's Backhoe Service

Lassen Pile

LDD Construction, Inc.

LeapFrog Plumbing & Home Improvement

Leatherneck Construction. Inc.

Lecom. Inc.

Lee Electrical Construction Lee Wilson Electric Company, Inc. Leeward Construction, Inc. Legacy Remediation, Inc. Legend Directional Services

Legends Hydro Vac Legion, LLC Leica Geosystem Lentz Construction LEO Construction Co.

Leo Tidwell Excavating Corporation Lester Enterprises Northstate, Inc.

Let's Dia. Inc.

Lettis Consultants International, Inc. Level One Construction Services, Ltd.

Lewis & Tibbitts. Inc. LG&E Energy

Lind Marine Incorporated

Lindco. Inc. Linde Corporation Liquid Energy Association Littens Backhoe Service Little Mountain Pipeline, LLC Live Oak GBI Power, LLC LJA Engineering, Inc. LJA Surveving, Inc. LJE Management, Inc. LK Comstock

LOC International, LLC Locker Construction, LLC Lockton Companies, LLC

LoJac, LLC

Lombardo Diamond Core Drilling Co., Inc.

Lone Star Directional Drilling LP

Lone Star Instrumentation & Electric Corporation

Long Beach Energy Resources Department

Longitude 123, Inc. LOOP, LLC

Los Tres Topos, LLC

Lost River Fire Management Services, Inc.

Louisiana 811 LT Directional Boring Lucas Builders, Inc. Lucchetti Enterprises (Saber)

Lund Construction Company Lyles Utility Construction, LLC

LyondellBasell

M & K Jetting and Televising, Inc. M R Drilling aka J H Drilling Co.

M&M Excavating, Inc.

M&M Welding & Construction, Inc.

M&T Enterprises

M.A. McClish Excavating, Inc.

M.J. Electric M.L. Chartier

M.P. Environmental Services, Inc.

M.U.E., Inc. MA Steiner

MAC Industrial Services

Machado & Sons Construction, Inc.

MAG Landscaping, Inc.

Magellan Midstream Partners, LP Mainline Construction, LLC Major Construction

Malcolm Drilling Company, Inc.

Malcolm International

Maples Plumbing and Heating, Inc.

Mapleservice, Inc. Marathon Pipe Line, LLC

Marinship Development Interest, LLC

MARK Construction, Inc. Market & Johnson, Inc. Marqtel Communications, LLC

Martin's Paving, Inc.

Maryland Underground Facilities Damage

Prevention Authority
MasTec North America, Inc.
MasTec Utility Service Group

Master Electric, Inc. Masters Utilities Matcor, Inc.

MATECO Drilling Company Matrix Service, Inc.

Mattucci Plumbing
MBS Engineering, Inc.
McCormick Biological, Inc.

McCracken Excavation & Underground

MCE Corporation

McGrath Electric Incorporated

McGuire and Hester

McRae's Environmental Services, Ltd. McVac Environmental Services, Inc.

MDU Resources Meade Mears Group

Mears Group, Inc. - Integrity Solutions Division

Mears Group, Inc. - Distribution Division

Mears Pipeline

Mears Pipeline Integrity - Mears Group, Inc.

MEC Construction, LLC Mecc. Contracting, Inc. Meche Construction, LLC

MEITEC, Inc. Merced Fence Co. Mercer Fraser Company

Meridian Energy & Environment, LLC

MESA Products
Messer Logging, Inc.
Metro Pavers, Inc.
MetroNet

Metropolitan Utilities District Meyer Contracting, Inc. Meyers Earthwork MFM Contracting Corp. MGE Underground MHK Construction. Inc.

MI Gravel

Michael Baker International Michael Facchiano Contracting, Inc. Michael LaFave Construction

Michels Corporation
Michels Pacific Energy, Inc.
Michels Pipeline, Inc.
Michels Power, Inc.
Michels Utility Services, Inc.
Mid-American Group

Middle Tennessee Natural Gas Utility District

Middleton Mechanical, LLC

Midland

Mid-Ohio Pipeline

Mid-South Pipeline Contractors, LLC
Midwest Contractors and Consultants, Inc.
Midwest Electrical Construction, LLC
Midwest Services Group, LLC
Midwestern Contractors
Miguel A. Garcia Landscaping
Mike Brown Electric Co.
Mike's Landscaping

Milestone Companies, LLC

Miller Brothers Welding & Roustabout, Inc.

Miller Equipment Company, Inc.

Miller Pipeline
Mint City Underground
Miranda's Landscape
MISS DIG System, Inc.
Miss Utility of Delmarva
Mission Constructors
Mississippi 811, Inc.
Missouri 811

Milbar Hydro-Test, Inc.

MJ Avila Company, Inc. MJ Electric, LLC MM Reforestation, Inc. MMR Constructors, Inc. Mobley Construction

MOC, Inc.

Monarch Pipeline & Hydrovac, Inc Montana Construction, Inc.

Monterey Mechanical Co. Moran Environmental Recovery Moras Equipment & Construction Moreno Trenching, Ltd.

Morgan Creek Construction, Inc.
Moss Welding, LLC
Motiva Enterprises
Motor City Electric Utilities
Mouflon Oilfield Services, LLC
Mountain F. Enterprises, Inc.
Mountain G Engineering

Mountainview Biological Consulting

MP Technologies - North

Mr. Pave MS Drilling LLC Mud Bay Drilling

Mulholland Energy Services Mundt Energy Services, LLC Murgreen Environmental Company Mushrush Utility Contracting, Inc.

MVN Associates, Inc. N&S Construction NACC Construction Nail Excavating, LLC

National Alliance for Public Safety GIS Foundation

National Association of Pipeline Safety Representatives National Concrete Cutting National Fiber Construction Co.

National Fiber Constru National Fuel Gas National Grid

National Pipeline Services, LLC National Telecommunications Damage

Prevention Council

National Waste Management Louisiana, Inc.

Navajo Pipelines, Inc.
Neil's Controlled Blasting, LP
Network Infrastructure, Inc.
Network Integrity Systems
Nevada County Fence Co.
New Jersey Natural Gas
New Mexico Gas Company
New Paris Community Fiber
New River Electrical Corp

New Tech, Inc.

New Technologies Construction, Inc.

New York 811, Inc. New York Paving, Inc. Newcomb Tree Experts, Inc.

Newkirk Electric
Newport Structures Ltd.
Newtron LLC

Next Generation Construction & Environmental, LLC

Nexus Integrity Management, LLC Nick Champi Enterprises, Inc. Niels Fugal Sons Company

NiSource Njirich & Sons, Inc. NJR Group, Inc. New Mexico 811 NMR Pipeline, LLC Nold's Equipment. Inc.

Nish-Ko, Inc.

Nomad Ecology, LLC
Nor Cal Pipeline Services
Norfield Development Partners
North American Field Services
North Carolina 811, Inc.
North Coast Fabricators
North Coast General Engineering
North Woods Excavating, Inc.
Northern A-1 Services

Northern Industrial Construction, Inc.

Northern Natural Gas Northline Utilities, LLC

Northern Clearing, Inc.

NorthStar Contracting Group, Inc. NorthStar Energy Services, Inc. NorthStar Energy Solutions, LLC

Northstar Environmental and Marine Services

Northstar Hydrovac, Inc.

Northwestern Ohio Pipeline Const. Inc.

NPL Construction Company NRC Environmental Services, Inc.

NUCA Nulca

NuLine Utility Services, LLC

NuStar Energy LP NW Gas

NW Natural

O.C. Jones & Sons, Inc. OC&C Construction Ocean Pacific Interiors, Inc.

OCG Companies
OCS Industries, Inc.

OCS of Virginia, Inc. Odin Construction Solutions

OHIO811

Okaloosa Gas District

Oklahoma One-Call System, Inc.

Olameter Corp

O'Leary Construction, Inc.
Olivas Foundation Drilling
Olsen Excavation & Grading
Omni Pipelines, Inc.
Omni Underground, Inc.
On Call Service Center

On The Move

On The Spot Utility Resources, LLC

One Call Concepts, Inc.

ONE Gas

One-Call of Wyoming, Inc. Opp Construction OPtek Utility Services

Orange & Rockland Utilities, Inc. Orange Electrical Contractors, Inc.

Orbital Sidekick

Oregon Utility Notification Center Orion Environmental Services Ltd. Orion Managed Services, LLC Orr Construction. Inc.

Osborn General Engineering & Construction

Oscar W. Larson Company Osmose Utilities Services, Inc. Outback Contractors, Inc. Outsource Utility Contractor Corp.

Overhead Lines, LLC.

Owens Maurer Construction, Inc.

Owsley Electric P S Power, LLC P&G Power Corp.

P. Pohorence & Son Landscaping, Inc.

P31 Enterprises, Inc. PAB Contracting, Inc. PACE Engineering Pacific Boring, Inc. Pacific Coast Drilling

Pacific Coast General Engineering, Inc. Pacific Gas and Electric Company Pacific Gold Marketing, Inc.

Pacific Legacy, Inc. Pacific Petroleum California

Pacific Plumbing & Underground Construction

Palantir Technologies

PaleoWest

Papich Construction Co., Inc.

Paradigm

Paramount Underground, LLC

PARC Environmental

Parker-Stockstill Construction. Inc.

Parmeter General Engineers & Services, Inc. Parsons Environment and Infrastructure Group

Patrick Engineering, Inc. Patriot Environmental Services Patriot General Engineering Patton's Service Company

Paul Graham Drilling and Service Company

Paulson Excavating, Inc. Pavnes Enterprise. Inc. PB Electric, Inc. PCL Industrial Services. Inc. Peak Communication. LLC

PEC Safety

PECO Energy Company Peduto Construction Corp. PEG Construction, Inc. PelicanCorp One Call. Inc. Pembina Pipeline Corporation PeneCore Drilling, Inc.

Penhall Company Pennsylvania 811 Peoples Gas

Perera Construction & Design, Inc. Performance Mechanical, Inc. Pergam Technical Services Perreca Electric Company, Inc. Perrin Construction, Inc. Peters Welding Service Petrillo Contracting, Inc. Phase 3 Communications. Inc.

Phillips & Jordan, Inc. Phillips 66 Pipeline, LLC Phillips Excavating, Inc.

Phillips Infrastructure Holdings Phoenix Electric Company

Pickens Underground Utilities Service, Inc.

Piedmont Natural Gas

Pike - Dominion VA, NC and SC Work Groups Pike Gas Dominion Carolinas Work Group Pike Gas - Peoples Gas Florida Work Group

Pike TECO Florida Work Group Pimentel Equipment Rental

Pinnacle Construction & Directional Boring, Inc.

Pinnacle Pipeline Inspection Pinnacle Power Services, Inc. Pinnacle West Capital Corp/APS Pipe Jacking Trenchless, Inc.

Pipe Strong, LLC

Pipehorn Locating Technology Pipeline & Hazardous Materials Safety Administration

Pipeline Construction and Maintenance Pipeline Integrity Resources. Inc. Pipeline Video Inspection, LLC Pitcher Services, LLC

Pitchfork Dirt and Rental, LLC Pius Construction, Inc. **PIVOX Corporation** PJ Steel Supply. Inc.

Plains All American Pipeline, L.P.

Planet Underground Planned Environments

Plaska Transmission Line Contractors Platinum Engineering Solutions, Inc.

PL-Enerserv, LLC

PI P

Plummer's Environmental Services, Inc.

Point Integrity Solutions, LLC Polaris Services, LLC Ponder Environmental Services, Inc. Portland General Flectric

Posillico Civil. Inc. Posillico Environmental, Inc. Positive Energy, LLC Potelco. Inc.

Powell Clinch Utility District

Power 1

Power and Communication Contractors Association

Power Contracting, LLC Power Earth Enterprises. Inc. Power Grade. Inc. Power Vac of Michigan Power X. Inc.

Powertown Line Construction, LLC Praxis Land Surveying, Inc. Precise Concrete Sawing, Inc. Precision Directional Boring, Inc. Precision Directional Drilling, LLC Precision Excavating & Drilling, Inc. Precision Hydrovac Excavation, LLC

Precision Locating, Inc. Precision Meter Repair. Inc. Precision Pipeline, LLC Precision Underground, LLC Precision West, LLC Preferred Power Solutions. Inc. Preiss Companies, LLC

Premier Directional Drilling, LLC Premium Utility Contractor, Inc.

Preston Pipelines

Price Gregory International Prime Fence Company Prime Petro Services Prime Resource Services

Primoris Electric. Inc. Primoris T&D East, LLC PRISUM Technologies

Pro Energy Services Group, LLC Pro Gro Environmental, LLC

Pro Line Services Pro Pipeline

Professional Concrete Sawing, Inc.

Professional Electrical Construction Services

Professional Telecommunications Services, Inc.

Progressive Pipeline Management, LLC

Project Resources Group Prunske Chatham Prusa Construction, LLC

Public Service Electric & Gas Company

Puget Sound Energy Pump Specialist, Inc. Q & D Construction Q3 Contracting Quade, Inc. Quality Ag, Inc.

Quanta Services

Quality Telecom Consultants, Inc. Quam Construction Co., Inc.

R&B Quality Tree Care R&R Horn Contractors, Inc.

R&R Pipeline Construction & Repair R&R Pipeline, LLC

R&S Erection of Monterey Bay, Inc.

R&S Erection of Richmond, Inc.

R&S Erection of Santa Clara County, Inc. R&S Erection of Southern Alameda County, Inc.

R&S Overhead Doors and Gates of Sacramento, Inc.

R. Jones Underground, Inc.

R.B. Satkowiak's City Sewer Cleaners, Inc. R.G. Eisenhardt Contracting, Inc.

R.L. Coolsaet

R. Roese Contracting Co., Inc.

R-2 Contractors. Inc. RA & Sons Construction Rader Excavating, Inc. Radiodetection Radtke Service, LLC

Railroad Construction Company, Inc.

Ranch Fence, Inc.

Ranger Plant Constructional Company, Inc.

Ransome Company Rapid Response Force, LLC Rauhorn Electric, Inc.

Raymond Excavating Company

RC Electric, Inc. RCI Construction, Inc. RCI General Engineering RDR Energy Resources, LLC RE Mason Engineering, Inc. Red Dirt Underground

Redding Tree Growers Corporation

Redeker Excavating, LLC Redi Services, LLC Redline Directional, Inc. Redline Underground, LLC Redmond Construction, LLC Redwood Electric Group Reliable Equipment Rental, Inc. REM Directional, Inc.

Remedial Transportation Services, Inc. Renteria Backhoe Service, LLC Restani Construction Corporation Reycon Construction Solutions LP

Reyff Electric Co., Inc. Rhino Contracting, Inc. Riddell General Contracting, Inc. Riggs Distler & Company, Inc.

Right of Way Clearing and Maintenance, Inc.

Ring Power Equipment River City Construction, Inc.

Riviera Utilities RK Contractors. Inc.

RL Morris And Sons Construction

RLA Investments. Inc. **RM Myers Corporation** Roadway Construction, Inc. Roanoke Gas Company Roberson Waite. Inc. Robert Colburn Electric, Inc. Roberto Jules Landscaping Roberts Mechanical & Electrical Robey Excavating, LLC

Rockford Corporation Rockpoint Gas Storage Canada, Ltd. Rocky Canyon Utility & Construction, Inc.

Rocky Mountain Contractors, Inc.

RodRadar

Rodriguez Construction, LLC Roebbelen Contracting, Inc. Roemer Utility Services, LLC Roese Pipeline Company

Rokstad Power

Roma Communications. LLC Rosendin Electric, Inc. Rosetti General Engineering

Ross Fence, Inc.

Rudolph Libbe

Ruff Restoration & Excavation Ruiz Directional Drilling **Rust-Oleum Corporation**

RX Lodge

Ryan Construction, Inc. S & S Directional Boring, LLC S E Pipe Line Construction Co. S&S Directional Boring, Ltd. S&S Energy Services, LLC S/L Services, Inc. Sacramento Drilling, Inc.

Safe2core. Inc.

Safeway Construction Enterprises, LLC Salem Engineering Group, Inc.

SAM, LLC

SAMCO

San Diego Gas & Electric San Joaquin Forest Products, LLC

Sand Springs Meter Co. Santamaria Concrete Sawcut 1. Inc.

Saylers Creek Construction, LLC

Scenic Landscape Services

Schetter Electric

Schmid Pipeline Construction, Inc. Seamair Construction, Inc. Seegert Construction SeeScan. Inc.

SEL Construction Corporation Sellenriek Construction SENSIT Technologies

Sequoia Ecological Consulting, Inc.

Service One. Inc

Sevenson Environmental Services, Inc.

Sewer & Water Specialist, Inc.

SF&S Construction

Sharp's Backhoe Service, Inc.

Shaw Electric

Sheet Piling Services, LLC Shell Pipeline Company LP Shiloh Paving and Excavating, Inc.

Shimmick Construction

Sierra Cascade Aggregate & Asphalt Products, Inc.

Sierra Concrete Cutting & Breaking, Inc. Sierra Integrated Services, Inc.

Sierra Mountain Construction, Inc. Sierra National Construction, Inc. Sigal Utility Services, Inc.

Siller Construction Co. Silver Creek Electric Silverado Contractors Silverline Pacific

Sinclair General Engineering Construction, Inc.

Site Safe Traffic Safety and Signs, Inc.

SiteWise, LLC
Six C Fabrication, Inc.
SJL Construction
SJS & Sons, LLC
Skoda Contracting

Slate Geotechnical Consultants, Inc.

Smith Excavating, LLC Snelson Companies, Inc. Solinas Technologies Inc. Sons Construction, Inc.

Sorensen, Craig F Construction, Inc. Source Power Services of CA, Inc.

South Carolina 811 South Dakota 811

South Jersey Gas Company South Lyon Fence Company, Inc.

Southeast Connections, LLC

Southeast Gas

Southern California Gas Company

Southern Company

Southern Contracting Company Southern Star Central Gas Pipeline, Inc. Southland Industries

Southwest Concrete Construction, Inc.

Southwest Directional, Inc.
Southwest Gas Corporation
Spartan Energy Services
SPE Utility Contractors, LLC
SPEC Services, Inc.
Spencer Electric, Inc.

SPIDEX All Terrain Excavating, Inc.

Spire

Spratt Excavating Spriggs Excavation, Inc. Sprouse Communications, Inc.

SR Diversified, LLC

SSEC, Inc.

St. Francis Electric, LLC

Stafford Drilling

Stake Center Locating, LLC

Staking University Standard Services Stanley Pipeline, Inc.

Stantec Consulting Services, Inc.

Starch Concrete, Inc.

State Line Construction and Maintenance State of California, Office of Energy

Infrastructure Safety

Statewide Traffic Safety and Signs, Inc.

Stealth Construction
Steel Creek, Inc.
Steele Cathodic, Inc.
Steelhead Constructors JV
Stenco Construction
Step-Mar Contracting Corp.
Sterling P. Holloway III, Inc.

Stevenson Utilities Construction, LLC

Stewart & Tate, Inc.

Stimpel-Wiebelhaus Associates, Inc. Stockton Fence & Material Co., Inc. Stone Bear Inspections Service, LLC

Stqó:ya Construction Strike, LLC Strole's Tri-Service

Sturgeon Electric California, LLC Sturgeon Electric Company STX Process Equipment, LLC

Submar, Inc.
Subtronic Corporation
Summernet
Summit Drilling
Summit Line Construction
Summit Pipelines

Summit Plumbing and Mechanical, Inc. Summit Transport & Environmental

Summit Utilities, Inc.
Summit Utility Services, LLC

Sun Electric, Inc.

Sun Ridge Contracting Ltd.

Sunrise Bobcat & Hauling Service, Inc.

Sunshine 811

Superior Contracting Group, LLC

Superior Gunite

Superior Traffic and Restoration Services, LLC

Superior Underground, LLC

Sure Shot Drilling, LLC

Surf to Snow Environmental Resource Management

Surface Solutions, LLC

SUSCO, LLC

SVG Contractors, Inc.

Swaim Biological Incorporated

SWAT Consulting, Inc.

SWCA Environmental

Swenson Development and Construction

Syblon Reid General Engineering Contractors

T J Construction Company Inc. T&A Transportation, LLC

T&D Services. Inc.

T. A. Loving Company

Taber Drilling

Taber, LLC

Tait Environmental Service, Inc.

Tallgrass Energy

Talman Consultants

Talygen

Tamerrel Excavation, Inc.

Taplin Group, LLC

Targa Resources, Inc.

Tashjian Towers Corporation

TAUD, Strategic Initiatives

TC Energy

TCB Industrial, Inc.

Team Construction, LLC

Team Filmers

Team Fishel

Techcon

TECO Peoples Gas

TEG Oceanographic Services

Teichert Pipelines, Inc.

Teichert Waterwork Services

Telstar Instruments

Tempest Enterprises, Inc.

Tempo Communications

Tennessee 811

Terra Archaeology Limited

Terra Contracting

Terra Pacific Group, Inc.

Terra Solutions & Services. Inc.

Terra West Construction

Terracon Consultants

Terraphase Engineering

Tesla Energy Operations, Inc.

Tetra Tech. Inc.

Texas 811

Thayer Power and Communication Line

Construction Co., LLC

The GEL Group, Inc.

The Hallen Construction Co., Inc.

The HDD Company, Inc.

The Hydaker-Wheatlake Company

The Loftis Company

The Original Mowbray's Tree Service

The Vallejo Company

Tierra Contracting

Tim Messer Construction, Inc.

Titanic Underground Contractors Corp.

TMR Undeground, Inc.

Todd A. Brown with Terra West Construction

Tony's Landscaping & Maintenance

Top Hand Field Services, LLC

Tornado Global Hydrovacs

Total Telco Specialists, Inc.

Traffic Management, Inc.

Transcon Environmental

TransGard, LLC

TransMontaigne Operating Company L.P.

Transwest

Traverse City Light & Power

TRC Construction

TRC Solutions. Inc.

Trench Pro. LLC

Trenchless Solutions, Inc.

T-Rex Services

Tri County Development and Construction, Inc.

Tri County Underground of SC

Triangle Electric, Inc.

Trickle Creek Excavation. Inc.

Trident Environmental & Engineering, Inc.

Trident Solutions

Tri-Messine Construction Co., Inc.

Triple B Underground Services, Inc.

Triple H Enterprises

Triton Construction. Inc.

Triton Construction Services, Inc.

Triumph Construction

Troy Construction, LLC

Trujillos Equipment, Inc.

Tru-Tek Drilling DBA Ravan Air

TRUVAC by Vactor Manufacturing

TSU/Tree Service Unlimited, Inc.

TTR Substations. Inc.

Tubit Enterprises, Inc.

Tully Environmental, Inc.

Tulsa Inspection Resources, LLC

Turkey Creek HDD, Inc.

Turner Construction Company

Turner Excavating, Inc.

Turn-Key Construction Services, Inc. TWS Environmental, LLC

Tybo Contracting

U.S. Pipeline, Inc.

UDig NY

UGI Utilities. Inc.

ULC Robotics

Underground Electric Construction Company, LLC

Underground Infrastructure Services

Underground Magnetics

Underground Specialist

Underground Service Alert - Southern California

Underground Service Alert of

Northern California and Nevada

Unearth

Unicorn Construction Enterprises, Inc.

Unified Field Services Corporation

Union Engineering Company

Union Pipeline, Inc.

Uniti Fiber

Universal Site Services, Inc.

Urbint

Us Hydro

US Hydrovac, Inc.

US Trackworks, LLC USA Environment, LP

USIC

UtiliQuest. LLC UtiliSource

Utilities Conservation Company, LLC Utility Construction Services Utility HydroVac Services, Inc. / Utility Transport Services, Inc.

Utility Line Services, Inc.

Utility Lines Construction Services, LLC

UTTO

V&W Drilling, Inc.

V.Lopez Jr & Sons G.E.C., Inc.

Virginia 811 Vac-Con. Inc.

Vadnais Trenchless Services, Inc. Valentine & LeBlanc, LLC Valero Energy Corp. Vali Industries, Inc. Val's Construction Van Thuvne-Downs, Inc.

Vector Force Development

Vector Services

Vegetation Solutions, Inc. Veit & Company, Inc. Ventura Directional Drilling Verde Electric Corp.

Verizon

Vermeer Corporation

Vertex Resource Services. Inc.

VertiGIS

Verus Associates, Inc. Veteran Drilling

Veteran Pipeline Construction Veteran Power Infrastructure Veterans Utility Service, LLC

Vetsource. Inc. Viking Drillers, Inc.

Villarreal Integrity Professionals Vince Sigal Electric, Inc.

Vindustries LLC Vintage Paving Co., Inc. Vip Powerline Corp.

Virginia State Corporation Commission

Vivax - Metrotech Corporation

Vmax Electric. Inc. Vortech Contracting, Inc. Vortex Marine Construction, Inc. VP Hauling and Demolition

VPSS1. Inc.

Vulcan Construction & Maintenance. Inc.

Vulcan Utility Signs VW Connect, LLC W. Bradlev Electric

W. C. Sanders Construction, Inc.

W.A. Chester, LLC W.E. Curling Pipeline. Inc. W.E. Hayden Lease Service, Inc. W.K. McLellan Company

Wade Works, LLC

Wahlund Construction, Inc.

Walberg, Inc.

Walker-Hill Environmental, Inc. Ward Electric Company, Inc. Warrior Field Services, LLC

Washington 811

(Utilities Underground Location Center)

Washington Gas Waste Recovery Systems Weaver's. Inc.

WEC Wisconsin Operations Weiss Property Management

Werdco BC, Inc. Wesco Energy Solutions West Coast Drilling West Coast Hydrovac West Coast Tree Service

West Michigan Cable Contractors, Inc. West States Energy Contractors

West Texas Boring West Valley Construction Westcoast Tree Service Western Construction, Inc. Western Fiber Construction Western Midstream Partners. LP Western States Contracting

Western Utility

Westfield Gas & Electric Westward Fence, LLC

Whitaker Construction Company, Inc.

White Drilling Company, Inc. Whit's-Turn Tree Care Whitt's Welding and Pipeline

Wilhelm, LLC Williams

Williams Industrial Services Group, LLC

Wilson Construction WIPF Construction, LLC Wire Brothers. Inc. Wolf Line Construction Wolfcale Utility Construction Wolfenson Electric, Inc. Wolverine Pipe Line Company

Wood Environment and Infrastructure Solutions, Inc.

WoodWalkers Electrical Contracting, Inc.

WRA Construction. Inc.

Wright Tree Service of the West, Inc.

WRS

WRS Environmental Services. Inc.

Wynnco Boring

Xccelerated Construction Unlimited

XCEL Energy Services

Xtreme Powerline Construction, Inc.

Yaboo Fence Co Inc.

Y-COM

Yellow Jacket Drilling Yonkers Contracting Co. Young's Environmental Yukon Construction Z and H Associates, Inc. Zavala Industrial Painting, LLC

Zayo Group Zefiro Corporation

Zenco Hydrovac Excavation LTD ZTEX Construction, Inc.

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ABOUT MEMBERSHIP

The Common Ground Alliance is the preeminent source of damage prevention best practices, information and data. As a CGA member, you will gain access to damage prevention educational programs and resources. In addition, you will have an opportunity to engage a network of industry stakeholders committed to protecting underground utility infrastructure and those who live and work near these important assets through the shared responsibility of our stakeholders.

CGA members are also eligible to participate in our Damage Prevention Institute (DPI), CGA's accrediting body. DPI participants commit to submitting damage data and other key damage prevention metrics to DPI DIRT monthly, adhering to applicable Best Practices, engage in peer reviews, and other key damage prevention accountability requirements.

Visit **commongroundalliance.com/membership** for additional membership information.



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